Citation:

Link to Leeds Beckett Repository record:
https://eprints.leedsbeckett.ac.uk/id/eprint/1958/

Document Version:
Article (Accepted Version)
Psychosocial factors associated with outcomes of sports injury rehabilitation in competitive athletes: a mixed studies systematic review

**Corresponding and lead author:** Dale Forsdyke

Applied Human Sciences Department, Faculty of Health and Life Sciences, York St John University, Lord Mayors Walk, York, UK, YO31 7EX

Tel: +44(0)1904 876475

Email: d.forsdyke@yorks.ac.uk

---

**Second author:** Professor Andy Smith

Faculty of Health and Life Sciences, York St John University, Lord Mayors Walk, York, UK, YO31 7EX

Tel: +44(0)1904 876738

Email: a.smith@yorks.ac.uk

---

**Third author:** Dr Michelle Jones

School of Sport, Health, and Social Sciences, Southampton Solent University, East Park Terrace, Southampton, SO14 0YN

Tel: +44(0)238 2016831

Email: michelle.jones@solent.ac.uk

---

**Fourth author:** Adam Gledhill

School of Rehabilitation and Health Sciences, Faculty of Health and Social Sciences, Leeds Beckett University, Portland Building: PD620, Leeds, UK, LS1 3HE

Tel: +44(0)113 8125119

Email: adam.gledhill@leedsbeckett.ac.uk

---

**Key words:** psychosocial, sports injury, rehabilitation, cognition, emotion, behaviour.

---

**Word count = 4820**
ABSTRACT

Background The prime focus of research on sports injury has been on physical factors. This is despite our understanding that when an athlete sustains an injury it has psychosocial as well as physical impacts. Psychosocial factors have been suggested as prognostic influences on the outcomes of rehabilitation. The aim of this work was to address the question: which psychosocial factors are associated with sports injury rehabilitation outcomes in competitive athletes?

Study Design Mixed Studies Systematic Review (PROSPERO reg.CRD42014008667).

Method Electronic database and bibliographic searching was undertaken from the earliest entry until 1st June 2015. Studies that included injured competitive athletes, psychosocial factors, with a sports injury rehabilitation outcome were reviewed by the authors. A quality appraisal of the studies was undertaken to establish the risk of reporting bias.

Results 25 studies were evaluated, spanning 3 decades, on a total of 942 injured competitive athletes. 20 studies not previously reviewed were appraised and synthesised. The research team adjudged the mean methodological quality of the studies to be 59% (moderate risk of reporting bias). Convergent thematic analysis uncovered three core themes across the studies i) emotion associated with rehabilitation outcomes ii) cognitions associated with rehabilitation outcomes and iii) behaviours associated with rehabilitation outcomes. Injury and performance related fears, anxiety, and confidence were related to rehabilitation outcomes. There is gender, age, and injury related bias in the reviewed literature.

Conclusions The evidence reviewed indicates that psychosocial factors are associated with a range of sports injury rehabilitation outcomes. Practitioners need to recognise that an injured athlete’s thoughts, feelings, and actions are related to the outcome of rehabilitation.
What are the new findings?

- Psychosocial factors including how an athlete thinks, feels, and acts are associated with the outcomes of their rehabilitation.

- An athlete’s psychological readiness to return to play appears to be a product of fear, anxiety, confidence in performing well, and remaining uninjured.

- Being female, young, having a limited experience of injury, negative emotion, and perceptions of isolation are factors related to less successful outcomes of rehabilitation.

- Our current interpretation of a successful rehabilitation is overly simplistic and associated with many biopsychosocial, technical, and tactical factors.

- This research topic has age, injury, and gender related bias that future research should address.

How might it impact on clinical practice in the near future?

- Practitioners need to be aware that injured athletes are emotionally vulnerable, and that their emotional integrity may be questionable during rehabilitation process.

- Practitioners need to ensure injured athletes are physically, psychologically, socially, tactically, and technically ready to return to sport.

- Practitioners shouldn’t assume that physical and psychosocial recovery from injury occurs within the same timeframe.
INTRODUCTION

The prime focus of research on sports injuries has been on physical factors.\(^1\) This is despite our understanding that when an athlete sustains a sports injury it has psychosocial impacts.\(^2\, 3\) A common assumption has been that physical and psychosocial recovery occurs at the same time. Recently, it has been recognised that physical and psychological readiness to return to sport after injury do not always coincide.\(^4\) This means that athletes may return to training and competition when they are physically but not psychologically ready.

Many athletes do not return to their pre-injury level of activity, and even less return to competition.\(^5, 6\) Competitive athletes are less likely to return to a pre injury level of performance than recreational athletes.\(^6\) As rehabilitation takes place within social contexts involving many people, a key to effective rehabilitation may lie with psychosocial factors.\(^7\) Psychosocial factors can be described as ‘pertaining to the influence of social factors on an individual’s mind or behaviour, and to the interrelation of behaviour and social factors’.\(^8\) (p 1091) These factors have been identified as being important prognostic influences in a range of sports pathologies.\(^5, 9-11\)

Psychosocial factors are also present within a number of models that have been applied or developed within this area.\(^2, 12, 13\) These draw on stage based, cognitive appraisal, or biopsychosocial approaches and give a conceptual framework to work from, although no single approach predominates the evidence.\(^4\)

Three major systemic reviews have been published within this area.\(^14-16\) These have addressed the need for transparency, methodological rigour and non-biased perspectives in reporting the empirical evidence.\(^17\) Out of the three reviews two are exclusively focussed on psychosocial factors influencing anterior cruciate ligament (ACL) rehabilitation.\(^15, 16\) Whilst ACL injury has high personal impact \(^18\) this represents a narrow perspective and precludes any generalisation of the findings. To reduce injury related bias there is a need to include other injuries which have the same prevalence, severity and chronicity (e.g. high grade lateral ankle sprain, rotator cuff tendinopathy). All of these reviews agree that psychosocial factors influence rehabilitation outcomes. However, differences in constructs were apparent across the reviews. Prominent factors highlighted in these reviews include motivation, self-efficacy, perceived control\(^15\); autonomy, relatedness, competence\(^14\); and affect, cognition, behaviours.\(^16\)

These reviews report only quantitative research designs despite the existence of peer reviewed qualitative empirical studies. Previous reviews which have excluded qualitative research have
reduced the evidence on which they base their findings. There is recognition of the need for systematic methodologies to rigorously deal with diverse forms of evidence to address the disparity between academic research and practitioner experience. Integrating statistical generalisation with the in-depth description of complex phenomenon gleaned from qualitative research has the potential to provide detailed, rich, and highly practical understanding of sport injury rehabilitation. It is thought assessing the overall contribution of a body of literature with contrasting paradigms and designs can be more relevant to the clinical decision making required by practitioners.

