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# Greenhouse Gas Emission Reduction in Residential Buildings: A Lightweight Model to be Deployed on Edge Devices

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## Abstract

Electricity produced and used in the residential sector is responsible for approximately 30% of the greenhouse gas emissions (GHGE). Insulating houses and integrating renewable energy and storage resources are key for reducing such emissions. However, it is not only a matter of installing renewable energy technologies but also of optimizing the charging/discharging of the storage units. A number of optimization models have been proposed lately to address this problem. However, they are often limited in several respects: (i) they often focus only on electricity bill reduction, placing GHGE reduction on the backburner; (ii) they rarely propose hybrid-energy storage optimization strategies considering thermal and storage heater units; (iii) they are often designed using Linear Programming (LP) or metaheuristic techniques that are computational intensive, hampering their deployment on edge devices; and (iv) they rarely evaluate how the model impacts on the battery lifespan. Given this state-of-affairs, the present article compares two approaches, the first one proposing an innovative sliding grid carbon intensity threshold algorithm developed as part of a European project named RED WoLF, the second one proposing an algorithm designed based on LP. The comparison analysis is carried out based on two distinct real-life scenarios in France and UK. Results show that both algorithms contribute to reduce GHGE compared to a solution without optimization logic (between 10 to 25%), with a slight advantage for the LP algorithm. However, RED WoLF makes it possible to reduce significantly the computational time ( $\approx 25$  min for LP against  $\approx 1$  ms for RED WoLF) and to extend the battery lifespan (4 years for LP against 12 years for RED WoLF).

**Keywords:** Greenhouse Gas Emission, Energy efficiency, Photovoltaics, Battery, Edge computing, Linear Programming

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## 1. Introduction

Globally, the residential sector accounts for a substantial part of the consumed energy and greenhouse gas emission (GHGE) (Baek and Kim, 2020). Reducing GHGE can be achieved by better insulating houses and buildings, switching from polluting (albeit cheap) coal to natural gas or renewable energy sources (Lazarus and van Asselt, 2018), and developing intelligent applications to efficiently integrate

such renewables resources with flexible storage systems (Ahmed et al., 2021). Indeed, it is not only a matter of installing renewable energy technologies (e.g., PV array, wind or biomass), but also of optimizing the charging/discharging of the storage units (e.g., battery, thermal storage, electric vehicles, etc.) (Al-Shahri et al., 2021).

A number of charging and discharging optimization models of storage units have been proposed in the literature (Hannan et al., 2021). Although these models may differ in terms of required infrastructure (e.g., different renewable energy sources, loads), targeted fitness goals, they are often limited in three-respects. First, they are often designed based on Linear Programming (LP), which can quickly become complex and time consuming with the increase in the number of constraints and variables. Significant computation requirements of LP can have negative environmental impacts due to computational energy consumption.

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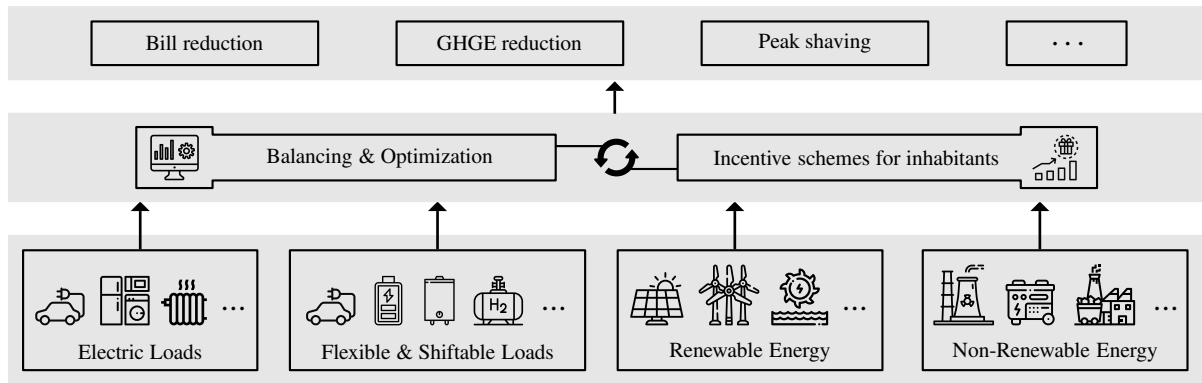


Figure 1: Nanogrid main technological constituents

Heuristic methods to solve LP’s can combat the computation issue, but the trade off is in solution quality with heuristics providing sub-optimal solutions. Second, they often focus on cost – *electricity bill* – reduction, placing environmental goals such as GHGE reduction, maximization of the system’s lifespan, on the backburner. Third, they often consider a single storage unit (mostly Battery Energy Storage System - BESS) and rarely propose hybrid-energy storage optimization strategies (e.g., combining BESS with thermal storage, storage heaters, *etc.*). Such limitations have been stressed and discussed in the recent survey published by Hannan et al. (2021). To overcome these limitations, an innovative sliding grid carbon intensity threshold approach, developed as part of a European project named RED WoLF<sup>1</sup> (Rethink Electricity Distribution Without Load Following), has been presented initially in (Shukhobodskiy and Colantuono, 2020), modified in (Ortiz et al., 2021) and extended with (Wiesheu et al., 2021), which can act on any dwelling. In the present article, the goal is to study the extent to which RED WoLF outperforms LP or heuristic-based algorithms in terms of GHGE reduction efficiency, battery lifespan maximization, and computational complexity. The latter (computational complexity) is of particular importance with the advent of Edge Computing in the energy sector (Munir et al., 2019), which pushes the frontier of computation applications away from centralized nodes (Cloud) to the communication network’s extremes (Edge).

In section 2, a review of existing energy storage optimization strategies is carried out, based on which research trends and gaps are discussed. Section 3 presents the RED WoLF system and underlying logic, but also proposes an extension of the algorithm introduced by Olivieri and McConky (2020) with the aim of integrating PV energy resources into their model.

<sup>1</sup><https://www.nweurope.eu/projects/project-search/red-wolf-rethink-electricity-distribution-without-load-following/>

Both algorithms are evaluated and compared in section 4 considering two real-life scenarios (houses) from France and UK, the conclusion follows in section 5. Overall, the present paper differs from our previous papers in several respects:

- first, an in-depth analysis and comparison between two approaches (rule-based vs. Linear programming) aiming at reducing carbon emission in residential houses are carried out. To the best of our knowledge, no study has ever conducted such an analysis in the field of low greenhouse gas emission houses.
- second, in order to allow for fair comparison between the two approaches, an extension of the initial Olivieri’s model is proposed to integrate PV systems;
- third, even if the prime objective is to reduce CO<sub>2</sub>, an in-depth analysis and comparison analysis of how the two models behave in terms of the battery lifespan and computational time needed to solve the problem are carried out.

## 2. Scope, Definition and Positioning

Section 2.1 gives the context of our contribution focusing on the energy field. Section 2.2 discusses how our research progresses the current state-of-the-art.

### 2.1. Scope and Definition

The energy life cycle consists of several stages, spanning from its generation and transmission to its distribution and consumption (Saleem et al., 2019). The present research falls within the scope of energy management at the consumption stage, and more exactly in residential nanogrids (Burmeister et al., 2017). Energy management in nanogrids usually consists of four equipment categories, as depicted in Figure 1, namely:

- *Electric Loads*: referring to house equipment that consume energy such as appliances, Electric Vehicle (EV), HVAC equipment, *etc.*;
- *Flexible & Shiftable Loads*: referring to equipment able to store energy for later use (incl., batteries, storage heaters, water cylinders, or stationary electrical vehicles) or to shift consumption from the peak of the utility provider’s demand curve, when energy is most precious, to another most appropriate time (e.g., by delaying the start time of the washing machine or the charging start time of the EV);
- *Renewable energy sources*: referring to energy sources that can be regenerated and sustainably utilized from nature including non-fossil energy such as wind energy, solar energy, biomass energy, geothermal energy or kinetic ocean energy;
- *Non-renewable energy sources*: referring to energy sources that have finite supplies and cannot be restored or regenerated in short periods of time (incl., coal, natural gas, oil, nuclear energy).

Depending on the type of nanogrid architecture (i.e., presence or not of renewable energy sources, flexible loads, *etc.*) and the targeted objectives (e.g., reducing energy bills and/or GHGE and/or extending device lifetimes, *etc.*), the Energy Management System (EMS) integrates different logics (Georgiou et al., 2019), as reviewed and discussed in the next section.

