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# scientific reports



# **OPEN** Optimal design of hybrid green energy powered reduced switch converter based shunt active power filter using horse herd algorithm

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Renewable energy sources are playing a leading role in today's world. However, integrating these sources into the distribution network through power electronic devices can lead to power quality (PQ) challenges. This work addresses PQ issues by utilizing a shunt active power filter in combination with an Energy Storage System (ESS), a Wind Energy Generation System (WEGS), and a Solar Energy System. While most previous research has relied on complex methods like the synchronous reference frame (SRF) and active-reactive power (pq) approaches, this work proposes a simplified approach by using a neural network (NN) for generating reference signals, along with the design of a five-level reduced switch voltage source converter. The gain values of the proportional-integral controller (PIC), as well as the parameters for the shunt filter, boost, and buck-boost converters in the WEGS and ESS, are optimally selected using the horse herd optimization algorithm. Additionally, the weights and biases for the neural network (NN) are also determined using this method. The proposed system aims to achieve three key objectives: (1) stabilizing the voltage across the DC bus capacitor; (2) reducing total harmonic distortion (THD) and improving the power factor; and (3) ensuring superior performance under varying demand and PV irradiation conditions. The system's effectiveness is evaluated through three different testing scenarios, with results compared against those obtained using the genetic algorithm, biogeography-based optimization (BBO), as well as conventional SRF and pg methods with PIC. The results clearly demonstrate that the proposed method achieves THD values of 3.69%, 3.76%, and 4.0%, which are lower than those of the other techniques and well within IEEE standards. The method was developed using MATLAB/Simulink version 2022b.

**Keywords** Proportional integral controller, Horse herd optimization algorithm, Energy storage system, Solar energy system, Wind energy generation system, Shunt active power filter

There has been a push in recent years to promote the distribution network's incorporation of sustainable energy sources, such as solar and wind power. To lessen the burden on converters and their ratings, this is done. "Reduced switch" refers to the fact that, in comparison to typical systems, its objectives are achieved with fewer switches. Reductions in the number of switches can have benefits including lower costs, less energy waste, and increased reliability. Like other active power filters, reduced switch SHAPF is essential to maintaining a consistent and excellent power supply in the distribution network.

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#### Motivation

SHAPF integration with renewable energies has become increasingly significant when compared to traditional grid-tied VSC. This method has many benefits, including the capacity to keep the DCBCV constant even in the presence of load variations, enhance power quality, and increase the converter's resilience to unforeseen events. The majority of the methodologies covered in the literature currently in publication use conventional techniques like PIC as well as the sliding mode controllers (SMC), which are applied to various load types. However, in situations when consumption and the radiation from the sun vary, these methods have not been able to produce the perfect values.

#### Literature

The improvement of PQ in solar PV systems that are connected to the grid were equipped with a battery energy storage device to support the charging of electric vehicles (EVs) at the EV charging station (EVCS) while also meeting the energy needs of the local load<sup>1</sup>. Besides, in order to increase PQ, golden ball optimized FLC for unified power quality conditioner (UPQC) is adopted<sup>2</sup>. Next, the integrated system incorporates a fuel cell (FC), photovoltaic (PV), battery, Z-source, and Biogeography-Based Optimization (BBO) was recommended to UPQC compensator<sup>3</sup>. On other hand, the Artificial intelligence controller based controllers were developed to address PQ issues effectively<sup>4–6</sup>.

The novel arrangement, combined with a DC–DC boost converter and a series injection transformer, was connected to reduce the voltage strain on devices, to maximize the efficiency of the switches and to minimize losses<sup>7</sup>. Mean while four distinct compensators were employed to enhance the PQ of the system. A benchmark dataset was created in real-time, encompassing different PQ problems including swell, interruptions, sag, and harmonics<sup>8</sup>. A new mathematical model was introduced for a UPQC controlled hybrid microgrid to control the voltage and reactive power flow<sup>9</sup>. However, the impact of distributed Energy on the PQ of distribution and transmission networks was to evaluate the effects of integrating distributed ESS on enhancing PQ in certain network topologies<sup>10</sup>. The new techniques that needed for doing research and offering solutions to enhance the PQ in distribution networks are reviewed<sup>11</sup>. In addition, the sport algorithm was selected to design PI controller of UPQC to solve PQ issues<sup>12</sup>. A novel optimization approach was suggested for determining the location and size of the UPQC in the active distribution network that is connected to renewable energy sources<sup>13</sup>. On other hand, the AI based optimally designed controllers were adopted for UPQC and shunt filters powered with the renewable sources<sup>14-22</sup>.

