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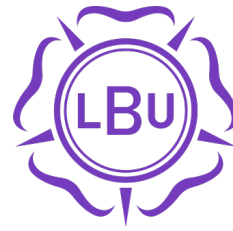
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A biopsychosocial interpretation of the Neuropsychiatric Inventory-Nursing Home (NH):
reconceptualising psychiatric symptom attributions

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23 **Abstract**

24 *Background:* The Neuropsychiatric Inventory is predicated on the assumption that psychiatric
25 symptoms are manifestations of disease. Biopsychosocial theories suggest behavioural
26 changes viewed as psychiatric may also arise as a result of external behavioural triggers.
27 Knowing the causes of psychiatric is important since the treatment and management of
28 psychiatric symptoms relies on this understanding.

29 *Aims:* This study sought to understand the causes of psychiatric symptoms recorded in care
30 home settings by investigating qualitatively described symptoms in NPI-NH interviews.

31 *Method:* The current study examined the NPI-NH interviews of 725 participants across 50
32 care homes. The qualitatively described symptoms from each of the 12 subscales of the NPI
33 were extracted: 347 interviews included at least one qualitatively described symptom (n=651
34 descriptions). A biopsychosocial algorithm developed following a process of independent
35 researcher coding (n=3) was applied to the symptom descriptions. This determined whether
36 the description had predominantly psychiatric features, or features that were cognitive or
37 attributable to other causes (i.e. issues with Orientation & Memory, Expressions of Need,
38 Poor Care and Communication or Understandable Reactions)

39 *Results:* Our findings suggest that the majority (over 80%) of descriptions described
40 symptoms with features that could be attributable to cognitive changes and external triggers
41 (e.g. poor care and communication).

42 *Conclusions:* The finding suggest that in its current form the NPI-NH may over attribute the
43 incidence of psychiatric symptoms in care homes by overlooking triggers for behavioural
44 changes. Measures of psychiatric symptoms should determine the causes of behavioural
45 changes in order to guide treatments more effectively.

46 **Funding:** The report is based on research commissioned and funded by the National Institute
47 for Health Research Health Technology Assessment programme [REDACTED].

48 ***Data Sharing Statement***

49 The data collected for this study can be made available by contacting the corresponding
50 author.

51 ***Declaration of Interest:*** None

52 ***Author Contributions:*** All authors meet the criteria for authorship stated in the Uniform
53 Requirements for Manuscripts Submitted to Biomedical Journals. SS contributed to the
54 analysis and interpretation of data, drafting of the article and revisions for critical content and
55 final approval of the article. AG contributed to data collection, analysis and interpretation of
56 data, revisions for critical content and final approval of the article. BC contributed to data
57 collection, interpretation of data, revisions for critical content and final approval of the
58 article. CSass contributed to the data analysis, interpretation of data, revisions for critical
59 content and final approval of the article. CS contributed to the design of the study,
60 interpretation of data, revisions for critical content and final approval of the article.

61 **Introduction**

62 Psychiatric symptoms are common in dementia and include disturbances of mood, perception
63 and behaviour such as depression, apathy, disinhibition and hallucinations¹. The prevalence
64 of psychiatric symptoms in care home residents ranges from 40-85%² representing a
65 challenge for care providers and policy makers. Although treatment includes pharmacological
66 and non-pharmacological options, prescribing antipsychotics to manage psychiatric
67 symptoms is contentious due to limited efficacy and long lasting side effects³. Providing and
68 developing appropriate and effective treatments relies on the accurate identification of
69 psychiatric symptoms as they occur.

70 The Neuropsychiatric Inventory (NPI;⁴) represents a well-established measure of psychiatric
71 symptoms in dementia frequently used in RCTs of pharmacological and non-pharmacological
72 interventions^{5,6}. The Neuropsychiatric Inventory – Nursing Home version⁷ is a proxy
73 interview based measure, predicated on ten behavioural and two neuro-vegetative categories.
74 However, there are noted limitations of the NPI (and subsequent NPI-NH). Namely that they
75 are predicated on the assumption that psychiatric symptoms are manifestations of disease⁴,
76 and not designed to distinguish between behaviours caused by disease and behaviours that
77 represent a reaction to the physical or social environment⁵.