The aim of this review was to understand the association between psychosocial factors and sports injury rehabilitation outcomes. This aim was underpinned by the research question: which psychosocial factors are associated with sports injury rehabilitation outcomes in competitive athletes? Practitioner facing implications and future research based directions will be given.

METHOD

The methodology of the review was informed by the PRISMA guidelines and recommendations by Lloyd-Jones. As an indicator of methodological quality the review was registered with PROSPERO in February 2014 (registration number: CRD42014008667). This is the only review in this field to be currently registered. The systematic review was granted ethical approval by the institutional ethics committee (ref: DF/08/09/2014/01).

Search Strategy

Eight databases were searched to effectively review the literature from an interdisciplinary perspective (i.e. SPORTDiscus, CINAHL, AMED, MEDLINE, PsychINFO, SocIndex, PEDro, ScienceDirect) using multiple keywords and Boolean phrases (table 1). The search terms were agreed a priori and informed by breaking down the research question, relevant MeSH terms, and by the biopsychosocial approaches used in the area. Extracted studies were included or excluded in a three step screening process studying each studies title, abstract and full text. Systematic bibliographic searching was carried on the final full text studies reference lists using the same process.

Table 1 Search terms used for the systematic review

<table>
<thead>
<tr>
<th>Electronic database</th>
<th>Search terms (including truncations)</th>
</tr>
</thead>
<tbody>
<tr>
<td>EBSCO Host (including SPORTDiscus, CINAHL, AMED, SocIndex, PsychINFO, MEDLINE)</td>
<td>‘Sport* inj*’ OR ‘athlet* inj*’ (ab) AND Psychosocial OR psycholog* OR emotion* (ab) AND Rehabilitat* OR recover* OR outcome* OR return (ab) AND athlet* OR player* OR individual* OR patient* (ab)</td>
</tr>
<tr>
<td>ScienceDirect</td>
<td>‘Sport* injur*’ OR ‘athlet* injur*’ (title/abstract/key words) AND</td>
</tr>
</tbody>
</table>
Eligibility Criteria

The eligibility criteria are presented in table 2. The criteria were agreed upon by the research team to avoid an unbiased evaluation of the literature. This resulted in no restriction on date of publication, gender, age, or level of performance. Each study had to conform to best practice definitions of *sports injury* and *competitive athlete*, containing discernible *psychosocial factors* influencing *sports injury rehabilitation outcomes*. Studies of non-musculoskeletal (MSK) injury such as concussion were excluded based on specific psychopathology directly effecting neurocognitive function. It is difficult to separate out the psychological consequences associated with the injury pathology from the more interpretive psychosocial responses of athletes.

<table>
<thead>
<tr>
<th>Inclusion criteria</th>
<th>Exclusion criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date unrestricted</td>
<td>Non MSK pathology (e.g. traumatic brain injury, cardiac pathology, visceral damage, spinal cord injury)</td>
</tr>
<tr>
<td>Sports injury – any MSK pathology requiring the athlete to miss at least one training session or competition</td>
<td>Non English language</td>
</tr>
<tr>
<td>Competitive athletes – competes in sport at least once per week</td>
<td>Non peer reviewed</td>
</tr>
<tr>
<td>Contain a discernible sports injury outcome</td>
<td>Reviews (all), commentaries, editorials position statements, unpublished abstracts</td>
</tr>
<tr>
<td>Contain a discernible psychosocial factor</td>
<td>Intervention studies</td>
</tr>
<tr>
<td>No gender, age or performance level restriction</td>
<td>Inventory development studies</td>
</tr>
<tr>
<td>No research design restriction</td>
<td>Studies on prevention or risk</td>
</tr>
<tr>
<td>Original empirical evidence</td>
<td>Data gathered from coach or physiotherapist or athletic trainer</td>
</tr>
<tr>
<td>Data gathered from the athlete</td>
<td></td>
</tr>
</tbody>
</table>

Quality Appraisal

To assess the methodological quality of the literature the Mixed Methods Appraisal Tool (MMAT) was used. Additional to generic criteria the MMAT has five sets of quality criteria relating to: (1) qualitative; (2) quantitative – randomised controlled studies; (3) quantitative – non-randomised controlled studies; (4) quantitative – observational descriptive studies and (5) mixed-methods studies. The overall quality score for each study was based on the methodological domain specific criteria using a percentage based calculation. Mixed methods studies were quality assessed within its own domain plus the domain/s used by its quantitative and qualitative components. According to the MMAT, for mixed methods studies the overall research quality cannot exceed the quality of its weakest component. The MMAT in this review was used to provide an informative description of overall quality and to assess the potential reporting of bias in the findings. Literature using the
MMAT has found that the consistency of the global ‘quality score’ between reviewers (ICC) was between 0.72 and 0.94.\textsuperscript{20}

**Data synthesis**

When the final studies had been identified each was read in full to enable the researchers to become immersed in the findings and inferences by *indwelling*.\textsuperscript{27} The final studies were then placed into three tables for the review (1) demographic characteristics, (2) study summary, (3) study quality appraisal. A convergent thematic analysis followed to synthesise data from different empirical findings and the assessment of methodological quality.\textsuperscript{28} A meta-aggregative approach was adopted. Meta-analysis of findings was not conducted due to the heterogeneity within the included studies research designs.