Besides, the economical charger with a sensor platform was suggested by EV research employing the SIAFL-DO algorithm, MPPT functioning and battery life management are accomplished<sup>23</sup>. On other hand, an effort was done to elucidate the benefits and value for money of using high voltage transformers for protection and optimal utilization, as well as the application of computers and contemporary systems for automation<sup>24</sup>. Next, the scheduling parameters in the form of binary were suggested hybrid GHS-JGT for UC problem, while other constants are handled directly via optimal circumstances in the form of decimals<sup>25</sup>. The effectiveness of the MPPT approach was assessed under various solar irradiance scenarios, and a comparison with the traditional P&O MPPT approach was demonstrated its superiority over cutting-edge methods<sup>26</sup>.

To achieve MPPT, AI based methods was suggested in addition a single sensor based, cost-effective charging adapter for EVs<sup>27–29</sup>. However, the prime intention of the control technique was to feed all the generated solar power at the unity PF into the grid, which is successfully achieved by the FOGI-based method<sup>30</sup>. Nevertheless, the AI and machine learning with metaheuritic based methods was adopted for MPPT method to acquire rapid and maximal PV power with zero oscillation tracking for grid connected systems<sup>31–34</sup>.

A unique architecture was introduced numerous important innovations to improve grid-dependent PV system efficiency<sup>35</sup>. Besides, the improved active power filter and a power filter compensator were designed by optimizing the gain coefficients for the filter controllers through various metaheuristic optimization techniques<sup>36</sup>. However, by modifying the PI-multi-resonant control parameters of M-UPQC using PSO and black hole optimization approaches, the PQ has been addressed effectively<sup>37</sup>. Lastly, In a hybrid micro-grid the gain coefficients are tuned by employing metaheuristic algorithms, a novel harmonic compensation method utilizing a filter compensator device was presented<sup>38,39</sup>.

It is clearly demonstrated in Table 1 that the current literature ignores AI-based signal generation in favor of traditional techniques like SRF and pq theories. Furthermore, there seems to be a lack of focus on choosing the best gains for controller & filter variables. Moreover, while some researchers took into account the aspects of design, they failed to account for the possibility of using less number of switches for multi level converters for lowering the losses.

# **Highlights and contributions**

- Development of reduced switch five level VSC for SHAPF.
- Optimal Design of SHAPF by optimal selection of filter, boost and buck boost parameters along with the selection of PIC gain values of filter and ESS with HHOA.
- The HHOA trained NN for reference signal creation is being implemented to eliminate the need for classical conversions.
- The suggested method seeks to increase PQ together with PF, reduce source current THD, reach a constant DCBCV faster, and provide optimal management of power. In order to show that the suggested approach is beneficial, it is tested in three different situations.

	Method for		Power quality issues addressed		Types of loads selected for study		Renewable power as External supply	Multi-level converters	Metaheuristic algorithm based optimization
Ref	Ref signal generation approach	Control scheme for shunt filter	THD	DCBCV stability	Sensitive/ Balanced harmonic	Unbalanced	PV/Wind etc	Reduction in total switches topology	Filter parameters/ controller gains
5	SRF	NN	*	*	*	*	*		
12	p-q	Neuro-fuzzy	*	*	*	*	*		
14	SRF	Fuzzy sliding mode	*	*	*	*	*		
16	SRF	FOPID	*	*	*	*	*		
17	SRF	Fuzzy PI	*		*	*	*		
18	NN	Neuro-Fuzzy	*		*	*	*		*
19	NN	Neuro-Fuzzy	*	*	*	*	*		*
22	p-q	Fuzzy sliding mode	*	*	*	٠	*		
Suggested	NN	Optimized PI	*	*	*	*	*	*	*

 Table 1.
 State of Art of Survey.

- .....
- The suggested method seeks to increase PQ together with PF, reduce source current THD, reach a constant DCBCV faster, and provide optimal power management. In order to show that the suggested approach is beneficial, it is tested in three different cases in comparison with GA, BBO algorithms in addition with SRF, pq based PIC.

The structure of the article is as outlined below: The proposed reduced switch VSC is covered in Section "Construction and modeling", with a focus on external supplies. A thorough description of the suggested controller that uses of HHOA is given in Section "Control Technique". The investigation and outcomes are shown in Section "Simulation and Results". The draft is concluded in Section "Conclusion".

# Construction and modeling

The connection of SHAPF to the DC bus in conjunction with the optimally coordinated SES, wind, and ESS is depicted in the diagram 1. In addition to ensuring DCBCV stable, the reduced switch VSC is connected in order to suppress harmonic distortions. For the cascaded H-Bridge inverter architecture to produce a 5Level output, more switches are needed. To produce pulses, the PWM circuit requires the usage of 8 switches. The two DC capacitor voltages associated in the DC link are denoted by  $V_{dc1}$  and  $V_{dc2}$ .

This study suggests using an optimized NN control approach together with an improved switching configuration of VSC with a SHAPF. Two insulated gate bipolar transistors are employed in the Improved Reduced Switch approach for multilevel selection, and a traditional H-Bridge is utilized for polarity determination. The proposed system is shown in Fig. 1a and single-phase reduced switch multilevel inverter is shown in the Fig. 1b. Switches S1 and S2 are employed for level choice, and the other switches are utilized for polarity adjustment. The following is the operational justification behind the recommended inverter's ability to provide a 5-level output. The switching pattern is given in Table 2.