## 2.2. Current state-of-affairs

This section presents an overview of the current state-of-affairs, along with the trends and gaps in the literature. The methodology applied for reviewing the literature is detailed in Figure 2. Sources such as doctoral dissertations, master’s theses, textbooks and unpublished papers were ignored. A first filter, denoted by (1) in Figure 2, has been applied, consisting in selecting articles based on the abstract content. This led us to keep 202 articles. A second filter, denoted by (2), has then been applied to keep papers dealing with energy storage optimization (147 articles were identified). A final third filter denoted by (3), was applied to keep only papers proposing approaches at the residential level only. This led us to review 43 articles, which have been classified in Table 1 based on the following criteria/categories:

- *Lifecycle phase*: highlights whether the proposed approach deals with an optimization problem at the Design (D) phase (e.g., for battery sizing) or at the Operational (O) one (i.e., for deciding when to consume/store/release energy);
- *Optimization goal(s)*: highlights what objective(s) is/are targeted by the proposed approach,

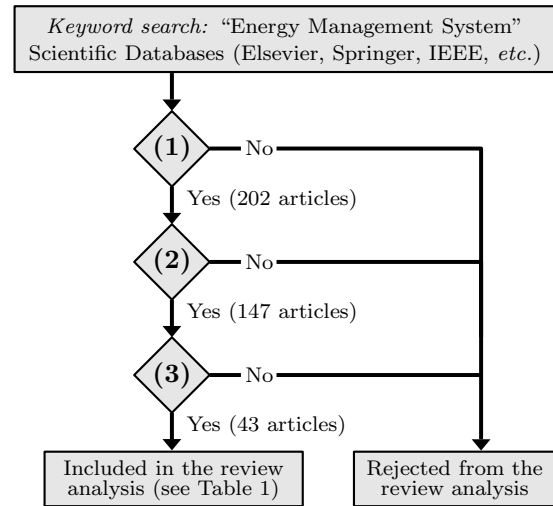


Figure 2: Literature review process

which are categorized as follows: (i) bill reduction; (ii) GHGE reduction; (iii) peak shaving; (iv) sustainability; (v) grid independency; (vi) fuel reduction;

- *Energy storage*: highlights what storage systems are considered/used, which are categorized as follows: (i) BESS (battery energy storage system) to (ii) hydro, (iii) Electric Vehicle (EV), (iv) thermal or heating, and (v) fuel cell storage. This category also emphasizes whether the approach takes advantage of (vi) shiftable loads;
- *Energy production*: highlights what production systems are considered/used, which are categorized as follows: (i) fossil fuel, (ii) electrical grid; (iii) PV array; (iv) wind turbine;
- *Method*: highlights the type of methods used for optimization: (i) Heuristic (H); (ii) Metaheuristic (MH); (iii) Mathematical Programming (MP); (iv) Rule-Based (RB); (v) Multi-Criteria Decision Attribute (MCDA).

A first interesting finding from this review is that there is a similar proportion of articles dealing with optimization problems at the design (D) phase and at the operational (O) one. In the former (D), articles mainly focus on optimizing the hardware constituents (battery size, installation cost, self-consumption capabilities, *etc.*) as well as the equipment configuration to meet the various possible objectives (e.g., total cost of the installation, environmental impact, self-consumption). The HOMER (Hybrid Optimization Model for Electric Renewable) software, developed by the National Renewable Energy Laboratory (NREL), appears in several of these articles such as (Fodhil et al., 2019), as it allows for simulating and

Table 1: Classification of the scientific articles reviewed throughout Section

	Lifecycle Phase	Optimization Goals					Storage/Shiftable					Production				Method		
		Bill reduction	GHGE reduction	Peak Shaving	Sustainability	Grid Interdependency	Fuel reduction	Shiftable Load	BESS	Hydro	EV	Thermal / Heating	Fuel Cell	Fossil Fuel	Electrical Grid		PV Array	Wind Turbine
Tooryan et al. (2020a)	D	■	■		■	■		■			■	■	■	■	■	■		MH
Tooryan et al. (2020b)	D	■	■		■	■		■			■		■	■	■	■		MH
Das et al. (2020)	D	■	■		■	■		■			■		■	■	■	■		MCDA
Yazan M. et al. (2019)	D	■	■		■	■				■			■	■	■	■		MH
Awan et al. (2019)	D	■	■		■	■		■				■	■	■	■	■		MH
Ashraf et al. (2020)	D	■	■		■	■		■					■	■	■	■		MH
Awan (2019)	D	■	■		■	■		■					■	■	■	■		H
Fodhil et al. (2019)	D	■	■		■	■		■					■	■	■	■		MH
Fonseca et al. (2021)	D	■	■		■	■		■				■	■	■	■	■		MP
Ayse Fidan and Muhsin (2020)	D	■	■		■	■		■				■	■	■	■	■		MH
Bingham et al. (2019)	D	■	■		■	■		■					■	■	■	■		MH
Salehi et al. (2019)	D	■	■		■	■		■			■		■	■	■	■		RB
García-Vera et al. (2020)	D	■	■		■	■		■					■	■	■	■		MH
Aziz et al. (2019)	D	■	■		■	■		■		■			■	■	■	■		RB, H
Pandžić (2018)	D	■	■		■	■		■					■	■	■	■		MP
O'Shaughnessy et al. (2018)	D	■	■		■	■		■					■	■	■	■		H
Nguyen et al. (2014)	D	■	■		■	■		■					■	■	■	■		MP
Borra and Debnath (2019)	D		■			■							■	■	■	■		MH
Arévalo et al. (2020)	D																	RB
Bhayo et al. (2020)	D							■		■					■	■		MH
Haidar et al. (2018)	O	■	■					■					■	■	■	■		MP
Mahmud et al. (2018)	O	■	■					■		■			■	■	■	■		RB
Liu et al. (2020)	O	■	■					■					■	■	■	■		RB
Nagapurkar and Smith (2019)	O	■	■		■			■				■	■	■	■	■		MH
Olivieri and McConky (2020)	O	■	■					■					■	■	■	■		MP
Schram et al. (2020)	O	■	■		■	■		■					■	■	■	■		H
Stepaniuk et al. (2018)	O	■	■					■					■	■	■	■		RB
Terlouw et al. (2019a)	O	■	■		■			■					■	■	■	■		MP
Terlouw et al. (2019b)	O	■	■					■			■		■	■	■	■		MP
Moradi et al. (2016)	O	■	■					■					■	■	■	■		MP
Nottrott et al. (2013)	O	■		■				■					■	■	■	■		MP
Yadav et al. (2018)	O	■		■				■					■	■	■	■		MP
Mulleriyawage and Shen (2020)	O	■			■	■		■					■	■	■	■		MP
Litjens et al. (2018)	O	■			■	■		■					■	■	■	■		RB
Adefarati et al. (2019)	O	■			■	■		■					■	■	■	■		MH
Aziz et al. (2019)	O	■			■	■		■					■	■	■	■		RB, H
García-Triviño et al. (2016)	O	■			■	■		■				■	■	■	■	■		MH
Marzband et al. (2016)	O	■				■		■					■	■	■	■		MH
Marzband et al. (2017)	O	■				■		■					■	■	■	■		MP
González-Briones et al. (2018)	O	■						■					■	■	■	■		RB
Luo et al. (2020)	O	■						■				■	■	■	■	■		MH
Shukhobodskiy and Colantuono (2020); Ortiz et al. (2021)	O		■			■		■			■		■	■	■	■		RB
Auñón-Hidalgo et al. (2021)	O					■		■			■		■	■	■	■		RB
Georgiou et al. (2020a)	O		■		■	■		■					■	■	■	■		MP
Georgiou et al. (2020b)	O		■		■	■		■					■	■	■	■		MP,MH
Zhang et al. (2012)	O	■			■	■		■					■	■	■	■		MCDA
		39	27	2	18	20	5	3	38	2	2	5	6	18	28	31	14	

analyzing different types of renewable energy infrastructures. Although our article focuses on the operational phase (optimizing energy storage over time), our review evidences that optimization also plays a key role at the design phase.

Regarding the articles at the operational (O) phase, most of the literature focuses on optimizing charging/discharging cycles of the energy storage systems to shift the consumption from peak to off-peak hours. As evidenced in Table 1, all the reviewed articles

adopt a multi-objective optimization model, aiming at first – *in 85% of the reviewed articles* – reducing the electricity bill, second – *54%* – at reducing GHGE, third – *46%* – at improving sustainability aspects (e.g., extending the battery lifespan) and/or grid interdependency, while peak shaving and fuel reduction have been considered infrequently in the reviewed papers. The reason for this is twofold: (i) fuel reduction and peak shaving are often formulated as overarching objectives when there is no connexion