78 Since the NPI was developed the extent to which pathology contributes to psychiatric
79 symptoms, and the degree to which neurological and psychiatric symptoms overlap in
80 dementia, has been debated. For example Crossley et al. (2015) sought to determine, by meta-
81 analysis of neuroimaging evidence, whether distinct brain regions are implicated in
82 psychiatric and neurological symptoms; comparing the brain regions that had been implicated
83 in 24 psychiatric and neurological conditions (as described in the ICD-10), drawing on data
84 from at least 7 VBM studies for each disorder. The disorders included several types of

85 dementia and psychiatric disorders. Their findings implicated distinct regions in psychiatric
86 (cingulate, medial frontal, superior frontal and occipital cortex) versus neurological (Basal
87 ganglia, insula, sensorimotor and temporal cortex) disorders. In their initial analysis dementia
88 was classified as a neurological disorders, although dementias are described as both
89 neurological and psychiatric in the ICD-10. Confirmatory analysis in which the dementias
90 (Alzheimer's, frontotemporal and dementia in Parkinson's disease) were classified as
91 psychiatric disorders was also conducted. In this subsequent confirmatory analysis,
92 classifying dementias as psychiatric disorders led to changes in the degree to which temporal
93 regions were associated with psychiatric disorders. The temporal cortex was primarily
94 implicated in neurological disorders when dementias were classified as neurological, whereas
95 it was primarily implicated in psychiatric disorders when dementias were classified as
96 psychiatric.

97 These findings speak to the difficulty of classifying psychiatric symptoms in dementia.
98 Dementia is primarily considered a neurological disorder associated with cognitive
99 symptomology, with the tendency for psychiatric symptoms to manifest in later stages⁹. Only
100 in less common types of dementia are psychiatric symptoms a hallmark of the dementia
101 phenotype e.g. frontal dementia and DLB¹⁰. The findings from Crossley et al. which indicate
102 that brain regions associated with cognitive symptoms are implicated when dementia is
103 treated as a psychiatric may suggest that cognitive changes drive psychiatric symptoms in
104 dementia.

105 This view is consistent with a biopsychosocial approach, in which psychiatric symptoms can
106 be understood as arising from the interplay between neurological changes expressed as
107 cognitive symptoms and environmental triggers, or as the result of understandable reactions
108 to care being provided. If this is the case then symptoms may be amenable to be treatment by
109 manipulating or changing the environment or caregiving interactions.

110 A range of external factors may cause expression of psychiatric symptoms in dementia, such
111 as unmet needs and lack of activity¹¹, environmental triggers¹², and the interactions between
112 people with dementia and their caregivers¹³. A biopsychosocial (BPS) approach can be
113 applied to understand the degree to which behavioural changes are a function of the
114 interaction between the person (including neurobiological changes and cognitive symptoms),
115 their personal history and personality, and the social environment in which they exist¹⁴.

116 The NPI-NH in its current form endorses reporting behaviours as part of a unified
117 neuropsychiatric symptomology regardless of the degree to which the symptom is predicated
118 on cognitive, psychiatric or external triggers. For example, one of the questions related to
119 symptoms of agitation is “Does the resident get upset when people are trying to care for
120 him/her or resist activities such as bathing or changing clothes?” in the context of the NPI a
121 person experiencing reluctance and distress when entering a bathroom would be unilaterally
122 labelled as agitated. Applying the principles of a BPS approach the same behaviours may
123 represent an understandable reaction to the distress caused by not understanding why they are
124 entering a bathroom (cognitive changes) and having personal clothing removed by a stranger
125 (external cues).

126 Recent studies using the NPI have identified that levels of psychiatric symptoms vary across
127 settings suggesting that the NPI is picking up on environmental cues, even though this is not
128 being recorded¹⁵. For example, lower levels of apathy are observed in services where there
129 are more staff led activities for residents. This indicates that although the NPI does not seek
130 to distinguish between environmentally triggered behaviours it is sensitive to environmental
131 and social triggers.

132 In the current study we sought to explore the types of behaviours described as psychiatric
133 symptoms in the NPI-NH, adopting an approach similar to previous research in clinical

134 settings that used algorithms to distinguish between neurological (cognitive) and psychiatric
135 symptoms¹⁰. Previous studies have sought to determine where symptoms predominantly
136 cluster, for example 1) primary cognitive syndromes where the cognitive deficits are the
137 signal features 2) psychiatric syndromes in which the psychiatric symptoms are the primary
138 features^{10,16}.