It is visible from the diagram 1 that the suggested arrangement uses less switches to get a 5-level output. This means that the proposed three-phase inverter uses only six switches from the system. The circuit's size and cost can be reduced by limiting the switches. The proposed topology with a NN reference signal production strategy replaces the conventional SRF and p-q techniques to achieve increased PQ.

### Design of shunt active power filter

In order to ensure the supply current free of distortion, the primary function of the SHAPF is to inject the necessary appropriate current<sup>4</sup> by Eq. (1).

$$i_s = i_l - i_{sh} \tag{1}$$

$$i_l = \sum_{n=1}^{\infty} i_n \sin(nwt + \varphi_n)$$
<sup>(2)</sup>

$$V_s = V_m \sin wt \tag{3}$$

$$P_L = V_s * i_l \tag{4}$$

where  $i_s$ ,  $i_b$ ,  $i_{sh}$ ,  $V_m$ ,  $V_s$ ,  $P_L$  are represented as supply current, load current, SHAPF compensated current, maximum voltage, source voltage, and load power respectively.

l

The numerical value of DC capacitor  $C_{dc}$  is achieved by Eq. (5).



(a). Proposed system



(b). Proposed reduced switch topology for 1phase

Fig. 1. Developed SHAPF. (a) Proposed system, (b) Proposed reduced switch topology for 1 phase.

Output	Switches						
Dc Voltage	<b>S</b> <sub>1</sub>	<b>S</b> <sub>2</sub>	<b>S</b> <sub>3</sub>	<b>S</b> <sub>4</sub>	<b>S</b> <sub>5</sub>	S <sub>6</sub>	
+ V <sub>dc1</sub>	$\checkmark$		$\checkmark$	$\checkmark$			
+ (sum of V <sub>dc1</sub> & V <sub>dc2</sub> )		$\checkmark$	$\checkmark$	$\checkmark$			
- V <sub>dc1</sub>	$\checkmark$				$\checkmark$	$\checkmark$	
- (sum of V <sub>dc1</sub> & V <sub>dc2</sub> )		$\checkmark$			$\checkmark$	$\checkmark$	

**Table 2.** Switching Pattern.  $\checkmark$  denotes ON.

$$C_{dc} = \frac{\pi * i_{sh}}{\sqrt{3}\omega V_{cr,pp}}$$
(5)

The reference DCBCV  $V_{dc}^{ref}$  is chosen based on the available ratings provided by the recommended method. The optimal choice is determined by adjusting current and peak-to-peak voltage ripple ( $V_{cr,pp}$ ). The filter inductor ( $L_{sh}$ ) is determined by DCBCV  $V_{dc}$ , ripple current  $I_{cr,pp}$ , and switching frequency  $f_{sh}$  control, over loading factor ( $a_f$ ) with the depth of modulation as (m) is 1 by Eq. (6)

$$L_{sh,\min} = \frac{\sqrt{3} m V_{dc}}{12 a_f f_{sh} I_{cr,pp}}$$
(6)

#### Modeling of renewable sources

In order to provide external supply to DC bus, it is advised that the proposed converter with less switches in conjunction with solar, wind, and energy storage systems. With the help of ESS support, the DCBCV is managed by a renewable source to control changes in demand. Equation (7) offers the  $P_L$  for the recommended approach.

$$P_{PV} \pm P_{BSS} + P_W + P_G = P_L \tag{7}$$

#### PV system

The Simulink package provided the PV model used in this study. In order to attain the required current and voltage, the photovoltaic modules are joined in series to create a string.

Equation (8) provides the output solar power, whose control system is given in Fig. 2. In this study, the perturb and observe (P & O) based maximum power point tracking approach was utilized to derive the maximum output by controlling the duty cycle  $D_{PV}$ . However, the pulse width modulation (PWM) technique was adopted to generate the pulses to the boost converter. Here, G resembles irradiation with temperature T,  $L_{PV}$  is the inductor value of boost converter for the PV system,  $P_{PV}$  indicates PV power,  $I_{PV}$  resembles PV current and voltage respectively.

$$P_{PV} = V_{PV} \times i_{PV} \tag{8}$$

#### Battery storage

The biggest advantage of using Li-ion battery is low discharge with minimum maintenance. Figure 3a provides the configuration of ESS with buck boost converter. Here, switches  $SW_3$  and  $SW_2$  are employed to charge/discharge the battery as per the requirement which is determined by the amount of renewable power produced taking state-of-charge of battery (SOCB) and its limits into account by Eq. (9).

$$SOCB = 80(1 + \int i_{BS} dtQ) \tag{9}$$

$$SOCB_{\min} \le SOCB \le SOCB_{\max}$$
 (10)

The control system that regulates the battery with HHOA optimized PI control is given in Fig. 3b. The DC reference current  $i^{ref}_{dc}$  is obtained by reducing the difference voltage of  $V_{dc} & V^{ref}_{dc}$  which is represented as error voltage  $V_{dc,err}$  with HHOA optimized PI. In the similar way the battery error reference current  $i^*_{B,err}$  obtained by the difference between  $i^{ref}_{dc}$  and battery reference current is also estimated by the optimally selected PI. However, L<sub>B</sub>, i<sub>B</sub>, V<sub>B</sub> represents the batteries inductance, current and voltage. Table 3 provides the total power control of the system in modes of operation. Appendix gives the parameters for solar and battery systems.







