

Citation:

Thornley, I and Hynd, J and Stein, S and Butterworth, M and Hind, K and Francis, P (2019) A new approach to the classification of muscle health: preliminary investigations. Physiological Measurement, 40 (8). ISSN 1361-6579 DOI: https://doi.org/10.1088/1361-6579/ab2aea

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Document Version: Article (Accepted Version)

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1	A new approach to the classification of muscle health: preliminary investigations
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## 22 Abstract

23 Objective: Upper leg skeletal or lean tissue mass, strength and muscle quality have emerged as time-sensitive 24 indices of muscular health. The aim of this study was to generate a comparative data set based on these indices, 25 in healthy young (n=30, 29.0  $\pm$  3.0 y old) and older (n=32, 58.7  $\pm$  2.8 y old) adults, in order to evaluate their 26 construct validity in establishing cut-points for muscle health. Approach: Whole body and upper leg lean tissue 27 mass was obtained (iDXA<sup>TM</sup>; GE Healthcare, Madison, WI) prior to the assessment of maximal voluntary isometric 28 torque of the knee extensors and flexors (Cybex Isokinetic Dynamometer; Humac Norm, USA). Main Results: 29 Peak isometric upper leg torque showed the greatest age-related difference (-29.0%), followed by muscle quality 30 (-19.1%) and upper leg lean tissue mass (9.8%). Significance: Cut-points based on Z and T-scores generated from 31 the young adult mean suggest muscle quality demonstrates the greatest construct validity toward the aim of 32 classifying the muscular health of adults. Data generated from large, representative and sex-specific samples are 33 required to adequately classify the muscular health of adults.

34 Keywords: muscle quality, lean tissue mass, ageing, sarcopenia

#### 35 Introduction

36 The decline in muscular strength and associated reduction in functional capability was thought to be caused by 37 a loss of muscle mass [1]. In an approach similar to that used in bone health, diagnostic criteria for sarcopenia 38 has been based on classifying individuals as having high or low indices of muscle mass relative to a healthy young 39 adult norm [2-6]. For example, sarcopenia has been considered to be a loss of muscle mass in an older adult that 40 is greater than or equal to two standard deviations below the mean value obtained from a representative young 41 adult population. These values are known as T-scores [3, 7]. Using this approach to classify young or older adults 42 muscle or lean tissue mass relative to their age-matched peers produces values known as Z-scores. These studies 43 report a prevalence of sarcopenia between  $\sim 9 - 34\%$  in adults >65y and  $\geq 50\%$  in those >80y. Prevalence 44 estimates vary widely depending on the health status and ethnicity of the population sampled. Low relative 45 skeletal muscle mass has been found to be predictive of nursing home admission [7] and perhaps can be 46 considered most predictive of functional decline in older (>70y) populations where relative change in muscle 47 mass is accompanied by relative change in muscle quality [8]. However, low relative skeletal muscle mass may 48 be a less valid tool toward the aim of being able to classify muscle health in healthy adults. This is because

49 changes in muscle strength and muscle quality, starting aged ~40 y [9, 10], occur prior to change in muscle cross-50 sectional area (CSA) [11, 12]. Furthermore, emerging data is beginning to demonstrate a number of muscular 51 indices which demonstrate more time-sensitive responses to the aging process. For example, the thigh region 52 represents a more sensitive index of age-related change in skeletal mass than the whole body [13] and is also 53 more responsive to therapeutic intervention [14-16]. Within the thigh region, the anterior compartment has 54 been shown to account for the majority of age-related change in terms of skeletal mass [17, 18] and strength as 55 represented by the force generating capacity of the knee extensors [19, 20]. Therefore, it would seem logical 56 that indices of muscle quality are based on knee extensor or combined knee extensor and flexor strength per 57 unit skeletal muscle or lean tissue mass (LTM) [21, 22].

In this study, we sought to measure upper leg LTM, maximal voluntary isometric torque of the knee extensors and flexors; and muscle quality (strength per unit tissue) in healthy young (25-35 y) and older (55 – 65 y) adults. The purpose of these measurements was to generate a comparative data set based on time sensitive indices of muscle health. From the young adult mean, cut points can be established where by young and older adult muscle health can be evaluated using Z and T-scores respectively.