139 The present study adopted a similar algorithmic approach, with the additional consideration
140 of the degree to which environmental triggers and caregiver interactions contributed to the
141 described symptoms by analysing qualitative descriptions of symptoms recorded by
142 researchers on the NPI-NH.

143 In summary, the present study sought to explore the nature of symptoms rated as psychiatric
144 in a large randomised controlled trial, and understand the impact of applying an alternative
145 algorithm that accounted for psychiatric, cognitive, environmental, and care related factors on
146 overall NPI-NH scores.

147 **Method**

148 *Participants*

149 Participants (N = 725) were recruited from 50 care homes (M = 15 residents per care home)
150 as part of a randomized controlled trial¹⁷ (blinded for review), we present baseline only.

151 Permanent residents with a formal diagnosis of dementia or a score ≥ 4 on the Functional
152 Assessment Staging Test of Alzheimer's disease (FAST)¹⁸ were recruited. Residents were
153 ineligible if they had been formally admitted to an end of life care pathway or were cared for
154 in bed. The average age was 85.7 (range: 57-102). The majority of participants were female
155 (536; 74%) and identified as White British (702; 96%). One participant was removed due to
156 missing data.

157 *Measures*

158 The Neuropsychiatric Inventory Nursing Home version (NPI-NH⁷) was completed for all
159 participants by a staff proxy with a researcher. This measure consists of 12 subscales e.g.
160 delusions, hallucinations. For each subscale the NPI-NH includes a number of predetermined
161 questions to identify whether specific behaviour are present e.g. under agitation/aggression
162 “Does the resident shout, make loud noises, or swear angrily?” For each subscale there is also
163 an ‘other’ response (except for ‘aberrant’) where staff can provide qualitative description
164 behaviours that don’t reflect the predetermined questions. For aberrant behaviours the
165 qualitative component simply asks raters to provide more information.

166 If the proxy respondent answers yes to any predetermined question or provides a description
167 of an “other” behaviour they are asked to report how frequently the behaviour(s) occur on a
168 4-point scale (rarely to very often), the severity of the symptoms (mild, moderate or severe)
169 and their occupational disruptiveness on a 6-point scale (not at all to very severely). In this
170 study we analysed the qualitative descriptions of behaviours recorded in the “other” category.

171 *Data preparation*

172 Prior to algorithm development cases where no qualitative description was entered in any of
173 the symptom categories were removed. This provided a total of 347 participants, who had a
174 qualitative description of at least one symptom (median = 2, range = 1-8).

175 *Algorithm development*

176 Three of the authors trained in the use of the NPI (SS, AG, and CS) independently
177 thematically coded symptoms with qualitative descriptions for 1/3 of the 347 participants.
178 The independent coding was predicated on a biopsychosocial approach, as first purported by
179 Kitwood¹⁹ in the Enriched Model of dementia, and subsequently updated to inform
180 approaches to practice²⁰ and person-centred care²¹.

181 The process described in figure 1 was followed by each independent rater for each qualitative
182 description of a symptom. The qualitative symptoms varied in length and detail. For example
183 “*Selectively resistant*” (Agitation); “*Used to sing along with the radio, it is not that she has*
184 *lost interest. She does not have the ability to do activities/interests any more*” (Apathy); “*If*
185 *staff are walking past, she requires attention. Will call out and ring bell. Can be aggressive if*
186 *attention not given e.g. hit staff*” (Agitation). The researchers examined the descriptions from
187 biopsychosocial perspective and noted where there was information that could indicate a
188 causal interpretation of the behaviour, behavioural trigger, or information that might suggest
189 that the behaviour does not meet the threshold for being pathological or abnormal. For
190 example “*Doesn't like loud noise - leaves room*”. The raters then shared their interpretations
191 of behaviours indicating causal features that could be identified in the symptom description
192 or alternative behavioural explanations. These were reviewed across the three raters and
193 themes were elicited that captured the potential biopsychosocial interpretations of symptoms.
194 These themes were generated by examining how each rater had described potential causal
195 factors, behavioural triggers or alternative interpretations that featured in the description, and
196 generating categories based on the similarities between these features. For example, features
197 described as negative communication, malignant social psychology, or negative staff
198 interaction were grouped into the theme “Poor Care and Communication”. In the resulting
199 algorithm there are four ways in which the symptoms can be interpreted. Where it is
200 identified that symptoms cluster around predominantly cognitive and environmental triggers,
201 four biopsychosocial interpretations of symptoms can be considered; issues with Orientation
202 & Memory (O&M), Expressions of Need (EoN), Poor Care and Communication (PCC) and
203 Understandable Reactions (UR). The algorithm is presented in Figure 1.