(b) Battery controller

Fig. 3. Battery control with HHOA. (a) Battery at DC bus, (b) Battery controller.

Condition (when)	Action (then)
RS=Zero	$ESS = P_L$
$RS = P_L$	$RS = P_L$
$RS < P_L$	$P_L$ -RS = ESS
$RS > P_L$	$RS-P_L = ESS till SOCB_{max}$
RS & ESS = Zero	$P_G = P_L$

Table 3. Power Control of the system. WEGS & SES, Renewable source (RS).

#### WEGS

The WEGS control system consists of a wind-turbine, a permanent magnet synchronous generator, a boostconverter as shown in Fig. 4. Here,  $V_W$ ,  $I_w$ ,  $C_W$ ,  $I_{W^5}$  i<sup>ref</sup><sub>W</sub> are the wind voltage, current, capacitor, inductor and reference wind current. The Eq. (11) gives the available power at its output terminals.

$$P_w = 12\rho A v^3 C_p(\lambda, \theta) \tag{11}$$

where A resembles the area of the rotor turbine blades in  $m^2$ ,  $\rho$  indicates the air density in kg/m<sup>3</sup>,  $\nu$  represents wind velocity in m/s, and  $C_p$  gives the power coefficient of power.

In this work, the PMSG is selected for its cost-effective operation and maintenance. The amount of wind power produced depends on the wind speed. Later, it is converted to DC using a rectifier. Then, a DC–DC boost converter is employed to raise the voltage level, as illustrated in Fig. 4.

# **Control technique**

By providing a suitable current, SHAF aims to minimize waveform anomalies and guarantee the uniformity of DCBCV. The suggested control plan offers: (i) HHOA trained NNC to generate reference signals. (ii) To achieve the specified goals, an HHOA-tuned PIC is created for SHAPF, WEGS, and ESS in addition to the best possible filter, buck and boost converters parameter optimization. The purpose of this work is to highlight the suggested HHOA adjusted PIC and HHOA trained NNC's control mechanism for reduced switch converter.

## HHOA tuned PIC and NN

The DCBCV must be regulated and the reference current must be generated for the SHAPF to function properly. On the other hand, variations in load may result in modifications to power flow, which could impact the



Fig. 4. WEGS controller with HHOA.

DCBCV's stability. The SHAPF needs to handle switching losses in order to keep things stable. As seen in Fig. 5, the inaccuracy introduced by the HHOA-based gain values chosen for the PIC is measured by calculating the difference between the set and true DCBCV using Eq. (14).

$$\Delta i_{dc} = e_1(t) = V_{dc}^{ref} - V_{dc}(t)$$
(12)

In order to avoid complicated SRF and pq methods, HHOA learned NNC is selected for reference production. The load currents  $i_{l_abc}$  i.e.  $(i_{l_a}, i_{l_b}, i_{l_c})$  and  $\Delta idc$  obtained from HHOA optimized PIC are considered as input, while the reference currents  $i_{sh_abc}^{ref}$  i.e.  $(i_{ref_{sh_ab}}, i_{ref_{sh_c}}, j_{ref_{sh_c}})$  are regarded as the target information. In this case, HHOA is adopted to train NNC. Figures 6 and 7 show the NNC's structure of organization and the HHOA NNC, respectively.

This study is on the behavioral patterns exhibited by horses. Horses commonly exhibit grazing, hierarchy, sociability, mimicry, defense mechanism, and roaming behaviors<sup>15</sup>. This technique is derived on the six



Fig. 5. proposed converter with HHOA with PIC and NN.



Fig. 6. Reference signal production with HHOA-NN.