#### 63 Materials and Methods

# 64 Participants and experimental procedures

65 A convenience sample of healthy young (25 - 35 y) and older (55 - 65 y) adults were recruited via email, poster 66 and word of mouth from the Leeds Beckett University campus community. The age range for younger adults 67 was selected to encompass adults who had reached maturity but were not likely to be subject to age-related 68 muscular change. The age range for older adults was selected to encompass healthy older adults who had not 69 yet retired and were prior to more advanced age-related muscular changes. After receiving a complete 70 explanation of the procedures, benefits and risks of the study, all participants gave their written informed 71 consent. The study was approved by the Research Ethics Committee of Leeds Beckett University (Ref: 12768) 72 and carried out in a manner consistent with the Declaration of Helsinki. Figure 1 illustrates the flow chart of 73 study participants from recruitment to participation. From the 74 respondents, 4 were ineligible due to 74 musculoskeletal conditions of the knee which could have impacted upon the measurement of upper leg 75 strength. Three participants dropped out prior to the study beginning due to personal reasons and 5 participants 76 failed to attend all assessments. The number of participants who completed the study (n=62) was deemed 77 sufficient to demonstrate age-related change based on the work of Lanza et al. [23] and Wu et al. [24] who 78 demonstrated age-related difference in muscle function using sample sizes of 24 and 44 respectively.

79 [Fig 1. Study participant flow chart from recruitment to participation]

80 Participants presented to the laboratory where they had an estimate of current physical activity assessed. This 81 was recorded as the type and frequency of active sessions per week using the Bone Specific Physical Activity 82 Questionnaire [25]. This was not a central focus of our investigation rather a method of estimating the relative 83 physical activity status of our young and older participants. Participants then underwent a measure of whole 84 and regional body composition. In an attempt to standardise test conditions and tissue hydration, participants 85 were instructed to refrain from strenuous exercise in the 12-h period before testing and to avoid eating within 86 4 hours of testing. Participants consumed 500 ml of water 1-h prior to testing and were instructed to void and 87 defecate, if required, immediately prior to testing. Body composition analysis was followed by an assessment of 88 maximal voluntary isometric contractions of the knee extensors and flexors.

#### 89 Body Composition

90 Height was measured to the nearest 0.1 cm by using a stadiometer (Seca) and body mass (BM) was measured 91 to the nearest 0.1kg (MC-180MA; Tanita UK Ltd.). Whole body and regional body composition was estimated 92 using Dual-energy X-ray absorptiometry (iDXA<sup>TM</sup>; GE Healthcare, Madison, WI) in accordance with procedures 93 used by Harley et al. (2011). The enCORE system software produced estimates of lean soft tissue, fat and bone 94 mineral content and density for the whole body and specific regions. The thigh, representing upper leg LTM, was 95 measured from the inferior side of the lesser trochanter until the tibiofemoral joint as described in Francis et al. 96 [20].

# 97 Maximal Voluntary Isometric Torque

98 Maximal voluntary isometric contractions of the knee extensors and knee flexors of the dominant lower limb 99 were assessed using isokinetic dynamometry (Cybex Isokinetic Dynamometer; Humac Norm, USA). The protocol 100 for assessment including warm up, positioning, familiarisation, number of trials and the criteria for acceptance 101 of an MVC has been adapted from and is described in detail in Francis et al. [20]. Muscle quality was expressed as maximal voluntary isometric knee extensor or combined knee extensor and flexor torque per kilogram upperleg LTM.

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# 106 Statistical Analysis

107 A Shapiro-Wilk test was conducted to assess normality of the data for physical characteristics, number of active 108 sessions per week, body composition, peak torque and muscle quality. Mean and standard deviation (SD) and 109 median and interquartile range (IQR) are reported. Age-related difference between young and older adults were 110 analysed using an independent sample t-test or a Wilcoxon-signed-rank test for normal and non-normal data, 111 respectively. For young adults, Z-scores were defined as  $\geq$ 1 SD or  $\geq$ 2 SD below the mean of the height adjusted 112 (ht<sup>2</sup>) muscular index. For older adults, T-scores were defined as  $\geq$ 1 SD or  $\geq$ 2 SD below the young adult mean of 113 the height adjusted (ht<sup>2</sup>) muscular index. Statistical analysis was performed by using PASW Statistics 22.0 for 114 Windows (SPSS, Inc.). Significance (2-tailed) was set at P < 0.05 for all analyses.