204

205

[Figure 1]

206 *Role of the funder*

207 The report is based on independent research commissioned and funded by the National
208 Institute for Health Research Health Technology Assessment programme [REDACTED]. The
209 views and opinions expressed are those of the authors and do not necessarily reflect those of
210 the HTA, NIHR, NHS or the Department of Health and Social Care.

211 *Ethics*

212 The authors assert that all procedures contributing to this work comply with the ethical
213 standards of the relevant national and institutional committees on human experimentation and
214 with the Helsinki Declaration of 1975, as revised in 2008. All procedures involving human
215 subjects/patients were approved by NRES Committee Yorkshire and the Humber-Bradford
216 Leeds REC [REDACTED] Written informed consent was obtained from all subjects.

217 **Findings**

218 *Testing the Algorithm for Reliability*

219 Having established the algorithm, each rater independently applied the algorithm to the
220 dataset (347 participants and 651 qualitative symptom descriptions). The reliability of the
221 algorithm was interrogated by establishing interrater reliability. We were interested in the
222 consistency of rating symptoms as either 1) predominantly psychiatric symptoms (PS), 2)
223 predominantly cognitive involving environmental triggers allocated on of the four
224 biopsychosocial categories (O&M, PC&C, EoN, UR), or 3) being coded in error (six codes in
225 total). Agreement between the raters was calculated in three ways for each subscale on the
226 NPI NH; the percentage agreement of categorisation of symptoms between raters,
227 Krippendorfs alpha (K alpha) and the mean Kappa agreement between the rating pairs e.g.
228 $(k_{\text{RaterA\&B}} + k_{\text{RaterA\&C}} + k_{\text{RaterB\&C}}) / 3$ (see appendix A supplementary materials). Good
229 percentage agreement was greater than 75%. Moderate Kappa agreement $> .40$, good

230 agreement is greater than >0.60 . Scores >0.57 are considered to represent good agreement
231 using the Krippendorff calculation²².

232 Overall none of the subscales represented poor agreement across all agreement outcomes,
233 although were subject to variations across the methods. The subscale that demonstrated
234 weakest agreement using the Krippendorff and Kappa statistic was Elation. This is likely due
235 to the very few ($n=10$) qualitatively described instances of this behaviour; percentage
236 agreement was generally good. Conversely, Agitation and Anxiety demonstrated weaker
237 percentage agreement but good agreement using Krippendorff and kappa statistics, likely due
238 to the greater number of instances and thus variability.

239 Overall, there were only 7 instances in which at least two raters were not in agreement
240 regarding the symptom description. The findings indicate that overall the framework used is a
241 reliable indicator for the qualitatively described symptoms.

242 *Applying the Algorithm to the dataset*

243 The algorithm was applied to all 651 qualitatively described symptoms in the dataset.
244 Disagreement between raters regarding was resolved by consensus agreement. If at least two
245 raters were not in agreement a discussion between the raters informed the final categorisation.
246 The number of symptoms associated within each primary coding category is presented in
247 Table 1.

248 Overall, most (75%) of the qualitatively described symptoms were correctly assigned as
249 psychiatric symptoms based on the NPI-NH manual descriptors. However, when considering
250 biopsychosocial explanations for the behaviour, 59% of these behaviours were predominantly
251 attributed to other causes, and only 16% were coded as predominantly psychiatric. Of the
252 remaining 25% of symptoms, 22% represented symptoms predominantly attributable to other
253 causes (CEC) that should not have been assigned as psychiatric symptoms based on the NPI-

254 NH manual. An example from from the depression category is “*Upset when family don’t*
255 *visit*” which was understood using the algorithm as an understandable reaction. Finally, 3%
256 of symptoms were recorded in error and did not represent behaviours relevant to the sub-
257 scale. For example, “*Aggression*” (Depression).