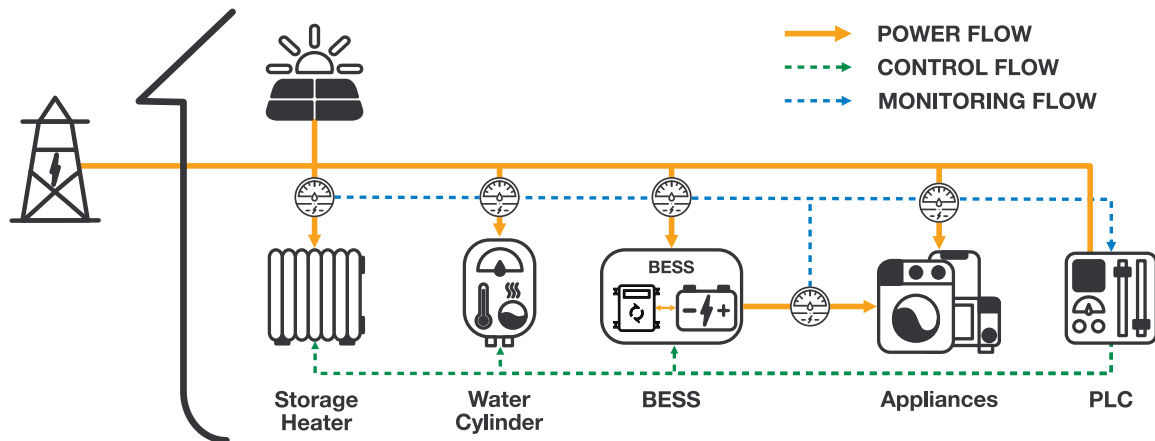


Figure 3: Overview of the RED WoLF's hardware architecture, along with the underlying power, data monitoring and control flows

to the electrical grid; and (ii) there are partly tackled implicitly when addressing the GHGE reduction and bill reduction problems (fuel reduction being mainly linked to GHGE and peak shaving to financial costs).. From an energy production and storage viewpoint, a significant proportion of the reviewed articles – 65% – consider a combination of electrical grid, PV and BESS technologies, which can be explained by the fact that it is often the most economical configuration, as analyzed in (Murty and Kumar, 2020). Another interesting point is that a couple of approaches propose to combine different types of storage such as BESS and EV (Mahmud et al., 2018), BESS and hydrogen storage (Bhayo et al., 2020), or still BESS and thermal storage (e.g., water cylinder) (Terlouw et al., 2019b), which provides additional flexibility for energy management. Looking at the optimization techniques used for problem-solving, most of the approaches – in 73% of the reviewed articles – rely on optimization solvers or heuristic algorithms, which require a certain amount of time to find optimal solutions, often growing exponentially along with the increase of constraints and variables. This constitutes a serious impediment for the development of Edge Computing solutions in the energy sector, as thoroughly discussed by Feng et al. (2021).

Given the lack of approaches combining different types of storage systems, and the fact that most of them are computationally intensive, a new hybrid storage system for GHGE reduction in residential houses/dwellings is being developed by the Interreg NWE RED WoLF consortium, as originally presented in (Shukhobodskiy and Colantuono, 2020). Section 3 recalls the infrastructure and logic underlying RED WoLF, but also proposes an extension of the LP-based algorithm introduced by Olivieri and McConky (2020) with the aim to integrate PV into the model.

### 3. GHGE reduction systems

The hybrid-energy storage strategy proposed in RED WoLF is detailed in section 3.1. The extension of Olivieri's model is then presented in section 3.2.

#### 3.1. RED WoLF optimization system

Figure 3 gives an overview of the hardware, electrical and communication architecture underlying the RED WoLF system introduced in (Shukhobodskiy and Colantuono, 2020) and further in (Ortiz et al., 2021), highlighting the power flow, monitoring flow (i.e., monitored devices) and control flow (controllable devices from the algorithm). As a first category of equipment, home appliances comprise all devices that consume electrical power and do not have any storage capability (e.g., TV, oven, light, etc.). It should be highlighted that, as of today, RED WoLF does not consider shiftable loads as an additional flexibility resource. From an energy supply perspective, RED WoLF considers two electrical power sources to supply the home appliances, namely (i) the national electrical grid, which is a non-renewable energy source as it has a carbon intensity, and (ii) a PV array, which is a renewable (non-polluting) source. In terms of flexible energy-storage devices, RED WoLF proposes a hybrid-energy storage system, combining electrochemical and thermal storage systems, as illustrated in Figure 3 (BESS, water cylinder and storage heaters). Finally, from a control viewpoint, the RED WoLF algorithm is executed in a PLC (see Figure 3), generating commands at different times to either store or draw a certain amount of power in/from the above described hybrid-energy storage system.

Based on the hardware constituents, several data are collected for use by the RED WoLF algorithm. These data can be categorized in three classes:



Table 2: Variables used in the RED WoLF optimization system

	Class	Variable	Units	Description
Input & Internal Variables	Real-time	$A_{cur}$	kW	Appliances present consumption
	Real-time	$CO_{2cur}$	gCO <sub>2</sub> /kWh	Grid present CO <sub>2</sub> load
	Real-time	$PV_{cur}$	kW	PV present production
	Real-time	$B_{lev}$	kWh	Battery state of charge
	Real-time	$C_{lev}$	kWh	Cylinder state of charge
	Real-time	$H_{lev}$	kWh	Storage heater state of charge
	Predicted	$A_{pre}$	kW	Appliances predicted consumption
	Predicted	$PV_{pre}$	kW	PV predicted production
	Predicted	$CO_{2pre}$	gCO <sub>2</sub> /kWh	Grid predicted CO <sub>2</sub> load
	Predicted	$D_{ED}$	kWh	Appliances predicted consumption until the end of the day
	Predicted	$G_{PU}$	kW	Grid predicted available mean drawable power
	Static	$B_C$	kWh	Battery capacity
	Static	$B_{I_{max}}$	kW	Battery maximum admissible power
	Static	$C_{I_{max}}$	kW	Cylinder maximum admissible power
	Static	$C_{set}$	kWh	Cylinder setpoint
	Static	$D_{I_{max}}$	kW	Grid power drawing limit (set by utility provider)
	Static	$H_{I_{max}}$	kW	Storage heater maximum admissible power
	Static	$H_{set}$	kWh	Storage heater setpoint
	N/A	$C_{dem}$	kW	Cylinder present power demand
	N/A	$B_{dem}$	kW	Battery present power demand
	N/A	$D_{I_{max}APV}$	kW	Grid and PV power available for HSS
	N/A	$H_{dem}$	kW	Storage heater present power demand
	N/A	$P_{bal}$	kW	Remaining power after supplying appliances and HSS
	N/A	$CO_{2thr}$	gCO <sub>2</sub> /kWh	Control CO <sub>2</sub> threshold
N/A	$T_I$	min	Smallest time to supply HSS considering appliances	
Output Var.	Real-time	$B_{con}$	kW	Power to be drawn from the battery
	Real-time	$B_{inj}$	kW	Power to be stored in the battery
	Real-time	$C_{cur}$	kW	Power to be stored in the water cylinder
	Real-time	$G_{con}$	kW	Power to be drawn from the grid
	Real-time	$G_{inj}$	kW	Power to be injected to the grid
	Real-time	$H_{cur}$	kW	Power to be stored in the storage heater

- i. *Static parameter values*: referring to fixed parameters such as manufacturers' data (e.g., maximum battery capacity);
- ii. *Real-time data values*: referring to live data monitored at the hardware layer (e.g., data coming from smart meters, sensors in the battery, etc.);
- iii. *Predicted data values*: referring to predicted data such as predicted grid carbon intensities, predicted PV generation and house consumption.

Table 2 (column denoted by class) reports what system variables belong to what class. It should be noted that some system parameters are both predicted (using ML) and monitored in real-time (e.g., via sensors), such as house appliance demand (respectively denoted by  $A_{pre}$  and  $A_{cur}$ ), the output power produced by PV ( $PV_{pre}$ ,  $PV_{cur}$ ), or the grid carbon intensities ( $CO_{2cur}$ ,  $CO_{2pre}$ ). Based on the input data, the RED WoLF algorithm follows a two-step approach. First, a CO<sub>2</sub> threshold applied on the (predicted) grid intensity signal is computed, which identifies when it is optimal to

draw energy from the grid to meet – at minimum – the house demand. Based on this threshold, a rule-based strategy is applied to decide the charging/discharging actions to be executed. These two steps are further described in the following paragraphs.

To compute the CO<sub>2</sub> threshold, the average available electrical power to supply the thermal storage system ( $G_{PU}$ ), the energy required to reach the setpoint until the end of the day ( $D_{ED}$ ), the heater and cylinder power demands ( $H_{dem}$  and  $C_{dem}$ ) must be computed, as respectively given from Eq. (1) to (4).

$$G_{PU} = D_{I_{max}} - \int_t^T \frac{A_{pre}(t)}{(T-t)} dt - B_{I_{max}} \quad (1)$$

$$D_{ED} = \int_t^T \frac{A_{pre}(t)}{60} dt + \sum_{i=H,C} (i_{dem} - i_{lev}) \quad (2)$$

$$H_{dem} = H_{I_{max}} \times Heavi(H_{set} - H_{lev}) \quad (3)$$

$$C_{dem} = C_{I_{max}} \times Heavi(C_{set} - C_{lev}) \quad (4)$$

Several system constraints and state variables are

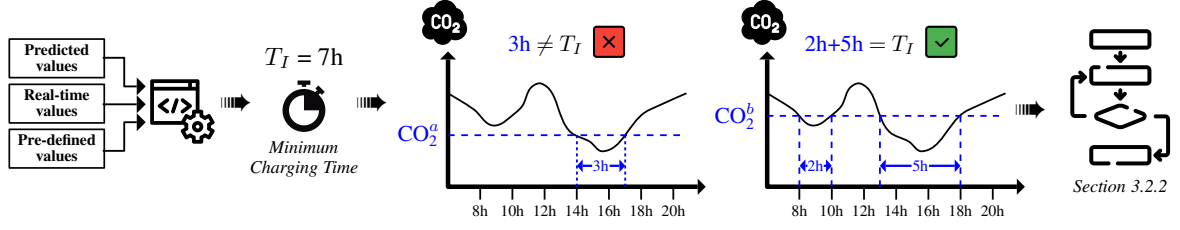


Figure 4: RED WoLF's CO<sub>2</sub> threshold computation example

used in this respect, such as the maximum charging power of the battery, cylinder and heater (respectively denoted by  $B_{I_{max}}$ ,  $H_{I_{max}}$ ,  $C_{I_{max}}$ ), the maximum power drawable from the grid ( $D_{I_{max}}$ ), or still the current level of charge of the heater and cylinder ( $H_{lev}$  and  $C_{lev}$ ). Note that the Heaviside step function ( $Heavi$ ) is defined as True (1) if the input is greater than 0, False (0) otherwise.