### 115 Results

116 Sixty-two healthy young (n=30) and older (n=32) adults completed all assessments. All participants had similar 117 physical characteristics and body composition. There was no difference in the number of active sessions young 118 and older adults participated in (Table 1). Young and older adults took part in 15 and 18 different activities 119 respectively. Most frequently reported by young adults were running (n=12), cycling (n=7) and walking (n=10). 120 Older adults mainly participated in walking (n=15), Pilates (n=9) and yoga (n=5). Upper leg LTM was lower in the 121 older adults relative to their younger counterparts but this difference was not seen for whole body LTM. Age-122 related difference in strength was similar for the knee extensors and flexors. On average, younger adults had 123 29% more upper leg strength relative to older adults (Table 2). As the relative differences in knee extensor and 124 flexor strength were similar between young and older adults so were differences in muscle quality expressed as 125 combined torque or knee extensor torque per kg upper leg LTM (Figure 2; Table 2).

A sex-specific sub-analysis was conducted to determine the influence of gender on differences reported.
Compared to the younger adults, strength (combined knee extensor and flexor torque) was lower in both men

128 (28.6%) and women (30%) ( $P \le 0.02$ ), although women appeared to demonstrate greater strength differences in 129 the knee flexors relative to men (-33.7% (P=0.02) vs. -18.9% (P=0.02)). Upper leg LTM was lower in older women 130 (-14.9%, P < 0.01) but not in older men (-7.7%, P=0.271) relative to their younger counterparts. A greater upper 131 leg LTM difference in women but a similar strength difference in both genders led to a smaller muscle quality 132 (combined strength relative to upper leg LTM) difference in older women compared to older men (-17.5% 133 (P=0.019) vs. -21.4% (P=0.018)).

- Based on indices of whole or upper leg LTM none of the younger or older adults had Z or T scores  $\geq$  2. Knee
- extensor torque per kg body mass identified a small (n=5; 16.6%) proportion of young adults and a large
- 136 proportion of older adults (n=13; 40.6%) with Z or T-scores  $\geq$ 2. Muscle quality expressed as knee extensor torque
- 137 per kg upper leg LTM identified four (12.5%) older adults with a T-score  $\geq$ 2 (Table 3).
- 138 [**Fig 2.** Age-related median difference (16.4%) in muscle quality defined as knee extensor torque per kg upper
- 139 leg lean tissue mass]

**Table 1.** Physical characteristics, body composition and activity levels of healthy young (25 – 35y) and older (55-65y) adults<sup>1.</sup>

	Young (n=30)	Older (n=32)	P <sup>2</sup>
Age (years)	29.0 ± 3.0	58.7 ± 2.8	
Height (cm)	172.4 ± 10.7	168.0 ± 9.2	0.082
Body mass (kg)	67.7 (23.0)	70.8 ± 13.9	0.704
BMI kg/m <sup>2</sup>	24.3 ± 3.9	24.3 (4.8)	0.473
Body Fat (%)	27.0 ± 9.2	27.9 ± 10.5	0.720
LTM (kg)	46.1 (16.5)	46.5 ± 9.7	0.464
Active Sessions (per week)	$4.6 \pm 1.5$	4.6 ± 2.2	0.877

<sup>1</sup>Values are means ± SDs or medians (IQR). No significant differences were found between groups. <sup>2</sup>P values for the difference between young and older groups analysed by independent t test or Mann-Whitney U test.

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Young (n=30)	Older (n=32)	$\Delta^2$	Δ%	P <sup>3</sup>
5.1 (1.6)	4.6 (1.6)	0.5	9.8%	0.045
		(0.2 – 1.3)		
227.1 ± 88.2	157.9 ± 58.9	69.2 (18)	30.5	0.001
		(31.3 – 107.1)		
96.4 ± 38.3	58.3 (43.4)	38.1	39.5	0.007
		(5.7 – 42.1)		
323.5 ± 122.0	229.8 ± 88.3	93.7 (26.9)	29.0	0.001
		(39.9 – 147.6)		
58.7 ± 14.6	47.5 ± 13.1	11.2 (3.5)	19.1	0.002
		(4.2 – 18.3)		
41.1 ± 10.4	32.9 ± 10.0	8.2 (2.6)	20.0	0.002
		(3.0 – 13.4)		
	227.1 $\pm$ 88.2 96.4 $\pm$ 38.3 323.5 $\pm$ 122.0 58.7 $\pm$ 14.6	$227.1 \pm 88.2$ $157.9 \pm 58.9$ $96.4 \pm 38.3$ $58.3 (43.4)$ $323.5 \pm 122.0$ $229.8 \pm 88.3$ $58.7 \pm 14.6$ $47.5 \pm 13.1$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