Fig. 7. HHOA trained NNC.

fundamental characteristics displayed by horses of varying ages. At each iteration, the horses are relocated based on Eq. (13).

$$P_m^{it,a} = V l_m^{it,a} + P_m^{(it-1),a}, a = \alpha, \beta, \gamma, \delta$$
<sup>(13)</sup>

where, "*a*" denotes the age group of the horse,  $P_m^{it,a}$  represents *m*th horse position, it represents current iteration,  $Vl_m^{it,a}$  gives the velocity vector of the horse and a gives the horses between the ages of 5 and 10,  $\beta$  between 10 to 15, and a denotes 15 years older horses. In order to ascertain the age of the horses, each iteration should possess a comprehensive array of responses. In this scenario, the matrix is arranged according to the most favorable ones, and 1st 10% of horses which are the top of this ordered matrix will be chosen as a horses. The  $\beta$  group comprises the subsequent 20% of the population. The  $\gamma$  and  $\delta$  groups are of 30% and 40% of the left over horses. To calculate the velocity vector, quantitative methods are used to simulate the six acts performed by different groups of horses. Considering the behavior patterns mentioned, Eqs. (14)–(17) can be expressed as the different ages of horses during each cycle of the process.

$$Vl_m^{it,\alpha} = G_m^{it,\alpha} + D^{it,\alpha} \tag{14}$$

$$Vl_m^{it,\beta} = G_m^{it,\beta} + H_m^{it,\beta} + S_m^{it,\beta} + D^{it,\beta}$$
(15)

$$Vl_m^{it,\gamma} = G_m^{it,\gamma} + H_m^{it,\gamma} + S_m^{it,\gamma} + I_m^{it,\gamma} + R_m^{it,\gamma} + D^{it,\gamma}$$
(16)

$$Vl_m^{it,\delta} = G_m^{it,\delta} + I_m^{it,\delta} + R_m^{it,\delta}$$
(17)

Grazing (G)

The HHOA approach is used to model the grazing space for each horse. Due to the coefficient g, every horse will graze in certain regions. Horses engage in grazing behavior throughout their entire lifespan. The process of grazing is mathematically executed, following the principles outlined in Eqs. (18) and (19).

$$G_m^{it,a} = g_{it}(l+r*u)(P_m^{(it-1)}), a = \alpha, \beta, \gamma, \delta$$
(18)

$$g_m^{it,a} = w_g \times g_m^{(it-1),a}$$
 (19)

Here  $G_m^{it,a}$  represents motion of the *i*<sup>th</sup> horse. The integer "*r*" is a value that can range from 0 to 1, while "*l*" and "*u*" represent the lower and upper limits of the grazing space, respectively.

It is recommended that the values for "I" and "u" be set to 0.95 and 1.05, respectively, for all age groups. The coefficient g is uniformly assigned a value of 1.5 across all age ranges.

#### Hierarchy (H)

The tendency of a set of horses to imitate and obey the highly skilled and dominant horse is referred to as the coefficient  $h_m$  in HHOA. During the middle ages of b and g, which refers to children aged 5–15 years, research

have shown that horses adhere to the principle of hierarchy. The equations labeled as (20) and (21) in Sect. 3.6 can be utilized to establish this definition.

$$H_m^{it,a} = h_m^{it,a} \times (P_{lbh}^{(it-1)} - P_m^{(it-1)})$$
(20)

$$h_m^{it,a} = h_m^{(-1+it),a} \times w_h \tag{21}$$

Here,  $H_m^{it,a}$  depicts the position of the superior equine specimen in relation to the variable of speed. The  $P_{lbh}^{(it-1)}$  represents the rank of the top-performing horse.

#### Sociability (S)

Horses require socialization and have the ability to peacefully cohabit with other species. Wild horses form social groups to enhance their protection, as they are susceptible to predation. Pluralism enhances their chances of survival and facilitates their ability to escape. Horses often engage in combat because to their gregarious nature and their distinctiveness it contributes to their aggression. Horses aged 5 to 15 years exhibit a primary inclination towards being in the company of the herd, as indicated by the provided formulas: The tendency of a herd of horses to go behind the skilled and dominant horse is referred to as the coefficient  $h_m$  in HHOA. During the middle ages of b and g, which refers to children aged 5–15 years, research have shown that horses adhere to the principle of hierarchy. The Eqs. (20) and (21) in Sect. 3.6 provide a definition for this.

$$S_{m}^{it,a} = s_{m}^{it,a} \left[ \left( \frac{1}{N} \sum_{j=1}^{N} P_{j}^{(-1+it)} \right) - P_{m}^{(-1+it)} \right], a = \beta, \gamma$$
(22)

$$_{m}^{it,a} = s_{m}^{(-1+it),a} \times \omega_{s} \tag{23}$$

The  $S_m^{it,a}$  gives the vector of social motion exhibited by the *i*th horse.  $s_m^{it,a}$  represents its orientation towards the *i*th group. The iteration is progressively reduced in each cycle, and N denotes the total number of horses. The *s* coefficient for *g* and *b* horses is determined based on these factors.