258 [Table 1]

259 Patterns of classification were relatively consistent across the subscales. The highest
260 proportions of items assigned correctly as psychiatric symptoms but under the algorithm
261 attributable to predominately cognitive or environmental triggers (NPI CEC) was in the Sleep
262 and Delusions subscales. The subscale of Apathy appeared to be the least understood, with
263 43% of symptoms being incorrectly assigned as psychiatric symptoms (CEC). For example,
264 “*When he is tired he will sleep, not do new things.*” The symptoms classified as
265 predominately cognitive involving environmental cues or care interactions (NPI CEC and
266 CEC) were further examined under the four biopsychosocial categories (see table 2).

267 [Table 2]

268 Overall, the majority (58%) of symptoms examined within these categories (NPI CEC or
269 CEC) were attributable to expression of need (EoN). In the Aberrant behaviour category 90%
270 of the symptom descriptions related to expressions of need. For example, “*shakes hands and*
271 *squeezes hands*” and “*going to the toilet excessively and becoming fidgety*”. With the
272 exception of the sub-categories Delusions, Hallucinations and Agitation, expression of need
273 was the most common code applied to the qualitatively described symptoms.

274 In the category of Delusions the most frequent attribution (50/59) for the symptom described
275 was problems with Orientation and Memory (O&M). Behaviours described in this category
276 were associated with problems with recognition memory, long-term memory or orientation.
277 For example, “*believes family members are in the building and she needs to find them*” and

278 “*thinks she needs to go home to see her husband and children*”. In the sub-category of
279 hallucinations five of the nine symptoms were coded as problems with Orientation &
280 Memory (e.g. *looks in mirror / sees own reflection but talks as if it is someone else*).

281 The majority of symptoms that were not predominantly psychiatric in the subscale of
282 agitation were related to poor care and communication (PC & C) (40 instances). For example,
283 “*can be physically aggressive, particularly if her frame is taken away. She grabs/snatched at*
284 *things*”. Many of these described behaviours occurred during personal care. For example,
285 “*fearfulness, can freeze and go rigid and it makes personal care difficult*”. Although a high
286 number of symptoms in this category (32) were expressions of need (EoN). For example, “*if*
287 *staff are walking past, she requires attention*”, “*will call out and ring bell*” and “*can be*
288 *aggressive if attention not given e.g. hit staff*”.

289 Many instances of depression were assigned correctly as psychiatric according to the manual
290 but with a biopsychosocial lens represented predominantly cognitive features involving
291 environmental cues or care interactions (CEC). These were attributed to either an expression
292 of need (e.g. “*crying sometimes in relation to pain*” and “*waking during the night*”) or
293 understandable reactions (e.g. “*wants to go home. Misses daughter. Quiet and sleepy*” and
294 “*upset when family don't visit*”).

295 Overall, there were very few instances of elation; according to the algorithm the majority
296 represented expressions of need, (e.g. “*tends to hug carers arms during these periods*” and
297 “*hugging and kissing*”). Similarly, the majority of disinhibition behaviours were coded as
298 expressions of need. For example, “*very in the moment - takes clothes off if wet or*
299 *uncomfortable*” and “*will take food from other residents, will pick at himself in public areas*
300 *if defecated*”. *Impulsively asking non-verbally for cigarettes or food*.

301 **Overall NPI scores**

302 To understand the impact of applying the algorithm on the total NPI score we compared
303 overall standard NPI-NH scores of the 725 participants with their scores with the
304 qualitatively described symptoms removed. As described, total NPI scores are derived from
305 the frequency x severity scores in each sub category. Removing the influence of the
306 qualitatively described symptoms means that the frequency x severity ratings are not reported
307 when they are derived solely from the qualitatively described symptom. T-tests were
308 conducted for (see Table 3). The inclusion of qualitatively described symptoms described in
309 the “other” category had a significant impact on the overall NPI score ($t=6.14$ $df=24$ $p<.01$).
310 The NPI score indicates a higher degree of severity when the qualitatively described
311 symptoms are included; the sub categories of delusions, anxiety, depression and irritability
312 contribute to this effect.

313 [Table 3]

314 **Discussion**

315 Our findings suggest that the majority of qualitatively described symptoms in the NPI may
316 relate to symptoms that are predominantly cognitive involving environmental triggers or care
317 interactions. This raises questions about how the NPI is, or should be, used the context of
318 informing individualised care and evaluating care practices. In the context that the NPI was
319 designed, a medicalised explanation was attributed to all behaviours labelled as symptoms.
320 Our findings suggest that the NPI overestimates the presence of predominantly psychiatric
321 symptoms. Removing qualitatively described symptoms in our sample caused significant
322 reductions in overall NPI score.