**Table 2.** Upper leg LTM, muscle strength and muscle quality of healthy young (25 – 35y) and older (55-65y) adults<sup>1.</sup>

144 145 146 <sup>1</sup>Values are means ± SDs or medians (IQR). No significant differences were found between groups. <sup>2</sup>Differences reported as

mean difference (std. error difference), 95% confidence interval (CI) or median difference and 95% bootstrap CI. <sup>3</sup>P values

for the difference between young and older groups analysed by independent t test or Mann-Whitney U test.

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Table 3. Young adult muscle health classified according to z-scores and older adult muscle health
classified according to t-scores.

	Whole body LTM/ht <sup>2</sup>	Upper leg LTM/ht²	Knee Extensor Torque (N∙m kg⁻¹)	Muscle Quality (knee extensor torque N∙m kg⁻¹)
Z-Score		Υοι	inger Adults n (%)	
1	3 (10 %)	4 (13.3 %)	5 (16.6 %)	10 (33.3 %)
2	-	-	3 (10 %)	-
T-Score		O	der Adults n (%)	
1	3 (9.4 %)	12 (37.5 %)	13 (40.6 %)	9 (28.1 %)
2	_	_	9 (28.1 %)	4 (12.5 %)

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#### 151 Discussion

152 The purpose of this study was to generate a comparative data set of time sensitive indices of muscular health 153 between healthy young and older adults. The main reason for this was to enable calculation of Z and T-scores 154 for young and older adults respectively. These data help to make preliminary suggestions as to the most 155 appropriate muscular indices for the assessment of muscle health in adults. Although upper leg LTM was lower 156 (-9.8%) in older adults it did not identify any older adults as having a T score  $\geq$ 2. By contrast, knee extensor 157 torque per kg body mass seemed to classify ~69% (n=22) of the older adults at least  $\geq 1$  (n=13) or  $\geq 2$  (n=9) T-158 scores below the young adult mean. In a group of adults of similar physical characteristics and body composition, 159 this index may only reveal that strength normalised to stature is lower in older adults compared to their younger 160 counterparts. In other words, an index based around muscular strength may be highly sensitive but not very 161 specific and as such may not be able to distinguish between those who have lower muscle strength and those 162 at risk of functional decline. Participants in this study were healthy and physically active, to support the construct 163 validity of the index it might be expected that a greater number of participants would be identified as 1 T-score 164 below the young adult mean rather than 2. To this aim, muscle quality seemed to represent a more appropriate 165 index. The number of older adults classified 1 or 2 T-scores below the young adult mean for muscle quality is 166 more conservative. Furthermore, muscle quality appears to have construct validity in a healthy sample of older 167 adults by classifying a greater number as 1 T-score rather than 2 T-scores below the young adult mean (n= 9 vs. 168 n =4).

169 The discussion around whether these indices can establish an accurate prevalence of 'reduced muscular health' 170 is of course limited by the small size we have used in this preliminary investigation which is not sex-specific. 171 Furthermore, although muscle quality appears to demonstrate greater construct validity, it is difficult to pass 172 comment on the validity of an index without knowing the consequences for functional performance [26]. The 173 results of this study which appear to support muscle quality as a sensitive index of muscle health are interesting 174 considering our group and others have reported muscle strength to better distinguish functional performance 175 compared to muscle quality in older adults [27, 28]. This has led us to question the validity of the muscle quality 176 index as a marker of functional capability [29], particularly given the increase resource required to quantify 177 skeletal mass via imaging methods. It may be that a combination of muscle quality and functional performance 178 is required to develop an index of 'reduced muscular health' that may be predictive of future risk of sarcopenia.

179 Although, not the main focus of our investigation, it is pertinent to comment on the age-related difference in 180 the muscular indices measured and gender differences observed. Comparison of discrete groups of young and 181 older adults with similar physical characteristics, body composition and a similar number of active sessions per 182 week are less frequent in the literature. The advantage of these groups, whilst acknowledging the limitation of 183 the cross-sectional design, is that the main difference between them is age. From our data, upper leg LTM and 184 strength can be estimated as ~3.3% and 10.1% lower per decade of age respectively. These estimates are 185 consistent with the 3 - 6% and 8 - 15% per decade decline in lower limb skeletal muscle or lean tissue and 186 strength reported in the literature [9, 10, 13, 20].