The minimum time length ( $T_I$ ) to charge equipment is further computed from  $D_{ED}$ ,  $G_{PU}$ ,  $H_{dem}$  and  $C_{dem}$ , as given in Eq. (5).

$$T_I = \max\left(\frac{C_{dem} - C_{lev}}{C_{I_{max}}}, \frac{H_{dem} - H_{lev}}{H_{I_{max}}}, \frac{D_{ED}}{G_{PU}}\right) \quad (5)$$

The CO<sub>2</sub> threshold ( $CO_{2thr}$ ), which identifies the best intervals for drawing electricity from the grid, is then computed using Eq. (7),  $CO_{2preSort}$  referring to the CO<sub>2</sub> prediction vector sorted in ascending order, as given in Eq. (6).

$$CO_{2preSort} = sort(CO_{2pre}) \quad (6)$$

The ceil function used in Eq. (7) allows for getting an integer value, which represents the drawing time (in minutes) that is used as index in the sorted CO<sub>2</sub> vector to determine the CO<sub>2</sub> threshold.

$$CO_{2thr} = CO_{2preSort}(\lceil T_I \rceil) \quad (7)$$

Figure 4 illustrates the output when applying the above equations. Assuming a  $T_I$  equals to 7h, the threshold that meets this charging duration should be identified. The first threshold example (denoted by  $CO_2^a$  in Figure 4) does not meet this requirement, while the second threshold ( $CO_2^b$ ) does, resulting in two “low CO<sub>2</sub> periods”: [8am; 10am] and [2pm; 6pm]. Based on the computed threshold, a specific rule-based logic is applied, which is detailed in the form of a flowchart in Figure 5 using the UML activity diagram formalism. This flowchart shows that two parts are run in parallel. On the first part (see frame denoted by “CO<sub>2</sub> threshold computation” in Figure 5), the steps refer to the reading of sensor data needed to compute the CO<sub>2</sub> threshold ( $CO_{2thr}$ ). Such data is either locally accessed (e.g., state of charge of the battery) or remotely (e.g., appliance consumption forecasts or grid carbon intensity forecasts that

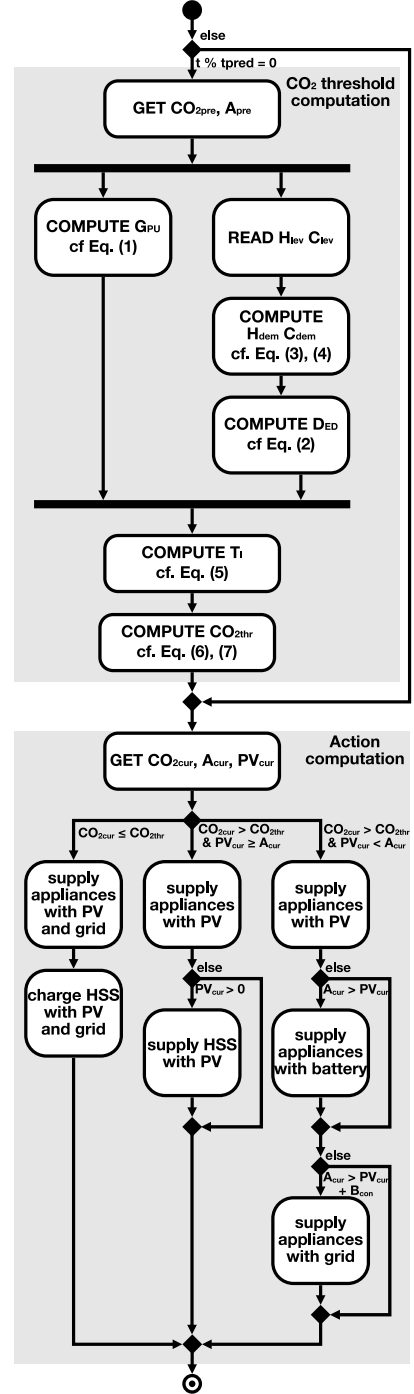


Figure 5: Overall RED WoLF logic



Table 3: Variables used in the Olivieri’s optimization system (Olivieri and McConky, 2020)

	Class	Var.	Unit	Description
Input & Internal Variables	Predicted	$d_i$	kW	Power required to supply appliances over the time interval $i$
	Predicted	$M_i$	gCO <sub>2</sub> /kWh	Grid CO <sub>2</sub> load over the time interval $i$
	Predicted	$pv_i$	kW	Power provided by PV over the time interval $i$
	Real-time	Cap	kWh	BESS max capacity
	N/A	$ppv_i$	kW	Power from PV used by appliances over the time interval $i$
	N/A	$bpv_i$	kW	Power from PV injected to BESS over the time interval $i$
	N/A	$gpv_i$	kW	Power from PV sent back to grid over the time interval $i$
	N/A	$CO_{2i}$	gCO <sub>2</sub>	CO <sub>2</sub> emitted over the time interval $i$
	N/A	$SOC_i$	kWh	BESS state of charge read over the time interval $i$
	N/A	$I$	hrs	Length of each time interval
	N/A	$T$	N/A	Set of discrete time intervals
Out.	N/A	$pc_i$	kW	Power charged in BESS over interval $i$
	N/A	$pd_i$	kW	Power discharged from BESS over $i$

are computed at the Cloud level). On the second part (see frame denoted by “Actions computation” in Figure 5), the steps refer to the decisions about the actions to be executed in terms of energy storage and release depending on the threshold value ( $CO_{2thr}$ ), namely:

1. if  $CO_{2cur} < CO_{2thr}$ , appliances and the hybrid-energy storage system are powered by the grid and PV array;
2. if  $CO_{2cur} > CO_{2thr}$  but PV is sufficient, appliances are powered through PV and extra-power (if any) is used to load the hybrid-energy storage system;
3. if  $CO_{2cur} > CO_{2thr}$  and PV is insufficient, appliances are powered through PV; if not sufficient, through battery; if not yet sufficient, then through the grid.

It should be noted that the RED WoLF algorithm is inspired by the ARIMA (Autoregressive Integrated Moving Average) model (Siami-Namini et al., 2018), which in our case (considering the input data of our problem) adds non-linearity and other levels of complexity to the system. This is due to RED WoLF algorithm takes as the input the prediction values and current state of storage reservoirs, however the execution is done on current physical state of the system.

### 3.2. Olivieri’s optimization system

Olivieri’s optimization model considers the infrastructure detailed in Figure 6, the algorithm being run on a smart meter that controls the battery (Olivieri and McConky, 2020). The model uses a LP solver to reduce electricity bill, carbon emission, or both simultaneously. For a fair comparison with RED WoLF, only the model proposed for carbon emission reduction is considered in this study. This model is detailed through Eq. (8) to (17), which minimizes the

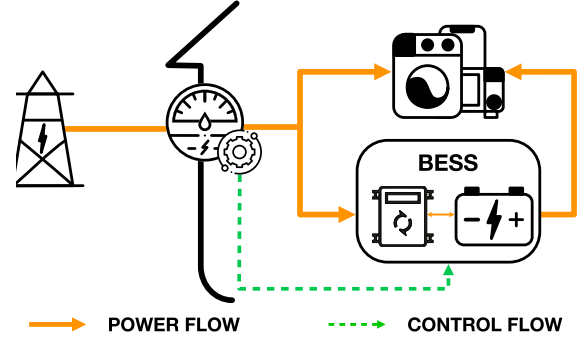


Figure 6: Olivieri’s hardware architecture

CO<sub>2</sub> emissions produced to meet the household’s energy demand during a time interval denoted by  $i$ .