**Establishing Rigour**

To ensure rigour a peer review team was formed. The team comprised of the lead researcher (DF), a professor from the same institution (AS), and an academic from another University (AG). This team was created to minimise bias and human error. Established methods of peer debrief and use of ‘devil’s advocate’ were used to inform the reviews search strategy, records screening, and generation of final themes from the included studies.\textsuperscript{27} The full text assessment of eligibility and quality appraisal was undertaken collaboratively in working meetings. These were chaired by the lead researcher with borderline cases or contentious issues resolved through group discussion until a consensus was reached. Eligibility of final studies was carried out using a voting system to determine the basis for study inclusion or exclusion. Decisions to include or exclude studies were based on majority voting. Where further clarification was deemed necessary, additional information was sought from study author(s) or referred to an appropriate University committee.

**RESULTS**

**Literature identification**

The electronic database search was undertaken on 1st June 2015 yielding a total of 368 records, with a further 92 later identified through systematic bibliographic searching. This gave a total number of 432 progressing to the screening process following removal of duplicate records (n=28). Following screening at title then abstract level 368 records were excluded leaving 64 full text articles. At this stage of the process 39 full text articles were excluded following research team scrutiny. One study\textsuperscript{29} was referred by the team to the Chair of the Faculties Ethics Committee for advice and later included. This left 25 studies in the systematic review (Figure 1). Table three
identifies the rating for each of the final studies as a marker of agreement for inclusion by the research team (e.g. for full agreement three stars were awarded).

[INSERT FIG.1]

Figure 1 Process overview of study identification, screening, eligibility, and inclusion (adapted from Moher)

Assessment of risk of bias

The methodological quality of the final studies was assessed using the MMAT and decisions agreed by the team. Fourteen studies were assessed against qualitative criteria, five studies against quantitative (non-randomised) criteria, four studies against quantitative (descriptive) criteria, and two against mixed methods criteria (table 3). The methodological quality of the 25 studies varied between 25-75% (mean 59%). Qualitative studies scored highest for quality (mean 64%, range 25-75%), compared to quantitative studies (mean 55.5%, range 25-75%) and mixed methods (mean 37.5%, range 25-50%). Although the MMAT does not state specific thresholds for quality level it was agreed by the team in line with previous published systematic reviews that there was a moderate-high risk of reporting bias.

Table 3 Study quality appraisal

<table>
<thead>
<tr>
<th>Study/rating</th>
<th>Screening questions</th>
<th>Qualitative (all)</th>
<th>Quantitative (non-randomised)</th>
<th>Quantitative (descriptive)</th>
<th>Mixed Methods</th>
<th>Quality Score(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gordon &amp; Lindgren</td>
<td>✓ ✓</td>
<td>✓ X X X</td>
<td>X X</td>
<td>✓ ✓ ✓ ✓</td>
<td></td>
<td>25</td>
</tr>
<tr>
<td>2 McDonald &amp; Hardy</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>50</td>
</tr>
<tr>
<td>3 Johnson</td>
<td>✓ ✓</td>
<td></td>
<td>✓ X X ✓</td>
<td></td>
<td></td>
<td>50</td>
</tr>
<tr>
<td>4 Johnson</td>
<td>✓ ✓</td>
<td></td>
<td>✓ X ✓ ✓</td>
<td></td>
<td></td>
<td>75</td>
</tr>
<tr>
<td>5 Mainwaring</td>
<td>✓ ✓</td>
<td>✓ ✓ X X</td>
<td></td>
<td></td>
<td></td>
<td>50</td>
</tr>
<tr>
<td>6 Quinn &amp; Fallon</td>
<td>✓ ✓</td>
<td></td>
<td>X ✓ X X</td>
<td></td>
<td></td>
<td>25</td>
</tr>
<tr>
<td>7 Ford et al.</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td>X ✓ ✓ ✓</td>
<td></td>
<td>75</td>
</tr>
<tr>
<td>8 Tracey</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td></td>
<td>✓ ✓ ✓ ✓</td>
<td>75</td>
</tr>
<tr>
<td>9 Kvist et al.</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td></td>
<td>✓ ✓ ✓ ✓</td>
<td>75</td>
</tr>
<tr>
<td>10 Podlog &amp; Eklund</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>75</td>
</tr>
<tr>
<td>11 Thing</td>
<td>✓ ✓</td>
<td>X X ✓ X</td>
<td></td>
<td></td>
<td></td>
<td>25</td>
</tr>
<tr>
<td>12 Vergeer</td>
<td>✓ ✓</td>
<td>✓ ✓ ✓ X</td>
<td></td>
<td></td>
<td></td>
<td>75</td>
</tr>
<tr>
<td>13 Gallagher &amp; Gardner</td>
<td>✓ ✓</td>
<td></td>
<td>X X ✓ X</td>
<td></td>
<td></td>
<td>25</td>
</tr>
<tr>
<td>14 Thatcher et</td>
<td>✓ ✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>75</td>
</tr>
</tbody>
</table>
Demographic characteristics

The final 25 studies reported on 942 injured athletes across an age range between 15-37 years old (mean 23.7 years). From studies where there was clarity in gender ratio the total participant figure included 64% (n=552) male athletes and 36% (n=309) female injured athletes. The athletes included in this review were derived from team and individual sports, ranging from international levels of performance to regularly competing amateurs. The final studies covered the 25 year period from 1990 to 2015. The national affiliation of the study’s lead author highlights the global interest in this topic (e.g. Australia 44%, United Kingdom 24%, North America 20%, and Scandinavia 12%).