S

#### Imitation (I)

Horses imitate and learn from one other, including adopting both beneficial and detrimental behaviors, such as identifying the most favorable grazing area. The new approach incorporates the imitation behavior of horses as a significant feature, denoted as *i*. Equations (24) and (25) provide an explanation for the enduring tendency of young horses to imitate older ones.

$$I_m^{it,a} = i_m^{it,a} [(\frac{1}{PN} \sum_{j=1}^{pN} P_j^{(-1+it)}) - P^{(-1+it)}], a = \gamma$$
(24)

$$i_m^{it,a} = i^{(-1+it),a} \times \omega_i \tag{25}$$

Here, the vector of motion representing the  $i_{th}$  horse relative to the average of the best horse at position *P* is denoted by  $I_m^{it,a}$ . The reference of the horse in the direction of the group on the  $i_{th}$  iteration is indicated by  $i_m^{it,a}$ , which decreases in each cycle with a parameter of  $\omega_i$ . *PN* stand for the best position horses, where p is equal to 10% of the selected horses.

#### Defense mechanism (D)

In HOA technique, the horses' defense mechanism operates by evading horses that display inappropriate or less than ideal behaviors. This component represents their main method of defense. As said earlier, horses must either flee or engage in combat with their enemies. A protective mechanism is present in both young and adult horses throughout their whole lifespan, whenever it is feasible. In Eqs. (26) and (27), a negative coefficient indicates the presence of the horse's protective system, which serves to distance the animal from hazardous circumstances.

$$D_m^{it,a} = d_m^{it,a} [(\frac{1}{qN} \sum_{j=1}^{qN} P_j^{(-1+it)}) - P^{(-1+it)}], a = \gamma, \beta, \gamma$$
(26)

$$d_m^{it,a} = d^{(-1+it),a} \times \omega_d \tag{27}$$

The *i*th horse escape vector denoted as  $D_m^{it,a}$ . The worst position of horses is given by qN and q is equal to 20% of the total number of horses and  $\omega_d$  represents the reduction factor per cycle.

#### Roam (R)

The parameter r is employed in the algorithm to simulate this kind of action, serving solely as a random displacement. Roaming is a behavior that is rarely observed in young horses and gradually diminishes as they grow older. Equations (28) and (29) illustrate the roaming variables in this process. Figure 8 displays the flowchart of the HOA algorithm.

$$R_m^{it,a} = r_m^{it,a} \partial P^{(-1+it)}, a = \gamma, \delta$$
<sup>(28)</sup>

$$r_m^{it,a} = r_m^{(-1+it),a} \times \omega_r \tag{29}$$

Here,  $R_m^{it,a}$  is the velocity vector of the *i*<sup>th</sup> horse for a local area search and escape from local minima is represented by an arbitrary vector. The reduction factor  $\omega_r$  for every cycle is denoted by  $r_m^{it,a}$ . By substituting the outcomes of Eqs. (18)–(29) into Eqs. (14)–(17), we may get the universal velocity vector. Speed of d horses between the ages of 0–5 years:

$$V_m^{it,\delta} = [g_m^{(-1+it),\delta} \times \omega_g (l+r*u)[P_m^{(-1+it)}]] + i_m^{(-1+it),\delta} \times \omega_i \times [(\frac{1}{PN} \sum_{j=1}^{pN} P_j^{(-1+it)}) - P^{(-1+it)}] + r_m^{(-1+it),\delta} \times \omega_r \times \partial P^{(-1+it)}$$
(30)

*g* horses velocity during 5–10 years:

$$V_{m}^{it,\gamma} = [g_{m}^{(-1+it),\gamma} \times \omega_{g}(l+r*u)[P_{m}^{(-1+it)}]] + h_{m}^{(-1+it),\gamma} \times \omega_{h} \times [P_{l}^{(-1+it)} - [P_{m}^{(-1+it)}] \\ + S_{m}^{(-1+it),\gamma} \times \omega_{S} \times \left[ \left( \frac{1}{N} \sum_{j=1}^{N} P_{j}^{(-1+it)} \right) - P_{m}^{(-1+it)} \right] + i_{m}^{(-1+it),\gamma} \times \omega_{im} \times \left[ \left( \frac{1}{PN} \sum_{j=1}^{PN} P_{j}^{(-1+it)} \right) - P^{(-1+it)} \right] \\ + rm^{(-1+it),a} \times \omega_{r} \times \partial P^{(-1+it)}$$
(31)

$$V_{m}^{it,\gamma} = [g_{m}^{(-1+it),\gamma} \times \omega_{g}(l+r*u)[P_{m}^{(-1+it)}]] + h_{m}^{(-1+it),\gamma} \times \omega_{h} \times [P_{l}^{(-1+it)} - [P_{m}^{(-1+it)}] + S_{m}^{(-1+it),\gamma} \times \omega_{S} \times \left[ \left( \frac{1}{N} \sum_{j=1}^{N} P_{j}^{(-1+it)} \right) - P_{m}^{(-1+it)} \right] - d_{m}^{(-1+it),\beta} \times \omega_{d} \times \left[ \left( \frac{1}{qN} \sum_{j=1}^{qN} P_{j}^{(-1+it)} \right) P^{(-1+it)} \right] + r_{m}^{(-1+it),a} \times \omega_{r} \times \partial P^{(-1+it)}$$
(32)