$$\min Emissions = \sum_{i \in T} CO_{2i} \quad (8)$$

subject to

$$CO_{2i} = (d_i + pc_i - pd_i - ppv_i) \cdot I \cdot M_i, \forall i \in T \quad (9)$$

$$pc_i \geq 0, \forall i \in T \quad (10)$$

$$pd_i \geq 0, \forall i \in T \quad (11)$$

$$(pc_i + bpv_i) \leq Cap/2.7, \forall i \in T \quad (12)$$

$$SOC_i = \sum_{t=0}^i (pc_t + bpv_t) \cdot inef \cdot I - \sum_{t=0}^i pd_t \cdot I, \forall i \in T \quad (13)$$

$$SOC_i \geq 0, \forall i \in T \quad (14)$$

$$SOC_i \leq Cap, \forall i \in T \quad (15)$$

$$gpv_i + ppv_i + bpv_i = pv_i, \forall i \in T \quad (16)$$

$$gpv_i, ppv_i, bpv_i \geq 0, \forall i \in T \quad (17)$$

CO<sub>2</sub> emissions are computed using Eq. 9 while

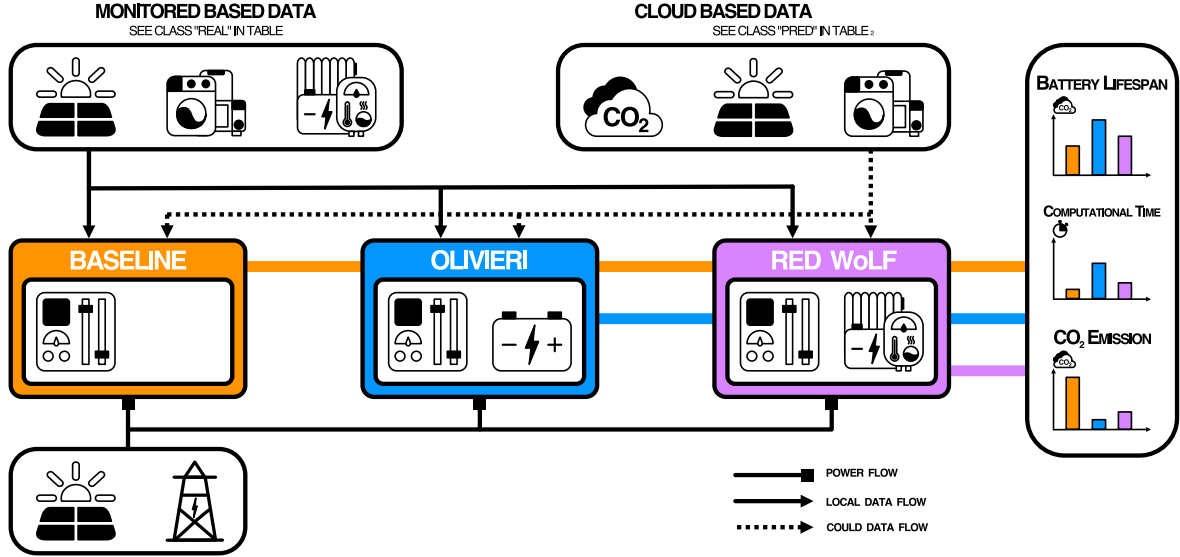


Figure 7: Comparison Infrastructure

Eq. (10) and (11) define the BESS charging and discharging constraints. Eq. (12) represents the BESS maximum capacity to store energy, while the BESS state of charge (SOC) is computed using Eq. (13) to (15). Olivieri’s model was slightly adapted to integrate the PV system to the infrastructure<sup>2</sup> for fair comparison with RED WoLF. Please note that the variables highlighted in **bold** in Eq. (8) to (17) represent the **extensions** of Olivieri’s model in order to integrate the solar production into the optimization model, which was not proposed in the initial model; all variables being summarized in Table 3.

The complexity of Olivieri’s model is given through Table 4, which provides information related to decision variables and constraints for different model sizes, which all consider 7 decision variables and 11 constraints per time period, as well as a time period length of 1 min. Furthermore, Pyomo modeling language with GLPK solver was used under the following configuration: 2,3 GHz Intel Core i7 quad core with 32 Go RAM

#### 4. Experimental evaluation

To evaluate the performance of RED WoLF, three scenarios are defined and compared, as illustrated in Figure 7. In the first scenario (denoted by “Baseline” in Figure 7), the carbon footprint in terms of kg equivalent CO<sub>2</sub> emissions (denoted by *kg eq. CO<sub>2</sub>* in the rest of the paper) is computed for a given residential house and a given energy consumption demand. As energy supply sources, the considered house has a PV

Table 4: Complexity of Olivieri’s model related to decision variables and constraints for different model sizes (7 decision variables, 11 constraints per time period, period length of 1 min).

Horizon (hours)	Total Time Periods	Number of decision variables	Number of constraints
4	240	1680	2640
8	480	3360	5280
12	720	5040	7920
24	1440	10080	15840
36	2160	15120	23760
48	2880	20160	31680
60	3600	25200	39600
72	4320	30240	47520

392 installation and is connected to the grid, but it does  
 393 not have any storage system nor optimization logic. In  
 394 the second scenario (denoted by “Olivieri”), Olivieri’s  
 395 optimization algorithm is implemented and compared  
 396 against the baseline scenario. In the third scenario  
 397 (denoted by “RED WoLF”), the RED WoLF hybrid-  
 398 energy storage system is implemented and compared  
 399 against the Baseline and Olivieri scenarios. Let us  
 400 stress the fact that the comparison between RED  
 401 WoLF or Olivieri’s algorithms is established on a fair  
 402 basis, as the two algorithms consider similar input  
 403 data (PV energy production, energy storage system  
 404 connected to a battery, house electricity demand, grid  
 405 carbon intensity) and seek to optimize the same crite-  
 406 rion (i.e., carbon emission reduction). The other re-  
 407 sults that will be compared in the rest of the study,  
 408 such as electricity bills or battery lifespan correspond  
 409 to side effects on other parameters.

410 Section 4.1 presents the datasets used as inputs of  
 411 the conducted experimental evaluation. Section 4.2  
 412 presents the performance comparison analysis of the  
 413 three scenarios.

<sup>2</sup>The average electricity consumption of the thermal heating and hot water are computed (respectively being equal to 1.04 kW + 0,167 kW) and added to the total house demand.

Table 5: Datasets used as experimental inputs

Dataset	Loc.	Name	Period	URL
House demand	UK	UKDALE	Oct.	<a href="#">UKDALE 2015</a>
	FR	IHEPCDS	Oct.	<a href="#">IHEPCDS 2010</a>
PV production	UK	N/A	Oct.	<a href="#">NREL 2020</a>
	FR	N/A	Oct.	<a href="#">Em 2020</a>
Grid carbon intensity	UK	N/A	Oct.	<a href="#">CFA 2020</a>
	FR	N/A	Oct.	<a href="#">RTE 2022</a>
Energy price	UK	N/A	N/A	<a href="#">Statista 2021</a>
	FR	N/A	N/A	

#### 4.1. Experimental setup

As illustrated in Figure 7, the three scenarios are going to be compared on the basis of three performance indicators, namely (i) *CO<sub>2</sub> emissions*: CO<sub>2</sub> equivalent greenhouse gas emissions produced for supplying house electrical power demand in kg eq. CO<sub>2</sub>; (ii) *Computational time*: time needed to generate the recommended set of commands to be executed; (iii) *Battery lifespan*: amount of time a battery lasts until it needs to be replaced. In terms of input data, four data sources have been considered:

1. *Home consumption*: the state-of-the-art UKDALE (UK Domestic Appliance-Level Electricity) and IHEPCDS (Individual Household Electric Power Consumption Data Set) datasets have been considered in this study, which provide real house consumption behaviors from houses located in UK and France respectively (Monacchi et al., 2014) (see Table 5 for further details). The reason for considering these two datasets is twofold: (i) as of the pilots (currently being setting up) of the RED WoLF project are located in these two countries; (ii) these two countries have different ways of generating electricity (nuclear in France, natural gas in UK), which have direct impact on the grid’s carbon intensity. This study considers the October month;
2. *PV production*: to the best of our knowledge, there is no platform in France providing real-time PV production, while in UK the NREL (National Renewable Energy Laboratory) web platform makes available both historical and predicted PV datasets. A simulator developed by the European Commission (cf., Table 5) nonetheless shows that there is a difference of 15.4% between UK and France (in favor of France). On this basis, the PV production dataset in UK (obtained via the NREL platform) was increased by 15.4% for the French experiments;
3. *Grid carbon intensity*: two distinct web platforms making carbon intensity available for France and UK were used, namely RTE for France and Carbon Intensity for UK (cf., Table 5).

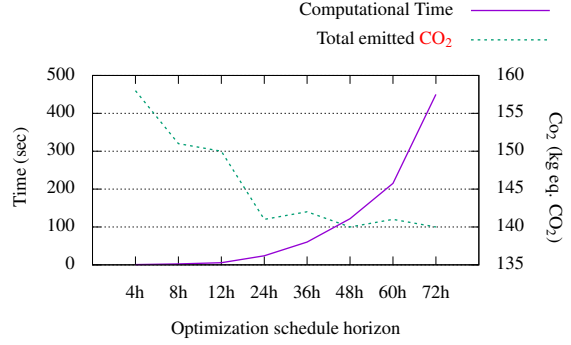


Figure 8: Overview of the Computational Time and associated performance in terms of total emitted CO<sub>2</sub> with Olivieri’s system