Study Characteristics

The 25 studies were made up of 14 qualitative, nine quantitative, and two mixed methods (table 4). This highlights a potential limitation in previous reviews which did not recognise the important role of qualitative and mixed methods studies (e.g. 14). Sports injury rehabilitation outcomes across the final studies focussed on perceived and actual markers of physical and psychological rehabilitation (supplementary table 1). For example, actual return to sport 31-33, perceived success and effectiveness34-36, time loss from competition.37 Quantitative studies were entirely correlation based utilising a wide range (n=22) of previously established inventories to measure psychosocial response, often with multiple inventories used simultaneously (e.g. 34, 38-40). Only 32% (n=7) of the inventory measures used were specific to the sports injury domain.
As found in previous literature (e.g. 14, 22) there was a broad range of operational definitions of sports injury included across the studies. 70% of studies used a time lost based definition ranging from one day\textsuperscript{37} to two months.\textsuperscript{35} Time loss from ACL injury would clearly extend this range. Where mean actual time loss was explicitly stated this ranged from 18.5 days (moderate) – 9.4 months (major).\textsuperscript{23} Return to competitive sport rates ranged from 51-78%. 31, 33 The injury characteristics revealed a bias towards serious knee injuries with eight studies solely focusing on ACL injury (32%) and eight where serious knee sprains dominated the range of pathologies (32%). Ten studies (40%) focussed on injuries requiring surgical intervention, with the remaining 15 studies (60%) including a mixture of injuries or information about whether surgical intervention was required or wasn’t stated. It is noteworthy that none of the studies reported incidence of multiple pathologies, athletes being affected by existing co-morbidity, or misdiagnosis.
<table>
<thead>
<tr>
<th>Study (date) inclusion rating</th>
<th>Operational definition of injury</th>
<th>Population studied</th>
<th>Injury type (s)</th>
<th>Sample number</th>
<th>Gender (M:F)</th>
<th>Age (mean years, SD, range)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Gordon &amp; Lindgren 29</td>
<td>Not explicitly stated</td>
<td>Elite cricket</td>
<td>Bilateral pars interarticularis defect requiring surgical intervention</td>
<td>1</td>
<td>1 male</td>
<td>Not stated</td>
</tr>
<tr>
<td>2. McDonald &amp; Hardy 42</td>
<td>Severe injury leading to time loss from sport of three weeks or more</td>
<td>NCAA Division 1 athletes from softball, basketball, track and field, tennis</td>
<td>Musculoskeletal injury including thigh strain, thigh contusion, metatarsal fracture, sprained ankle</td>
<td>5</td>
<td>3:2</td>
<td>Not stated</td>
</tr>
<tr>
<td>3. Johnson 34</td>
<td>Injury occurring in training or competition and minimum time loss of 5 weeks</td>
<td>Highly competitive or elite athletes from team (80%) and individual (20%) sports</td>
<td>Musculoskeletal injury with most common knee, foot/ankle, and shoulder</td>
<td>81</td>
<td>64:17</td>
<td>22.9-25.2</td>
</tr>
<tr>
<td>4. Johnson 32</td>
<td>Injury occurring in training or competition and minimum time loss of five weeks</td>
<td>Highly competitive or elite athletes from team (80%) and individual (20%) sports</td>
<td>Musculoskeletal injury with most common knee, foot/ankle, and shoulder</td>
<td>81</td>
<td>5:7</td>
<td>24.4</td>
</tr>
<tr>
<td>5. Mainwaring 33</td>
<td>Sport related sprain or torsion injury to the knee severe enough to require at least diagnostic surgery</td>
<td>Competitive elite or club athletes from a variety of sports</td>
<td>Sport related ACL injuries</td>
<td>10</td>
<td>6:4</td>
<td>20-29 years</td>
</tr>
<tr>
<td>6. Quinn &amp; Fallon 46</td>
<td>Physical damage sustained as a result of sport participation with time loss of four week or more</td>
<td>Elite athletes from 25 different sports (73.5% team sports, 26.5% individual sports)</td>
<td>Musculoskeletal injury – predominantly ligamentous injury knee, injury to shoulder joint, stress fractures</td>
<td>136</td>
<td>118:18</td>
<td>24.6 ± 4.5</td>
</tr>
<tr>
<td>7. Ford et al. 37</td>
<td>Medical problem sustained during practice or competition that prevented participation (training or playing) for at least one day beyond the date of occurrence.</td>
<td>Regularly competitive athletes from Australian football (41), basketball (20), cricket (14), field hockey (9), netball (26) and volleyball (11)</td>
<td>Not explicitly stated</td>
<td>121</td>
<td>65:56</td>
<td>22 ± 3.6</td>
</tr>
<tr>
<td>8. Tracey 36</td>
<td>Injury that was moderate to severe and which kept them out of practice and/or competition for at least 7 consecutive days</td>
<td>NCAA Division 3 athletes competing in a variety of team and individual sports</td>
<td>Musculoskeletal injury including ACL sprain, sprained ankle, metatarsal fracture, meniscal tear, back strain, shoulder separation, foot contusion (various grafts)</td>
<td>10</td>
<td>Mixed</td>
<td>21.1 ± 0.9</td>
</tr>
<tr>
<td>9. Kvist et al. 41</td>
<td>ACL injury, and undergone reconstruction performed at same hospital</td>
<td>Regularly competitive patient-athletes e.g. participating in soccer, handball, Ice hockey, floor ball, American football</td>
<td>ACL requiring surgical reconstruction (various grafts)</td>
<td>62</td>
<td>34:28</td>
<td>18-37</td>
</tr>
<tr>
<td>10. Podlog &amp; Eklund 34</td>