323 In our findings around 60% of the symptoms were attributed correctly according to the
324 manualised instructions of the NPI-NH, which does not require raters to account for the
325 causes of the behaviours. However, around 25% of the symptoms were reported as

326 psychiatric symptoms in error; i.e. contrary to the NPI-NH manual, suggesting issues with
327 user administration. Of the 651 symptoms we applied the biopsychosocial algorithm to only
328 16% were coded as predominantly psychiatric.

329 The findings are in line with previous suggestions that the NPI-NH is limited by failing to
330 take account of the other causes or explanations for behaviours^{5,23}. It is important to
331 understand causes for behaviour in order to guide treatments and interventions. Our
332 suggestion is the NPI-NH in its current form may over medicalise symptoms by suggesting
333 that they are predominantly psychiatric, when symptoms may represent understandable
334 reactions to care interactions or environmental cues that are modifiable. This has significant
335 clinical implications in cases in which the NPI is used to guide treatment decisions i.e.
336 unnecessary psychiatric prescriptions.

337 In line with Zuidema et al., who found NPI-NH rated apathy to be lower in environments
338 where more activities are provided, our findings also suggest that symptoms can reflect the
339 physical or social environment. In turn these may represent proxy indicators of poor care or
340 less enriched care environments. Across all categories, symptoms were most commonly
341 attributed to being expressions of need. In the context of a person-centred model of
342 behaviour, expressions of need tend occur in the absence of good person-centred care²⁴. For
343 agitation, the majority of symptoms reflected poor care or communication; for example “*can*
344 *be physically aggressive, particularly if her frame is taken away*”. In this instance the cue
345 (removal of walking aid) impacts on sense of safety/comfort, or may restrict independence. In
346 the context of a biopsychosocial approach this behaviour may be reduced by reassuring the
347 individual that the walking aid is nearby, or not removing the aid in the first instance.

348 Although behaviours were commonly seen as expressions of need, they were attributable to
349 different causes at different rates across the sub-categories. An example of this was observed

350 in the sub-category of delusions, in which the majority if the symptoms described could be
351 attributed to difficulties with Orientation and Memory, a common dementia symptomology
352 e.g. “*thinks she needs to go home to see her husband and children*”. This symptom can be
353 understood in the context of the patient experiencing Anosogusia (unawareness), which
354 results from the long-term memory deficit common to Alzheimer’s disease related to
355 hippocampal pathology²⁵. According to Morris’ model of Anosognosia²⁶ the experience
356 results from the failure of the individuals’ ability to update their personal memory store. This
357 includes personal semantic and episodic information, such as where the person is now living,
358 meaning the person thinks they still live in the place they previously called home. Thus, the
359 individual is unaware that they are currently living in a care home. Amendments to the
360 wording and administration guidelines of the NPI-NH could be implemented to ensure that
361 predominantly cognitive and non-cognitive symptoms are not conflated.

362 Likewise some NPI-NH sub-scales such as Agitation/Aggression include predetermined
363 questions that describe predominantly environmentally triggered behaviours, and therefore
364 potentially encourage raters to see all agitated behaviours as predominantly psychiatric. For
365 example, *Does the resident get upset when people are trying to care for him/her or resist*
366 *activities such as bathing or changing clothing?* Revising NPI-NH wording to ensure that the
367 wording it does not promote recording behaviours that are likely to have social or
368 environmental causes, or to ensure that the predominant cause of the behaviour is recorded, is
369 recommended.

370 Our findings did suggest an element of user error. Previous findings have also suggested
371 adaptations to the NPI-NH may improve its reliability by making it more accessible to care
372 staff, such as adopting a diarised method with greater scope to record behavioural
373 antecedents²⁷. Our findings would additionally recommend that users of the NPI-NH tool
374 have a good understanding of biopsychosocial approaches to care in order to distinguish

375 between triggers or alternative attributions for behaviour. The findings of this study also
376 suggest that NPI-NH might be reviewed to recognise alternative interpretations and causes of
377 behaviours. This may require further research, development and validation with consideration
378 of the NPI-NH training, instruction manual and administration and recording procedures.