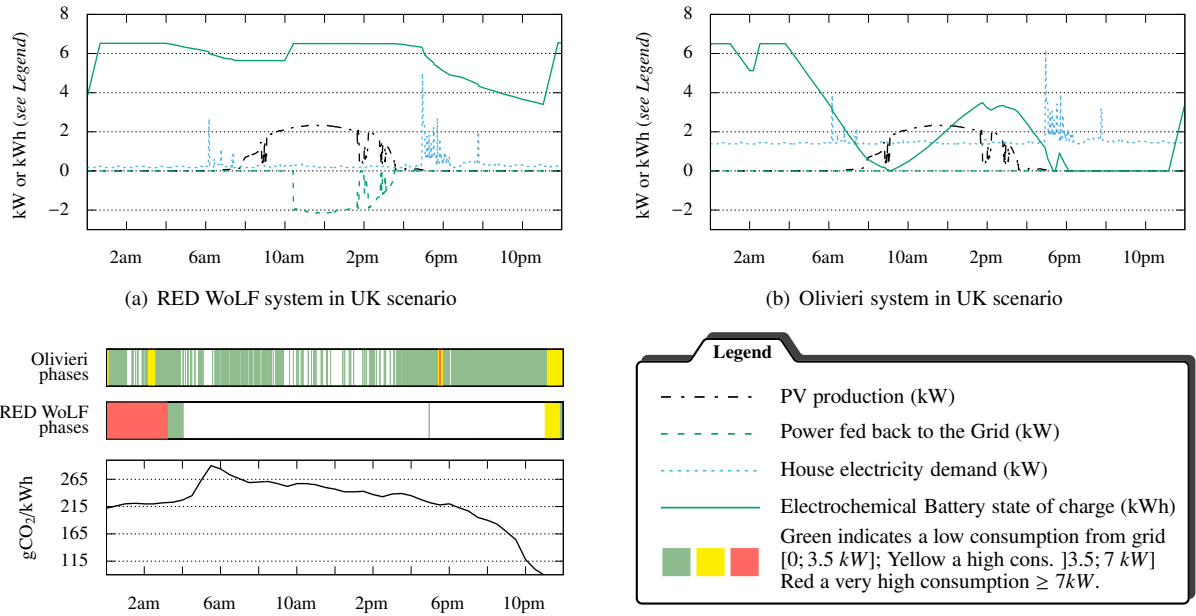
For a fair and consistent comparison between scenarios, the energy demands of the Baseline and Olivieri models have been slightly adjusted to include the power used for space and water heating in the RED WoLF scenario. It should also be noted that the time interval  $i$  in Olivieri’s algorithm has been set to 1 min in our experiments.

#### 4.2. Experimental results

In this section, the three scenarios/algorithms (Baseline vs. Olivieri vs. RED WoLF) are compared over a 1-month period (October). However, before doing so, a pre-study is conducted in section 4.2.1 to determine the prediction horizon length to run the algorithms. Then, a comparison of the Olivieri and RED WoLF algorithms over three specific days is then conducted in section 4.2.2 to understand the behavior of each algorithm with respect to the different inputs, before conducting the 1-month comparison analysis in section 4.2.3. Finally, in section 4.2.4, we analyze to what extent a battery with different characteristics (different capacities, maximum power intake) may impact on the algorithm performance, along with what would be the best configuration (technology) to be selected.

##### 4.2.1. Prediction horizon length determination

Due to the low complexity in computing the threshold in RED WoLF, the scheduling process is almost instantaneous ( $< 1$  ms), as thoroughly analyzed in (Shukhobodskiy et al., 2021). In opposition, Olivieri’s algorithm processing time varies exponentially according to the length of the prediction horizon. Figure 8 provides clear evidence of such an exponential behavior, showing that the longer the prediction horizon length ( $x$ -axis), the more exponential Olivieri’s algorithm processing time ( $y$ -axis). Indeed, optimizing the energy storage and release with a 4h-prediction time window requires less than one second, while this processing time reaches 6h with a 72h-prediction time window (cf., Figure 8). As a complementary information, the total CO<sub>2</sub> emitted



(c) Grid carbon intensity evolution, along with a representation of when and what proportion of power the RED WoLF and Olivieri systems should be drawn from the electrical grid. In this scenario, RED WoLF draws power from the grid in an intensive manner from 0:00 (midnight) to  $\approx 3:30$ am (i.e., drawing power in a range of  $\geq 7kW$ , as indicated in the Legend frame), while Olivieri’s algorithm generates charging orders all over the day (i.e., in a continuous manner) in a less intensive manner (in a range of  $[0; 3.5kW]$ ). To understand the impact of such behavior on the battery state of charge, the reader shall refer to Figures 9(a) and 9(b).

Figure 9: October 3rd - One day analysis of how RED WoLF and Olivieri systems behave with respect to the different inputs

498 with the Olivieri’s algorithm over the October month  
 499 is depicted in Figure 8, showing that beyond a 24h-  
 500 prediction time window, the optimization does not  
 501 lead to better performance. As a consequence, a 24h-  
 502 prediction time window is chosen for running the ex-  
 503 periments conducted in the rest of the paper, bear-  
 504 ing in mind that in this configuration Olivieri requires  
 505  $\approx 25$  min for generating the optimal solution against  
 506  $< 1$  ms with RED WoLF.

#### 507 4.2.2. Daily analysis

508 Before presenting the monthly comparison analy-  
 509 sis, which is the subject of section 4.2.3, we suggest  
 510 to analyze how RED WoLF and Olivieri algorithms  
 511 behave with respect to the system inputs considering  
 512 three specific days. Let us note that, in the conducted  
 513 experiments, the battery capacity for both algorithms  
 514 is 6.5 kWh and the maximum intake/outtake power is  
 515 4.2 kW. Furthermore, two assumptions differ between  
 516 RED WoLF and Olivieri: (i) *maximum grid intake*:  
 517 RED WoLF defines a constraint defining the maxi-  
 518 mum power that can be drawn from the grid by the  
 519 sum of house consumption minus the power generated  
 520 by the PV system. This limit is fixed by the energy  
 521 provider and set to 9 kW. Olivieri’s algorithm does  
 522 not include such a constraint; (ii) *Thermal charging*  
 523 *using battery*: In Olivieri, space heating and hot wa-  
 524 ter needs are considered as appliances and therefore  
 525 could be supplied by the battery, unlike RED WoLF

526 where thermal reservoir must be supplied by the grid  
 527 or PV unit sources (this constraint has been added to  
 528 avoid energy losses during energy conversion). This is  
 529 why in Figure 9(b) the appliance demand in Olivieri  
 530 is greater than in RED WoLF (cf., Figure 9(a)).

531 *October 3rd*: Power exchanges occurring between  
 532 the grid, appliances, PV arrays and the hybrid en-  
 533 ergy storage system when using the RED WoLF and  
 534 Olivieri strategies are plotted in Figures 9(a) and 9(b)  
 535 respectively. A complementary plot of the amount of  
 536 grid carbon intensity over that day is given in Fig-  
 537 ure 9(c), along with the periods when RED WoLF  
 538 and Olivieri algorithms draw power from the grid (a  
 539 color code being used to indicate the intensity of con-  
 540 sumption, as detailed in the “Legend” of Figure 9). A  
 541 first reading of the graphs shows a different behavior  
 542 of the battery management system. In RED WoLF,  
 543 the battery has a constantly high level of charge (see  
 544 Figure 9(a)), whereas the battery level is highly vari-  
 545 able when using Olivieri’s algorithm, going from fully  
 546 charged to empty several times over that day (see Fig-  
 547 ure 9(b)). It can also be noted that the battery is  
 548 mainly charged by the local PV production in both  
 549 cases, which can be partly explained by the grid car-  
 550 bon intensity that is consistently high that day (above  
 551 200g eq.  $CO_2$  per kWh). From a more detailed exam-  
 552 ination of those plots, it can be noted that:

- during the night, batteries are fully charged in both algorithms as the grid carbon intensity is

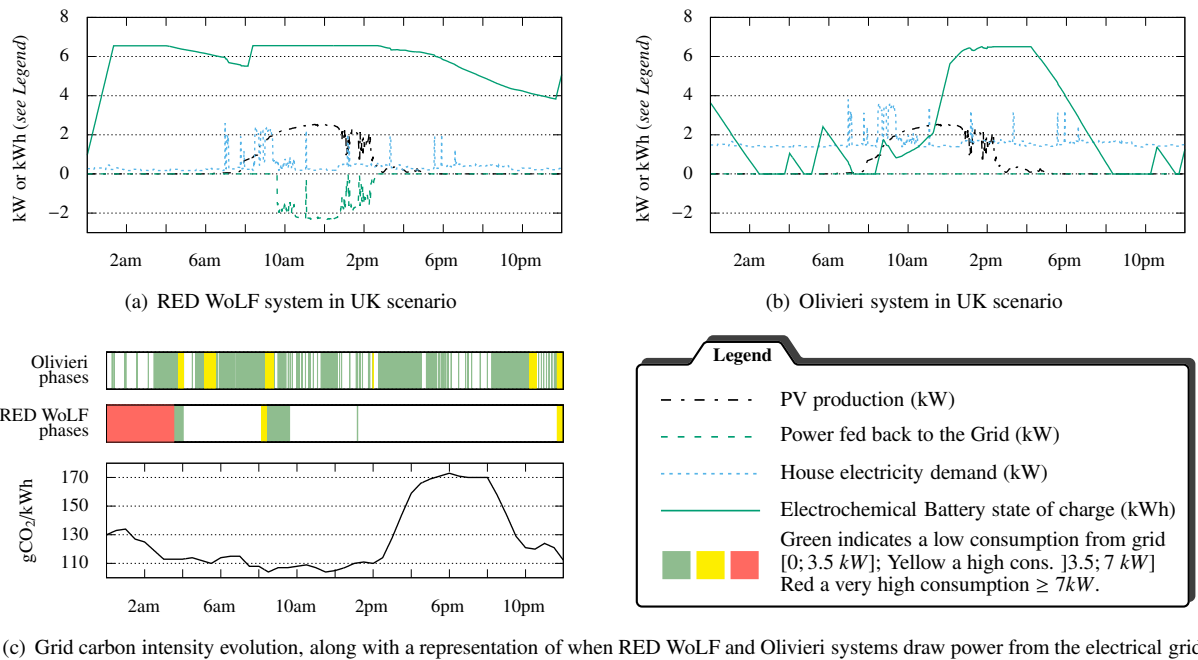


Figure 10: October 6th - One day analysis of how RED WoLF and Olivieri systems behave with respect to the different inputs

lower – even if it remains high – than the rest of the day. Figure 9(b) shows that RED WoLF draws power from the grid in an intensive manner to charge all storage systems (i.e., battery, water cylinder and storage heaters);