187 Contrary to our hypothesis that the knee extensors would demonstrate a preferential age-related difference 188 relative to the knee flexors, strength differences between both muscle groups in the upper leg were similar. In 189 fact, the median age-related difference in knee flexor torque appeared greater than the mean difference in the 190 knee extensors, although this difference disappeared when torque was combined to represent the upper leg. It 191 is possible that the older adults who appear to have maintained or increased their activity in later life have 192 reduced the preferential difference in knee extensor torque which can be as high as 19-20% per decade in cross-193 sectional study designs [8, 20]. This interpretation must be considered cognisant that while the older adults 194 complete a similar number of active sessions per week, the predominate mode of exercise is of lower intensity 195 and muscular demand than their younger counterparts (walking (n=15) vs. running (n=12)). This more 196 conservative strength difference may go some way to explaining why the age-related difference in muscle 197 quality is at the lower end (6.7 % per decade) of the per decade range (5 – 27% per decade) reported previously 198 [29]. Although these are plausible explanations, Wu et al. [24] report similar results to our study in that strength 199 differences occur at a similar rate (~10% per decade) and evenly between the knee flexors and extensors. The 200 finding of an even difference in strength between the knee extensors and flexors is also consistent with the early 201 work of Frontera et al. [1]. It may be that the preferential difference in knee extensor strength we previously 202 reported is confined to those >50y or specific to women [20]. Although, Frontera et al. [19] also reported a 203 preferential decline in knee extensor strength in a longitudinal analysis, it is in a small sample (n=12).

Sub-group analysis by gender revealed that the majority of age-related difference in upper leg LTM was driven by females (-14.9%). The difference between young and older men was not statistically significant (-7.7%, P=0.271). These findings are in agreement with those of Lynch et al. [10] and Janssen et al. [13] who report 207 women to have a greater leg lean or muscle mass decline relative to men between the 3<sup>rd</sup> and 6<sup>th</sup> decade 208 respectively. The per decade decline (4.9% (DXA) and 5.7% (MRI)) reported by the authors is similar to that 209 reported in this study (5.0%). The finding that men and women demonstrate a similar difference in leg strength 210 (~30%) with age is in agreement with previous studies. This study appeared to suggest a greater loss of knee 211 flexor torque in women relative to men (-33.7% vs. -18.9%), a finding which has been reported in one other 212 longitudinal study [12]. However, previously we have reported the measurement of maximal voluntary knee 213 flexor torque to be less reliable relative to the measurement of the knee extensors [20]. This may play a role in 214 our study particularly given the small sample used. An even decline in overall lower limb strength for men and 215 women and a greater decline in upper leg LTM for women meant that older women appeared to have a lower 216 difference in muscle quality compared to older men. This gender difference has been reported cross-sectionally 217 and longitudinal in adults in the 7<sup>th</sup> decade of life [8]. It may also be due to LTM remaining stable in men until 218 age 60y but becoming noticeable different from a young adult in women aged 50y [31]. These gender differences 219 may have had an impact on the Z and T-scores we reported above, however, the 4-older adults classified as 220 having a muscle quality measurement >2SD below the young adult mean were split evenly between genders i.e. 221 2 male and 2 female. Interpretation of the sex-differences in this study must be made cognisant of the small 222 numbers of young men (n=12) and women (n=18) and older men (n=13) and women (n=19). The age-related 223 difference in upper leg LTM, strength and muscle quality are in line with but toward the lower end of the ranges 224 reported in the literature. This is likely due to the relative health of the older adults as indicated by their 225 maintenance of whole body LTM and activity profile. Nonetheless, the differences between young and older 226 healthy adults are still substantial which is in agreement with data demonstrating that not even masters athletes 227 can avoid the age-related decline in muscular health [30]. A strength of the convenience sample used in this 228 study is that all participants were recruited from within a similar community, were healthy, not retired and had 229 a similar number of active sessions per week. This allowed to us to generate a comparative data set where age 230 was the main difference between groups. Our study is limited by a small size and the cross-sectional nature of 231 the design which cannot account for inter-generational differences or a survival bias toward the healthier older 232 adults. Furthermore, our young adult data is not based on a sex-specific mean which may influence the cut-off 233 points identified. Finally, although the number of active sessions per week were similar, younger adults had a 234 preference for activities of higher intensity and muscular demand which could influence the magnitude of 235 difference reported.