<td>Time loss of one month or more was the criteria used to denote injuries as serious</td>
<td>Competitive amateur and semi-professional athletes from a variety of individual and team sports</td>
<td>Serious musculoskeletal injury affecting knee, ankle, hip, shoulder, spine, hand</td>
<td>12</td>
<td>7:5</td>
<td>18-28</td>
</tr>
<tr>
<td>11. Thing 38</td>
<td>Not explicitly stated</td>
<td>Elite and non-elite competitive female handball athletes</td>
<td>ACL injury</td>
<td>17</td>
<td>17 female</td>
<td>19-33 years</td>
</tr>
<tr>
<td>12. Vergeer 44</td>
<td>Injury sustained during sport leading to time loss</td>
<td>Competitive rugby league athlete</td>
<td>Shoulder dislocation</td>
<td>1</td>
<td>1 male</td>
<td>28</td>
</tr>
<tr>
<td>13. Gallagher &amp; Gardner 39</td>
<td>Medically diagnosed and severity led to time loss of one week or longer</td>
<td>NCAA Division 1 athletes from nine different sports</td>
<td>Not explicitly stated</td>
<td>40</td>
<td>30:10</td>
<td>Not stated</td>
</tr>
<tr>
<td>14. Thatcher et al. 36</td>
<td>Severe injury is classified as an injury that prevents an athlete from participating in practice/competition for more</td>
<td>Competitive university athletes (karate, judo, field hockey)</td>
<td>Severe musculoskeletal injury including shoulder dislocation, knee ligament sprain, fracture of fibula</td>
<td>3</td>
<td>1:2</td>
<td>Not stated</td>
</tr>
<tr>
<td>Reference</td>
<td>Injury Description</td>
<td>Activity</td>
<td>Location</td>
<td>Injury Type</td>
<td>Participation</td>
<td>Gender</td>
</tr>
<tr>
<td>-----------</td>
<td>--------------------</td>
<td>----------</td>
<td>----------</td>
<td>-------------</td>
<td>---------------</td>
<td>--------</td>
</tr>
<tr>
<td>15. Carson &amp; Polman</td>
<td>Injury occurred during match play leading to time loss</td>
<td>Professional rugby union athlete</td>
<td>ACL injury required surgical intervention</td>
<td>1</td>
<td>1 male</td>
<td>Not stated</td>
</tr>
<tr>
<td>16. Langford et al.</td>
<td>Uncomplicated primary ACL reconstruction</td>
<td>Professional rugby union athlete</td>
<td>ACL requiring surgical reconstruction (various grafts)</td>
<td>87</td>
<td>55:32</td>
<td>27.48±5.72</td>
</tr>
<tr>
<td>17. Mankad et al.</td>
<td>Injury was absence from sport participation for a minimum of three months</td>
<td>Regularly competitive patient-athletes participating at least weekly prior to injury with intent to return to sport</td>
<td>Severe musculoskeletal injuries including knee sprain, shoulder dislocation</td>
<td>8</td>
<td>5:3</td>
<td>22.67 ± 3.74</td>
</tr>
<tr>
<td>18. Podlog &amp; Eklund</td>
<td>Athletes needed to have sustained an injury requiring a two months absence from sport-specific training and competition</td>
<td>High level amateur and semi-professional athletes returning to play post injury</td>
<td>Not explicitly stated</td>
<td>12</td>
<td>7:5</td>
<td>18-28</td>
</tr>
<tr>
<td>19. Carson &amp; Polman</td>
<td>Not stated</td>
<td>Professional rugby union athletes</td>
<td>ACL injury required surgical intervention</td>
<td>4</td>
<td>4 male</td>
<td>18-27</td>
</tr>
<tr>
<td>20. Wadey et al.</td>
<td>Injury sustained during training or competition leading to time loss</td>
<td>Club to national level athletes from rugby union, soccer, basketball</td>
<td>All lower extremity musculoskeletal including: sprain, fracture, dislocation, tendinopathy, strain</td>
<td>10</td>
<td>10 male</td>
<td>21.7 ± 1.8</td>
</tr>
<tr>
<td>21. Ardern et al.</td>
<td>ACL injury, and undergone reconstruction performed by the same surgeon</td>
<td>Regular competitive patient-athletes including: Australian football (29%), netball (19%), basketball (15%) and soccer (11%)</td>
<td>ACL requiring surgical reconstruction with hamstring graft</td>
<td>209</td>
<td>121:88</td>
<td>31.7 ± 9.7</td>
</tr>
<tr>
<td>22. Carson &amp; Polman</td>
<td>Not stated</td>
<td>Professional rugby union athletes</td>
<td>ACL injury required surgical intervention</td>
<td>5</td>
<td>5 male</td>
<td>Not stated</td>
</tr>
<tr>
<td>23. Podlog et al.</td>
<td>Current musculoskeletal injury requiring a minimum one month absence from sport participation</td>
<td>Elite level adolescent athletes from a variety of sport i.e. Basketball, netball, soccer rowing, track and field</td>
<td>Musculoskeletal injury including sprain (ACL), dislocation (knee and shoulder), fractures (fibula, arm, lumbar spine), Achilles tendinopathy, bulging disc, Scheuermann’s disease</td>
<td>11</td>
<td>3:8</td>
<td>15.3 ± 1.55</td>
</tr>
<tr>
<td>24 Clement et al.</td>
<td>Injury that had restricted their sport participation for a minimum of six weeks over the past year</td>
<td>NCAA Division II University athletes from mix of sports including: acrobatics/tumbling (n=4), football (n=3), baseball (n=1)</td>
<td>Musculoskeletal injury including: ACL injury (n=3), fractures (n=3), rotator cuff repair (n=1), chondrocyte removal from elbow (n=1)</td>
<td>8</td>
<td>4:4</td>
<td>18-22</td>
</tr>
<tr>
<td>25 Podlog et al.</td>
<td>Injury was absence from sport participation for a minimum of two months</td>
<td>Mixed level (club-professional) athletes from rugby union (n=3), football (n=2), gymnastics (n=1), martial arts (n=1)</td>
<td>All lower extremity musculoskeletal injury including: fractures metatarsal/ankle (n=3), posterior cruciate ligament rupture (n=1), bruised bone (n=1), hamstring strain (n=1), Achilles tendon damage (n=1)</td>
<td>7</td>
<td>4:3</td>
<td>21.9 ± 3.8</td>
</tr>
</tbody>
</table>