*a* horses velocity during 10–15 years:



Fig. 8. HHOA flow chart.

$$V_m^{it,\alpha} = [g_m^{(-1+it),\alpha} \times (l+r*u)[P_m^{(-1+it)}]] - d_m^{(-1+it),\alpha} \times \omega_d \times [(\frac{1}{qN}\sum_{j=1}^{qN} P_j^{(-1+it)}) - P^{(-1+it)}]$$
(33)

In this work, HHOA algorithm is selected due to its advantage which promises to deal complex, high-dimensional and multi-objective complex global optimization engineering problems. The user can tune parameters for achieving global optimal solution with fast convergence. In this case, the filter parameters, battery and shunt filter PIC gain levels, weights, and NN bias are the problem variables. As a result, each horse in HHOA is represented to indicate the variables at issue as:

$$\beta = \begin{bmatrix} K_{\rm p}, K_{\rm i}, K_{\rm pB1}, K_{\rm iB1}, K_{\rm pB2}, K_{\rm iB2}, K_{\rm pW1}, K_{\rm iW1}, K_{\rm pW2}, K_{\rm iW2}, \\ wt_{1,1}, ..., wt_{1,5}, ..., wt_{1,10}, ..., wt_{2,1}, ..., wt_{3,1}, ..., wt_{4,1}, ..., \\ Wt_{1,1}, ..., Wt_{5,1}, ..., Wt_{10,1}, ..., \beta_0, ..., \beta_5, ..., \beta_{10}, R, L, L_{PV}, L_W, L_B \end{bmatrix}$$
(34)

The bonds are represented as,

$$\beta_{i(\min)} \le \beta_i \le \beta_{i(\max)}; i = 1, 2...n \tag{35}$$

The constraints and the normalized objective functions, which give each chosen objective equal weight and take into account both minimal and maximal functions, can be used to build the fitness (F).

$$MaximizeF = \frac{1}{1 + (obj_1, obj_2)}$$

$$obj_1 = MSE = \frac{1}{n} \sum_{p=1}^{m} (O - \overline{O})^2$$

$$obj_2 = THD = \frac{\sqrt{(I_2^2 + I_3^2 + \dots I_n^2)}}{I_1}$$
(36)

In this case, m stands for the number of instances, o and  $\overline{o}$  the output that was obtained is the needed outcome. The fundamental and harmonic components of the current are indicated by the symbols  $I_n$  and  $I_i$ , respectively.

# Simulation and results

In this work, a three-phase local distribution network was selected to evaluate the proposed approach. The parameters for the overall system configuration are detailed in the appendix. To assess the effectiveness of the method, three different test cases were chosen, each featuring various load combinations and variations in power generation from the SES and WGS. The specific details of the case studies used to evaluate the proposed system are provided in Table 4. Additionally, for each test scenario, the THD of the developed system was measured and compared with the commonly used SRF and pq techniques with PIC, as well as with GA and BBO, as shown in Table 4. The resulting waveforms for case studies 1–3 are illustrated in Figs. 8, 9, 10, depicting the following: supply/grid voltage  $(V_s)$ , load voltage  $(V_l)$ , DC bus capacitor voltage  $(V_{dc})$ , load current  $(i_l)$ , filter injection currents  $(i_{di})$ , supply current  $(i_s)$ , temperature (T), and irradiation (G).

In Case 1, when Load 1 and Load 2 are connected, the load current is balanced, showing a THD of 21.25% and a power factor of 0.887, though the current is non-sinusoidal and distorted. As illustrated in Fig. 10a, the developed technique successfully eliminates harmonics from the supply current. The current waveforms also

Load/condition/objective	Case-1	Case-2	Case-3
Stable G (1000 W/m2)	*		
Varying G		*	*
Constant wind Velocity (11 m/sec)	*		
Variable velocity		*	*
Load1: nonlinear balanced bridged rectifier: 60Ω &30mH	*	*	*
Load2: nonlinear balanced bridged rectifier: 20Ω &1mH	*	*	
Load 3: RL Unbalanced Load R1, L1 = 10 $\Omega$ , 1.5 mH; R2, L2 = 40 $\Omega$ , 3.5 mH &; R3, L3 = 70 $\Omega$ , 2.5 mH			*
Load 4: EV charging station		*	*
Load 5: Asynchronous motor load $R = 500 \Omega$ , $L = 30 mH$		*	*
Load 6: Electric arc furnace			*
THD	*	*	*
PF	*	*	*
DCBCV stability	*	*	*
Power flow regulation	*	*	*

Table 4. Cases studies.





exhibit a significant reduction in THD, as shown in Table 5. By incorporating the appropriate shunt currents, the THD decreased to 3.69%, and the power factor improved to 0.988—a notable improvement compared to other conventional methods and published techniques. Figure 10b demonstrates the maximum PV and wind output powers alongside grid powers under constant wind velocity and irradiance, highlighting the enhanced performance of the proposed method in power management. Additionally, the method achieved a stable DC bus voltage in under 0.03 s.