- in the morning (likely because residents get up), batteries are discharged in both models. In Olivieri, the battery is almost completely discharged, which is mostly due to the fact that it is not possible to store energy in the heater and/or water cylinder, unlike RED WoLF in which both storage systems have been charged during the night (at the same time as the battery);
- batteries are then charged during sunshine hours. However, as the battery’s SOC in RED WoLF is always high, the battery quickly becomes full and solar energy produced locally is redirected to the grid. For that day 62% of the PV production in RED WoLF (eq. to 8,4 kWh) is fed back to the grid, while all the PV production is adsorbed by the battery with Olivieri;
- at the end of the day, when the house electricity demand increases, the RED WoLF system is self-sufficient (operating solely on its battery), while Olivieri’s schedule draws power from the grid. In this respect, RED WoLF, which keeps a high battery’s SOC, has an advantage in the event of a grid failure or disconnection;

Let’s remind ourselves that the primary objective of RED WoLF and Olivieri is to reduce GHGE. For

this specific day (Oct. 3rd), the latter (Olivieri) provides significantly lower emissions than RED WoLF as it makes use of the whole PV production, unlike RED WoLF that exports part of that production to the grid. In numerical terms, Olivieri emits half as much GHGE (3.2 kg eq. CO<sub>2</sub>) than RED WoLF (6.9 kg eq. CO<sub>2</sub>). Another aspect that can be analyzed is the wear and tear of the battery as a result of charge/discharge cycles, which has a direct impact on the battery lifetime (Karamov and Suslov, 2021). Even if the maximization of the battery lifespan is not defined as an objective in RED WoLF or Olivieri, it is interesting to be analyzed, as replacing a battery has a threefold environmental impact: (i) producing new batteries results in depleting the earth’s resources; (ii) managing battery disposal today is a concern; (iii) increasing costs due to the battery purchase leads to social concerns. Overall, Olivieri results in twice more charging/discharging phases<sup>3</sup> (10 in total) than RED WoLF (5 in total).

October 6th: A second day is analyzed in Figure 10 in order to see whether a similar energy management behavior is observed. It can be first observed that unlike Oct. 3rd, the grid carbon intensity signal strongly varies over time (see Figure 10(c)), although it is globally cleaner than the signal of Oct. 3rd (see Figure 9(c)). Overall, the behavior of the house when

<sup>3</sup>A distinction between charge/discharge phases and cycles is made. One cycle is when we have charged or discharged an amount that equals 100% of the battery’s capacity, but not necessarily all from one charge, while a phase refers to cases where we switch from charging to discharging command, or vice-versa.



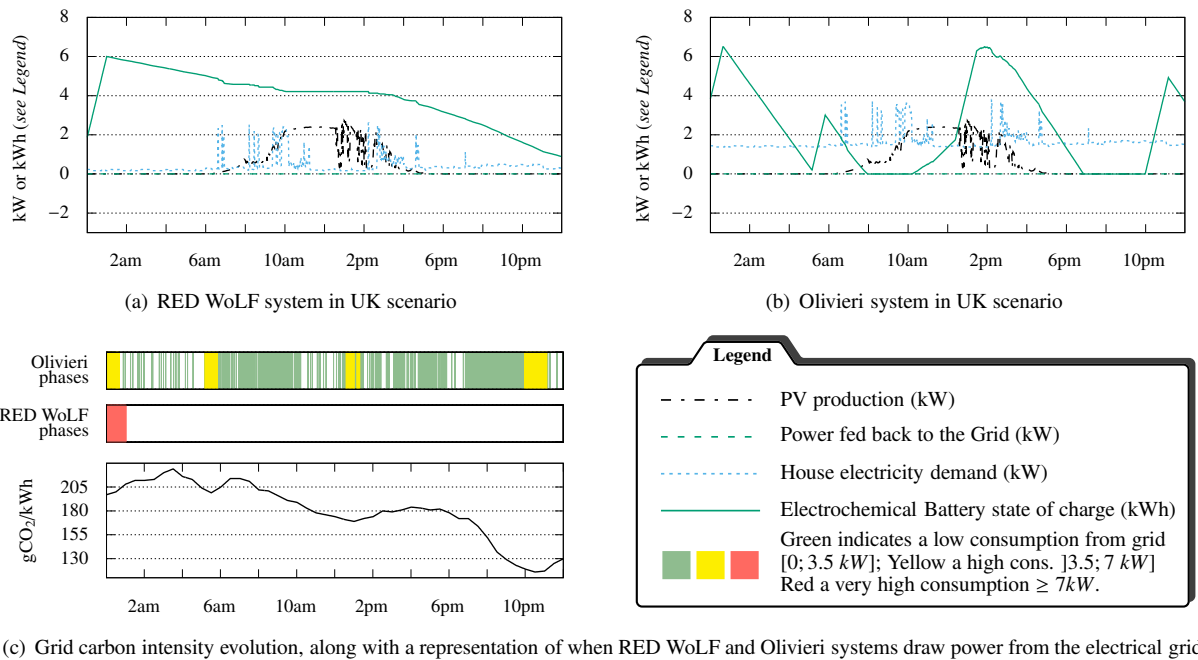


Figure 11: October 5th - One day analysis of how RED WoLF and Olivieri systems behave with respect to the different inputs

612 using RED WoLF and Olivieri (see Figures 10(a) and 644  
 613 10(b)) is quite similar to the one analyzed in Oct. 3rd 645  
 614 (battery's SOC remaining high and part of the PV production – 8,7 kWh – being fed back to the grid). One 646  
 615 difference lies in the fact that RED WoLF is no longer 647  
 616 self-sufficient in the morning (from 8am to 10am), as 648  
 617 it draws power from the grid to first charge the battery 649  
 618 and then power the appliances (*cf.* Figure 10(c)). 650  
 619 The reason for this is twofold: (i) the carbon grid intensity 651  
 620 is low during that period ( $\approx 100$  g eq.  $CO_2$ ), 652  
 621 and (ii) RED WoLF predicts that the intensity will 653  
 622 significantly increase within the following 12h. With 654  
 623 Olivieri, the charging pattern differs from Oct. 3rd; 655  
 624 the battery starts with a half SOC, while it was full in 656  
 625 Oct. 3rd. In a similar way as RED WoLF, Olivieri's 657  
 626 algorithm takes the opportunity to both satisfy the 658  
 627 house electricity demand and charge the battery when 659  
 628 the carbon intensity is low (until 4 pm). From this 660  
 629 time onwards, the battery in Olivieri becomes the only 661  
 630 source of supply until 8 pm (when the grid electricity 662  
 631 becomes cleaner again). As on Oct. 3rd, Olivieri's 663  
 632 system uses all the PV production, while RED WoLF 664  
 633 re-injects part of this production into the grid. Re- 665  
 634 garding now the number of charge/discharge phases, 666  
 635 5 phases are identified in RED WoLF against 12 in 667  
 636 Olivieri, which is mostly due to the greater variability 668  
 637 in the carbon intensity. 669

639 *Day 5 of October:* The grid carbon intensity of this 670  
 640 third day is given in Figure 11(c), which is relatively 671  
 641 high at the beginning of the day, and then progres- 672  
 642 sively decreases. Looking at Figures 11(a) and 11(b), 673  
 643 it can be observed that the RED WoLF is charging 674

the storage units straight at the beginning of the day, 644  
 which, combined with the PV production, is sufficient 645  
 to meet the house electricity demand without consum- 646  
 ing power from the grid, nor exporting surplus elec- 647  
 tricity. With Olivieri, several periods of battery charg- 648  
 ing/discharging can be observed. In total, 2 charging/ 649  
 discharging cycles are identified with RED WoLF, 650  
 against 8 with Olivieri, where the total carbon emis- 651  
 sion for that day is estimated to 4.1kg eq.  $CO_2$  for 652  
 Olivieri, against 1.8kg eq.  $CO_2$  for RED WoLF. The 653  
 main reason leading to this result is the the non sup- 654  
 port (in Olivieri) of a hybrid-storage system (i.e., con- 655  
 sidering the water cylinder and storage heaters as stor- 656  
 age units). 657