M:F, male:female; ACL, anterior cruciate ligament
Psychosocial Factors

The thematic analysis uncovered three core themes across the studies: i) injury related emotion associated with rehabilitation outcomes ii) injury related cognitions associated with rehabilitation outcomes, and iii) injury related behaviours associated with rehabilitation outcomes (table 5). The rule of inclusion used to place the key findings into these core themes was influenced by the contemporary conceptual models reported in literature. The core themes arising from the included literature were discussed and agreed by the research team for ‘best fit’ and conceptual congruency. Mean methodological quality of the themes ranged from 56.3 - 58.8%.

Table 5 thematic evaluation of the included studies (n=25)

<table>
<thead>
<tr>
<th>Core Theme</th>
<th>Sub-sets</th>
<th>Studies*</th>
<th>MMAT Quality Rating (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Injury related emotion</td>
<td>Mood (TMD, TNM)</td>
<td>2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 13, 15, 16, 17, 18, 21, 22, 23, 24, 25</td>
<td>58.8</td>
</tr>
<tr>
<td></td>
<td>Injury anxieties &amp; fears</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Emotional integrity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Injury related cognition</td>
<td>Restoring the self</td>
<td>1, 3, 4, 5, 6, 7, 8, 10, 11, 13, 14, 18, 19, 20, 22, 23, 24, 25</td>
<td>58.3</td>
</tr>
<tr>
<td></td>
<td>Basic needs fulfilment</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Personal growth and development</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Injury related behaviour</td>
<td>Coping</td>
<td>3, 4, 6, 12, 13, 15, 17, 19, 22, 23, 24, 25</td>
<td>56.3</td>
</tr>
<tr>
<td></td>
<td>Social interaction</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* where studies have multiple findings spanning a number of constructs these have been replicated across the core themes (e.g. qualitative papers that infer both emotion and cognition factors having an effect on sports rehabilitation outcomes)

Injury related emotion associated with sport injury rehabilitation outcomes

This theme was created to reflect the studies focussing on the role of emotion, mood, and affect factors on sports injury rehabilitation outcomes. Twenty of the final included studies were adjudged to have significant emotion related content. Specifically, the role of mood, anxiety and fear (re-injury and performance), and emotional integrity emerged.

A number of studies found that as rehabilitation progressed toward an actual return to sport total mood disruption (TMD) and total negative mood (TNM) decreased and more positive mood states developed. McDonald & Hardy in a study of five Division 1 athletes found a significant negative relationship between TMD and the outcome of athlete perceived rehabilitation ($r=0.69$, $p<0.0001$).

Despite returning to sport often being seen as a positive rehabilitation outcome, a number of studies reported heightened levels of anxiety and/or fear during the transition (e.g. 38, 43-46). A frequently reported cause of anxieties and fear is that of re-injury (e.g. 31, 41, 43). Performance related anxiety
and fear seems prominent when returning to sport within the studies (e.g. 36, 44, 46, 47). Podlog and Eklund 44 in a qualitative study of twelve athletes, all with severe injuries, found that successful rehabilitation was associated with effectively dealing with competition fears. Later work by the same author, on eleven injured elite adolescent athletes 45, highlighted the dual fears of pain and re-injury, together with the fear of falling behind others, missing out, and underperforming. This suggests that fear is experienced by both adult and younger athletes.

Three studies highlighted findings related to poor emotional integrity i.e. finding athletes being reluctant to discuss their emotions about being injured with their sporting peers and coaches. 36, 43, 48 Tracey 36 found that when some athletes returned to sport that their feelings of isolation/alienation remained. Mankad et al 43 suggested that the inability to ‘emotionally disclose’ within the team environment was related to an impeded long term psychological rehabilitation from sports injury.

**Injury related cognitions associated with sport injury rehabilitation outcomes**

This core theme was derived from findings related to the athlete’s interpretations, appraisals, or beliefs about themselves or their rehabilitation. 13 Eighteen studies which reached conclusions related to restoration of the self (self-confidence, self-esteem, self-identity), injury related outlook, perceptions of basic psychological needs fulfilment, and perceptions of growth and development were included. Injury related cognitions appear to serve as ‘precursors’ to the resulting emotional responses (i.e. nervousness, anxiety, excitement) and are associated with personal and situational factors. 46 Personal factors such as gender, age, limited injury experience, lowered confidence, and perceptions of isolation were all significantly related to non-return to sport cognitions. 31-33, 41 Delayed surgical intervention was a noteworthy situational factor which was associated with negative risk appraisal and non-return to sport at 2-7 years post ACL surgery. 31

Ten studies identified restoring the self as being important in the successful return to sport following injury. 29, 33, 37, 38, 40, 43, 44, 49, 50 According to the reviewed studies restoring the self appears to be i) an important motivating factor ii) a common concern when returning to sport following injury, and iii) predict time loss from sport due to injury. 37, 44, 46, 51

Six studies identified that a successful return to sport was associated with feelings of sport related self-confidence. 29, 33, 38, 40, 47, 50 Within this context sport related confidence was relative to both injury and performance. Two studies by Carson and Polman 38, 47 found confidence building was important in the return to sport with this developed from injury specific and performance specific inputs e.g. from fitness testing, performing well during activity, and the injury site feeling ‘strong’. Podlog et al. 50 found confidence was a major attribute of psychological readiness to return to sport. Overall
confidence in returning to sport was associated with the rehabilitation programme, the injured body part, and performance capability beliefs. ‘Precursors’ to developing confidence in returning to sport were noted as having trust in rehabilitation provider, satisfaction of social support needs, and achievement of physical standards / clinical outcomes. Langford et al used the ACL-RSI on injured athletes finding significant difference between the group of returners to sport and those that had not returned at 6 months ($p=0.005$) and 12 months ($p=0.001$) suggesting that self-confidence may play an important role in the decision to return to sport.

A number of the final studies (n=6, 24%) inferred that fulfilling basic psychological needs was an important predictor of successful return to sport. Of these three studies were grounded in Basic Psychological Needs Theory and were published by the same author. The studies within this subset highlight the importance of addressing relatedness, competence, and autonomy during reintegration into sporting activities in order to reduce TNM and to experience a successful rehabilitation. Notably, fulfillment of competence, relatedness, and autonomy seems important in both elite adult and adolescent populations.

Importantly, seven of the final studies (28%) suggested that perceiving injury as an opportunity for growth, and as a positive developmental experience was related to a successful rehabilitation (e.g.).

Injury related behaviour associated with sport injury rehabilitation outcomes

This core theme was created to capture the impact of physical and psychosocial behaviours on sports injury outcomes. Any study that included content on athlete effort, actions, and activities were included in this theme. Twelve studies (48%) contributed to this core theme relating to the effect of coping strategies, and social interactions on the athlete’s rehabilitation outcomes.