The simultaneous integration of Loads 1, 2, 4, and 5 in Case 2 results in highly distorted and polluted load current, as depicted in Fig. 11a. Without a filter, the THD is measured at 16.65% and the power factor at 0.856. Figure 11a demonstrates the effectiveness of the developed approach in delivering harmonics-free current by injecting compensating currents to correct waveform distortions. This approach reduced the load current's THD to 3.76% and improved the power factor to 0.998. Additionally, Fig. 11b shows that fluctuating irradiation and wind velocity were considered, and it is evident that the HHOA-tuned PIC quickly stabilizes the DC bus voltage at a constant level, even with variations in solar and wind power. Power management was effectively handled, with the grid's support, ensuring steady electricity supply to the load.

In Case 3, a similar trend of increasing the power factor and decreasing the THD is observed. Due to Loads 1, 4, and 5, the load current exhibits a non-sinusoidal waveform until 0.4 s. At that point, Loads 3 and 6 are connected, leading to a non-sinusoidal waveform with unbalanced phases and an increase in current magnitude caused by the additional loads. Figure 12a illustrates the effectiveness of the proposed strategy in correcting the defects in the current waveform. Additionally, Fig. 12b shows that the recommended approach successfully maintains DC bus voltage stability under varying irradiation conditions, delivering excellent performance in power control.

This study involves conducting FFT analysis on all three test cases. Specifically, it focuses on the results from Test Case 3, which included the use of multiple loads in combination with an EV and an asynchronous motor. The PF and THD spectra for each scenario are shown in Figs. 13 and 14, respectively. Figure 9 displays the recorded time required to achieve stable DC bus voltage regulation across various control schemes. The data clearly indicates that the proposed HHOA-tuned PIC-based SHAPF can stabilize the DC bus voltage in less than 0.02 s. Besides, Fig. 15 exhibits the convergence plot comparison of case 1 for the developed method with respect to the standard metaheuristic algorithms like GA, BBO with the HHOA. However, it is clearly notable that GA reaches to 4.24% of THD in 54 iterations, BBO to 3.86% in 38 iterations while proposed HHOA in 18 iterations. The aforementioned discussions clearly illustrate that the suggested method is very efficient in decreasing THD, improving PF, and guaranteeing a constant DC bus voltage.

# Conclusion

In this work, a reduced switch 5 level converter was developed for the SHAPF, along with the use of the HHOA for selecting the optimal  $K_p$  and  $K_i$  values of the PIC for the shunt, wind, and ESS controllers, as well as for the design parameters of the SHAPF, boost, and buck-boost converters. To avoid conventional transformations, an HHOA-trained NN was used for reference signal generation, enabling quick response in regulating the DCBCV, reducing THD, and improving PF. The proposed controller effectively minimized THD to within allowable limits and increased PF to nearly unity, as demonstrated by three test studies under different demand, variable irradiation, and wind velocity conditions. This approach outperformed the commonly used SRF and pq-based PIC methods. The results showed that the proposed method achieved THD values of 3.69%, 3.76%, and 4.0%, all of which are lower than those achieved by other techniques and well within IEEE standards. Additionally, the proposed system achieved stable DC bus voltage in less than 0.05 s, faster than other methods. Future research could focus on optimizing AI-based hybrid controllers and the design parameters of the model by framing the design challenge as an optimization problem and applying the latest metaheuristic optimization methods for UPQC, including the integration of hydrogen fuel cells with renewable sources.







# (b) Source power, PV power, T, Load power

Fig. 10. Waveforms for case1. (a)  $i_{l_{y}}$ ,  $i_{sh}$ ,  $i_{s}$ , (b) Source power, PV power, T, Load power.

Case1	Case2	Case3
21.25	16.65	28.32
4.87	4.06	4.66
4.63	4.24	4.74
4.24	4.13	4.24
3.86	3.89	4.31
4.01	-	-
3.73	-	-
3.47	-	-
3.69	3.76	4.00
	Casel           21.25           4.87           4.63           4.24           3.86           4.01           3.73           3.47           3.69	Case1         Case2           21.25         16.65           4.87         4.06           4.63         4.24           4.24         4.13           3.86         3.89           4.01         -           3.73         -           3.47         -           3.69         3.76

# Table 5. %THD.





Fig. 11. Waveforms for case2. (a)  $i_{l},i_{sh},i_{s},$  (b) Source power, PV power, T, Load power.







Fig. 12. Waveforms of case3. (a)  $i_{l},i_{sh},i_{s}$  (b) Source power, PV power, T, Load power.

■ FPOA-PIDC ■ pq-PIC ■ SRF-PIC ■ With no SHAPF



\_\_\_\_\_





(b) Case-2





Fig. 15. Comparison of convergence characteristics for case-1.

## Data availability

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

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