379 In summary, this study has investigated the nature of qualitative descriptions of psychiatric
380 symptoms in the NPI-NH and the degree to which these behaviours may or may not represent
381 predominantly psychiatric symptoms. Our findings suggest that a significant proportion
382 symptoms may be predominantly cognitively rooted and/or environmentally triggered. It may
383 be feasible and useful for amendments to be made the NPI-NH that distinguish between
384 causes of symptoms and additional consideration be given to these factors in NPI
385 administration and training. This would result in greater accuracy in recording predominately
386 psychiatric symptoms in dementia and would align to best practice recommendations with
387 regards to informing person centred non-pharmacological treatment options as first line
388 treatments.

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462 Table 1: Overview of qualitative symptom classifications using the NPI-NH framework

Subscale	Error	Predominantly Psychiatric (PS)	NPI: Cognitive, Environmental, Care (NPI CEC)	Cognitive, Environmental, Care
Delusions	3 (4%)	7 (10%)	57 (83%)	2 (3%)
Hallucinations	0 (0%)	10 (53%)	2 (11%)	7 (37%)
Agitation	2 (2%)	5 (6%)	52 (64%)	22 (27%)
Depression	4 (6%)	22 (33%)	22 (33%)	19 (28%)
Anxiety	3 (4%)	21 (25%)	48 (58%)	11 (13%)
Elation	0 (0%)	0 (0%)	5 (50%)	5 (50%)
Apathy	0 (0%)	2 (5%)	22 (52%)	18 (43%)
Disinhibition	4 (12%)	6 (18%)	16 (48%)	7 (21%)
Irritability	0 (0%)	7 (12%)	44 (76%)	7 (12%)
Aberrant	2 (2%)	17 (21%)	56 (68%)	7 (9%)
Sleep	2 (4%)	0 (0%)	45 (92%)	2 (4%)
Appetite	1 (2%)	4 (7%)	42 (72%)	11 (19%)
Total	21 (3%)	101 (16%)	411 (59%)	118 (22%)

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481 Table 2: Classification qualitatively described “other” symptoms defined as predominantly
 482 Cognitive involving Environmental triggers or Care interactions (CEC)

Subscale	O&M	PC&C	EoN	UR	Total CEC symptoms (n)
Delusions	50 (85%)	8 (14%)	1 (2%)	0 (0%)	59
Hallucinations	5 (55%)	1 (11%)	3 (33%)	0 (0%)	9
Agitation	0 (0%)	40 (54%)	32 (43%)	2 (3%)	74
Depression	3 (7%)	0 (0%)	23 (56%)	15 (37%)	41
Anxiety	9 (15%)	15 (25%)	27 (46%)	8 (14%)	59
Elation	1 (10%)	1 (10%)	8 (80%)	0 (0%)	10
Apathy	2 (5%)	2 (5%)	35 (85%)	2 (5%)	41
Disinhibition	0 (0%)	4 (17%)	19 (83%)	0 (0%)	23
Irritability	1 (2%)	13 (25%)	33 (65%)	4 (8%)	51
Aberrant	4 (6%)	1 (2%)	57 (90%)	1 (2%)	63
Sleep	16 (34%)	1 (2%)	30 (63%)	0 (0%)	47
Appetite	9 (17%)	2 (4%)	42 (79%)	0 (0%)	53
Total	100 (19%)	88 (17%)	310 (58%)	32 (6%)	530

483 O&M=Orientation and Memory, PCC= Poor Care and Communication, EoN= Expression of
 484 Need, UR= Understandable Reaction

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486 Table 3: Total NPI-NH scores (frequency x severity) for each subscale with and without the
 487 inclusion of scores derived solely from qualitatively described symptoms

Sub Category	Subscale	Freq x Severity Score
Delusions	Standard score	·88
	Excluding qualitative	·76
Hallucinations	Standard score	·58
	Excluding qualitative	·58
Agitation	Standard score	2·2317
	Excluding qualitative	2·2290
Depression	Standard score	1·1393
	Excluding qualitative	1·1214
Anxiety	Standard score	1·0772
	Excluding qualitative	·9917
Elation	Standard score	·3214
	Excluding qualitative	·3172
Apathy	Standard score	1·5986
	Excluding qualitative	1·5945
Disinhibition	Standard score	·69
	Excluding qualitative	·67
Irritability	Standard score	1·76
	Excluding qualitative	1·73
Aberrant	Standard score	1·8441
	Excluding qualitative	1·8055
Total	Standard score	12·1159
	Excluding qualitative	11·7834

488 *significant group difference using t-test at $p < .05$ ** significant group difference using t-
 489 test at $p < .01$