- 236 In summary, this preliminary investigation reported muscle quality as the index of greatest construct validity in
- the measurement of muscle health when assessed via T-scores. Further work is required in a representative,
- 238 gender specific sample of healthy adults across a greater number of age ranges. The collection of functional
- performance data in tandem with such measures is required in order to support the criterion validity of an index.
- 240 Age-related difference in time-sensitive muscular indices between healthy young and older adults were
- 241 consistent with the literature although at the lower end of that previously reported. This was perhaps
- 242 demonstrates the relative health of the sample under investigation.
- 243 [31]
- 244 References

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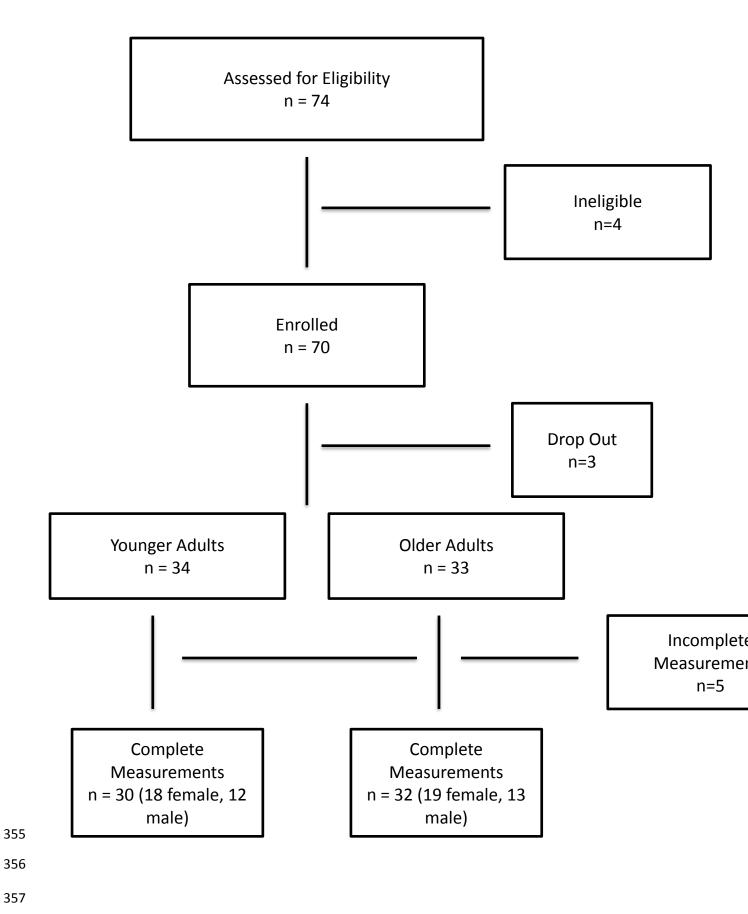
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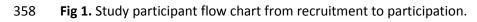
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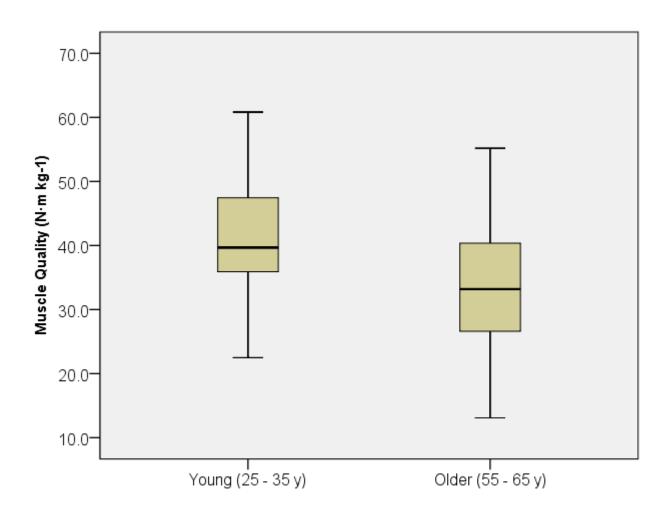
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**Fig 2.** Age-related median difference (16.4%) in muscle quality defined as knee extensor torque per

361 kg upper leg lean tissue mass.