Across the final studies there was ambiguity in findings over which type of coping mechanism was related to positive rehabilitation outcomes. Paradoxically, avoidance focussed coping strategies were suggested as being both facilitative and also debilitating. A mixed method study of elite professional rugby players found that behavioural and cognitive avoidance coping strategies enhanced perceptions of recovery. In contrast two studies credited using avoidance coping with less successful rehabilitation outcomes such as a delay in psychological rehabilitation, and associated increase in TNM.

There was stronger agreement within the final studies about the positive association problem focussed coping strategies have on rehabilitation outcomes, such as actual reintegration back into training/competition (e.g.) Gallagher & Gardner found that in the last phase of injury
before a return to sport a significant negative relationship was found between approach focussed coping and TNM \( r = -0.354, \ p < 0.05 \). Two studies by Carson and Polman\(^ {38, 47} \) identified problem focussed coping strategies enhanced the experience of returning to sport after an ACL injury.

Although social interaction is a coping strategy in and of itself, seven studies highlighted its importance in affecting perceived and actual rehabilitation outcomes, and as such warrants its own sub-set. Studies on return to sport stressors and coping using seriously injured elite rugby players\(^ {38, 47} \) found perceptions of social support network provided by multiple agents (e.g. team mates, medical staff, coach, family, crowd) were particularly salient on returning to sport. Trust in the rehabilitation provider, feeling wanted by others, and satisfaction of social support needs were associated with psychological readiness to return to sport.\(^ {50} \) Importantly, insufficient social support appears to be associated with unsuccessful rehabilitation\(^ {32} \), and remains a common concern upon returning to sport\(^ {36, 45} \).

DISCUSSION

The aim of this review was to understand the association between psychosocial factors and sports injury rehabilitation outcomes. This aim was underpinned by the research question: \textit{which psychosocial factors are associated with sports injury rehabilitation outcomes in competitive athletes?} Twenty studies not previously reviewed were included for appraisal and synthesis. Our findings indicate that psychosocial factors (\textit{emotion, cognition, and behavior related}) are associated with a variety of perceived and actual rehabilitation outcomes. It is thought that this process is cyclical in nature.\(^ {46} \) For example, cognitions impact upon injury related emotions and behaviours, and vice versa. The evidence presented in this review is consistent with previous reviews and theoretical perspectives\(^ {2, 13, 16, 55} \). Wiese-Bjornstal\(^ {13} \) appears to provide a useful conceptual framework to understand this emerging topic.

What is not known is the extent psychosocial factors are related to rehabilitation outcomes; singularly or cumulatively, compared with biological factors. Compared with other domains of psychology the understanding of this topic is in its infancy.\(^ {24} \) The methodological quality of the final studies was agreed as poor-moderate (mean 59\%) by the research team. Therefore, the findings of this review must be viewed as having a potential reporting bias.

Other domain related systematic reviews\(^ {14-16} \) highlight fear of re-injury as one of the most common emotional factors associated with rehabilitation outcomes after severe injury. Fear is seen as a unitary construct within quantitative research designs that dominate previous reviews. In contrast, the evidence from this review highlights injured athletes experience many anxieties and fears during
rehabilitation. The articles included in this review found that the anxieties and fears athletes experience come in two forms i) re-injury related and ii) performance related. This finding is an important one in helping to inform any intervention used during the rehabilitation of injured athletes.

Evidence from this review and the broader literature suggests an association between anxiety and fear of being re-injured and rehabilitation outcomes. Little is known about which forms of anxiety and fear predominates, the interactional effects between different forms, and ultimately which is the most salient. The evidence in this review suggests that the athlete who can effectively manage anxiety and fear will experience more positive outcomes from rehabilitation. Adern et al highlighted the concept of ‘psychological readiness’ as important in determining return to sport decisions post ACL injury. The construct of ‘psychological readiness’ in terms of sports injury can be interpreted as being a combination of the athletes experiencing low levels of fear over re-injury and underperforming.

Restoring self-confidence was a key sub set emerging from the studies (e.g. Self-confidence is derived from two elements i) confidence in the injury site and ii) confidence in performance. Confidence may have a moderating effect on the emotion of fear as both seem determined by injury and performance related inputs. This review indicates that successful return to sport is underpinned by developing self-confidence cognitions, even though the mechanism of effect is not yet fully established. Confidence in returning to sport after injury appears to be a multidimensional factor. Developing confidence in both the injured body part and ability to perform to a satisfactory standard may act as a ‘buffer’ from injury related anxiety and fear. The implication of this is athletes would acquire the suitable ‘psychological readiness’ to return.

Experiencing adversity has the potential to yield positive outcomes. Nonetheless, it is important to note that stress related growth isn’t inevitable. The articles reviewed found that an ability to perceive sport injury rehabilitation as an opportunity for development and growth was associated with more positive rehabilitation outcomes. A perspective from Wadey et al (p 126) is that growth through adversity may even lead to ‘positive changes that propel them to a real or perceived higher level of functioning than that which existed prior to the negative circumstance’. It seems that perceiving the experience related to injury as positive may facilitate returning to sport, enable a more holistic recovery, and develop resilience in overcoming adversity. Previous studies have shown the different forms of growth that can occur through injury include: personal, psychological, social, and physical. This suggests practitioners should encourage athletes to reflect on the injury experience as an opportunity for growth to facilitate positive rehabilitation outcomes.
From the articles reviewed emotional integrity emerged as an important sub set. Emotional integrity relates to the athletes conscious decision to either withhold or disclose false injury related emotions. Studies found this was a common practice compounding perceptions of isolation and impeding psychological rehabilitation outcomes (e.g. 36, 43, 48). Findings support theoretical propositions of Wiese-Bjornstal13 whereby emotional integrity (or emotional inhibition as phrased in the model) is identified as an emotion related factor associated with rehabilitation outcomes. The emotional integrity or lack of it in some injured athletes could have a profound effect on the ability to collect accurate data. If there is a high incidence of ‘lack of emotional integrity’ then this may challenge the validity of some studies already published and challenges researchers to develop methodologies to overcome this problem. Both researchers and practitioners should give injured athletes the opportunity to use nontraditional forms of communication e.g. blogs and diaries.

Current empirical limitations and future directions

The empirical literature relating to adult male athletes with severe knee injury (e.g. ACL) is well established. We conclude that this has created gender, age, and injury related biases in the literature, limiting generalisability of findings. Male and females exhibit sexual dimorphic and phenotypic differences in both the physical and psychological response to injury. This can lead to very different injury experiences and outcomes.62, 63 It is has been previously been stated that age related differences is a neglected area in sport injury psychology.64 The fact that only one of the final included studies included adolescent participants highlights this problem. Researchers and practitioners should be aware of dimorphic, phenotypic, and developmental differences across athletic populations to better facilitate positive rehabilitation outcomes.

Most studies reviewed adopted the perspective that actual return to sport is the major rehabilitation outcome, and cease their data collection at this point (e.g. 39, 49). Return to play is often seen as the defining feature of recovery and has been criticised for skewing the evidence base.65 It is naïve to assume that just because an athlete returns to sport post injury that they are fully recovered both physically and psychologically. It is plausible that the interpretation of a successful rehabilitation is associated with many perceived and actual complex biopsychosocial, technical, and tactical factors. Therefore, using return to pre-injury activity levels as the sole indicator is too simplistic.

Within the studies reviewed there was a lack of detail on co-morbidity, multiple pathologies, iatrogenic issues, or mis-diagnosis issues, despite these being potentially striking features of the injured athlete’s experience.2, 13 There appears to be little empirical literature on complicated, multi-
pathological or unsuccessful rehabilitation. Studies using negative case analytical approaches could profoundly change our understanding of the area.

The overreliance of non-experimental, correlational designs within the literature restricts the ability to establish causal relationships between psychosocial factors and injury rehabilitation outcomes. Due to the nature of evidence reviewed a causal link between psychosocial factors and rehabilitation outcomes can’t be reliably inferred. Additional to exploring experiences of injured athletes, future research also needs to explore causal patterns.

Strengths and limitations of this review

There are ontological and epistemological challenges in conducting a mixed studies systematic review. The tendency for systematic reviews to exclude non experimental research has received criticism. Ferlie emphasised the dangers of a reductionist approach:

‘The world of evidence-based medicine can be characterised by an abstracted form of pure rationality, often of a meta-analytical nature.....the world of clinical (sports injury) practitioners, by contrast, may be much more local and experiential in nature.’

There is a growing call for mixed study reviews within the healthcare sector in order to address the perceived divergence between research and practice. This review is a positive response to this call and therefore offers an important contribution to the literature. The reviewed quantitative evidence provides associations between psychosocial factors and rehabilitation outcomes. Additionally, the qualitative and mixed methods evidence elucidates mechanisms behind these associations, and how psychosocial factors are modified throughout the rehabilitation process.

This review was focused on competitive athletes. Therefore, this precludes any robust generalisability to other populations such as recreational and intramural athletes or non-athletic patient groups. All levels of competitive athlete were included. It is plausible that athletes with more time investment in sport or gaining financial benefit for participation may exhibit different types and/or intensity of psychosocial factors. By not excluding dated studies and including six studies from the 1990’s (e.g. 29, 32, 40) may have led to timeframe based bias in the findings. That is, there is a danger of equating dated studies with more recent papers grounded in modern sport medicine. This review included all sports injury types to develop an understanding beyond simply ACL injury. It must be noted however, that the findings of this review are based on a sizeable percentage of post-operative ACL participants. Injury severity and type may be a confounding factor when examining sports injury rehabilitation outcomes. An athlete with more severe injuries may exhibit more prolonged and severe negative psychosocial responses proliferating into the return to
sport phase. Including studies with mixed time loss is ecologically valid, however, by aggregating studies together the ability differentiate injury experiences across specific populations is diminished. For example, whether analogous psychosocial factors are associated with injuries requiring surgical vs. non-surgical or conservative intervention could be debated.

To date this is the only systematic review to register with PROSPERO based on psychosocial factors associated with sport injury rehabilitation outcomes. The registration serves to endorse the rationale and rigour of this review. This will hopefully elevate the research area into one meriting value within the healthcare sector, and be a protagonist for further empirical investigation. If injury outcomes are associated with psychosocial factors as this and other reviews suggest, practitioners need to be empowered to recognise and address these factors or appropriately refer on.68,69

CONCLUSION

This review identified, selected, appraised and synthesised all available empirical evidence irrespective of the research design or the theoretical framework adopted. As a result this review includes evidence not previously included in earlier systematic reviews. The evidence reviewed indicates that psychosocial factors are associated with a range of actual and perceived sports injury rehabilitation outcomes. Specifically, these psychosocial factors include an athlete’s injury related cognitions, emotions and behaviours.

Contributions DF, AS, and MJ were responsible for the conception and design of this mixed studies systematic review. DF applied the search strategy, extracted data, completed PROSPERO registration, and obtained ethical approval. The peer review team (DF, AS, AG) applied the eligibility criteria at each stage, quality appraisal tool, and agreed on meta-aggregated themes. DF completed the final manuscript with critical revisions made by AS, MJ, AG.

Conflicting Interests None

Funding Not supported financially
References


reconstruction in a low-demand cohort—a randomized trial with 2 years of follow-up. *Arthroscopy* 2012;28(7):929-35.


3
4 46. Clement D, Arvinen-Barrow M, Fett T. Psychosocial responses during different phases of sport-
6
7 47. Carson F, Polman R. Experiences of professional rugby union players returning to competition
9
10 48. Thing L. “Voices of the broken body”. The resumption of nonprofessional female players’ careers
11 after anterior cruciate ligament injury. The female players’ dilemma: is she willing to run the risk?.
13
15 *Psychol Sport Exerc* 2006; 01;7(1):99-114.
16
17 50. Podlog L, Banham SM, Wadey R, Hannon JC. Psychological readiness to return to competitive
19
20 51. Mainwaring L. Restoration of the self: A model for the psychological response of athletes to
22
23 52. Ryan R, Deci E. Self-determination theory and the facilitation of intrinsic motivation, social
25
27 examination of their antecedents and underlying mechanisms. *Journal of Applied Sport Psychology*
28 2011; 04;23(2):142-58.