#### 4.2.3. One Month analysis

658 Figures 12(a) and 12(b) provide, for each day in 659  
 660 October, the difference in  $CO_2$  between the RED 661  
 662 WoLF and Olivieri algorithms for France and UK 663  
 664 datasets respectively; a positive value indicating that 665  
 666 RED WoLF outperforms Olivieri, and *vice-versa*. It 667  
 668 can be observed in Figure 12(a) that there is no clear 669  
 670 outperforming algorithm and the difference in results 671  
 672 is small (0.3 kg eq.  $CO_2$  at most). This difference 673  
 674 can be explained by the fact that France uses nuclear 675  
 power for most of its electricity, which has a very low 676  
 GHGE rate compared with UK. In the case of UK (see 677  
 Figure 12(b)), Olivieri's algorithm outperforms RED 678  
 WoLF in  $\approx 60\%$  of the time. Nevertheless, in order to 679  
 gain a full and complete comparison, other informa- 680  
 tion such as the battery lifespan, the amount of energy 681  
 redirected to the grid ( ignored into account in Fig-



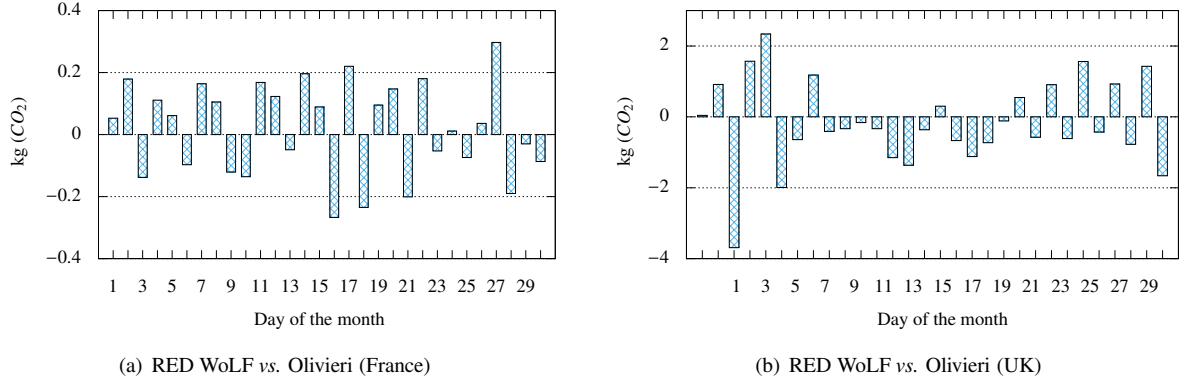


Figure 12: RED WoLF vs. Olivieri: a positive value indicating that RED WoLF outperforms Olivieri and *vice-versa*.

Table 6: Summary of key results obtained with the Baseline, RED WoLF and Olivieri systems over the whole month of October

		kWh from Grid	Elec. bill (euros)	kg eq. $CO_2$ from Grid	Local PV usage (%)	PV to Grid (kWh)	Nb. of Cycles	Battery life-span (months)	Comput. Time
FR	Baseline	1454	257	N/A	100	N/A	N/A	N/A	N/A
	RED WoLF	1344	237	39	86	50.6	71	78	< 1ms
	Olivieri	1296	227	40	100	0	190	31	25min
UK	Baseline	1042	221	171	100	N/A	N/A	N/A	N/A
	RED WoLF	935	198	146	58	137.4	43	139	< 1ms
	Olivieri	806	142	140	100	0	133	45	23min

ure 12), or still the computational complexity of each algorithm. Table 6 provides such complementary information for both scenarios (France and UK).

Firstly, let us compare the results obtained with RED WoLF and Olivieri with the Baseline scenario (*cf.*, Figure 7). Table 6 reports that in both cases (France and UK), the monthly  $CO_2$  emissions is reduced by 10% (France) and 30% (UK) when implementing RED WoLF's or Olivieri's system, with a slight advantage for the latter. However, as previously mentioned, this result does not take into consideration the PV electricity re-directed to the grid. Table 6 reports that Olivieri is consuming 100% of the local PV production, while RED WoLF consumes only 86% (France) and 58% (UK). Although it is preferable to consume locally the electricity (to avoid electricity losses during transmission), the results given and discussed in Figure 12 need to be put into perspective.

Secondly, looking at the electricity bills, Olivieri outperforms RED WoLF with a difference of more than 50€ in the UK scenario and 10€ in the French one. This can be explained by the fact that Olivieri consumes all the local PV production, unlike RED WoLF that re-injects part of the production to the grid, as previously discussed. Here again, some revenue could be generated in that case, which has not been taken into account in this study. Although the objective of reducing the electricity bill has not been defined as the prime objective in RED WoLF, nor in Olivieri (the focus being given to GHGE reduction), it

can be noted that ecology considerations are not systematically in contradiction with financial ones.

Thirdly, the total number of charge/discharge cycles of the battery over the month is calculated using the definition of a cycle, which consists of accumulating the energy charged in the battery by dividing it by its maximum capacity (in this case the battery has a capacity of 6.5 kWh), the same calculation being done for the discharge. Summing up the charge and discharge cycles, the values reported in Table 6 are obtained. It can be noted that RED WoLF reduces by 60% (France) and 50% (UK) the number of cycles compared with Olivieri. Considering now the battery specification, which is expected to operate for a total of 6000 cycles, it can be concluded that the battery will likely need to be replaced after 3 to 4 years with Olivieri, against 7 to 12 years with RED WoLF.

Fourthly, it is important to remind ourselves that the RED WoLF's optimization is almost instantaneous (less than 1ms), while Olivieri's optimization takes about 25 min. This is not negligible as it has an indirect impact on the overall system carbon footprint (the higher the algorithm complexity, the heavier the computational load). Furthermore, if we consider extending Olivieri's model to integrate other storage units such as storage heaters, water cylinder, or any other type of storage unit, this would result in an even larger complexity. Finally, with the advent of the Edge Computing, RED WoLF algorithm turns to be more appropriate than Olivieri to be deployed on devices that

Table 7: Total CO<sub>2</sub> emitted over the month of October using batteries of different capacities/sizes

		Bluetti		LG3.3		LG6.5		Tesla	
		CO <sub>2</sub>	PV to Grid	CO <sub>2</sub>	PV to Grid	CO <sub>2</sub>	PV to Grid	CO <sub>2</sub>	PV to Grid
		kg eq. CO <sub>2</sub>	kWh	kg eq. CO <sub>2</sub>	kWh	kg eq. CO <sub>2</sub>	kWh	kg eq. CO <sub>2</sub>	kWh
FR	RED WoLF	42.13	140.16	40.50	138.47	39.48	137.41	37.70	137.73
	Olivieri	42.53	17.87	41.28	0.59	40.04	0	40.04	0
UK	RED WoLF	152.80	90.38	147.34	61.33	146.21	50.60	146.32	44.23
	Olivieri	157.57	12.96	148.57	3.08	140.79	0	128.35	0

735 have limited computational capabilities such as smart  
736 meters.

#### 737 4.2.4. Impact of different batteries on the optimiza- 738 tion performance

739 To study the impact of how a battery with differ-  
740 ent characteristics may impact on the algorithm per-  
741 formance, we consider four different technologies to-  
742 day available on the market, namely Bluetti, LG3.3,  
743 LG6.5 and Tesla, whose respective characteristics are  
744 summarized in Table 8 (battery capacity and maxi-  
745 mum power intake). Table 7 reports the total CO<sub>2</sub>  
746 emission (in kg eq. CO<sub>2</sub>) and power re-injected to the  
747 grid (in kWh) obtained when running the RED WoLF  
748 and Olivieri algorithms with these four batteries.

Table 8: Battery products (from the market) analyzed

	Bluetti	LG3.3	LG6.5	Tesla
$B_{I_{max}}$ (kW)	1	3.3	4.2	7
$B_C$ (kWh)	1.5	3.3	6.5	13.5

749 In the UK scenario, It can be noted that increas-  
750 ing the size and power intake of the battery leads to  
751 a significant reduction of CO<sub>2</sub> emission in Olivieri,  
752 which is not true for RED WoLF. The reason for  
753 this is highly correlated to the amount of energy re-  
754 injected into the grid, as Olivieri is better than RED  
755 WoLF in maximizing the consumption/storage of lo-  
756 cal PV production (*cf.*, PV to grid values in Table 8).  
757 Interestingly, RED WoLF outperforms Olivieri when  
758 using the smallest (Bluetti) battery, while the trend  
759 is reversed with the three other battery technologies.  
760 Overall, the LG3.3 is sufficient in RED WoLF, as  
761 larger batteries do not lead to a substantial improve-  
762 ment in CO<sub>2</sub> reduction, while the bigger the battery  
763 the better in Olivieri. This obviously has a financial  
764 impact.

765 In the FR scenario, RED WoLF always outperforms  
766 Olivieri, adding that the total CO<sub>2</sub> emission decreases  
767 along with the increase of the battery size, which does  
768 not apply for Olivieri. One reason for this lies in  
769 the RED WoLF logic that gives as much importance  
770 to low-carbon grid periods as local PV production,  
771 which may prove to be an effective strategy when the  
772 national grid is of low carbon, as is the case in France.

773 Overall, this study suggests that the choice of given  
774 strategy/algorithm and of a battery technology may  
775 depend on the country’s strategic position in energy  
776 geopolitics.

## 777 5. Conclusion & Research implications

### 778 5.1. A European willingness to primarily focus on 779 GHGE reduction

780 Climate change and the continuous and rapid rise in  
781 temperature are forcing international political bodies  
782 to focus on reducing GHGE to save the planet. The  
783 housing sector is heavily contributing to global warm-  
784 ing. Gone are the days where everyone tries to find  
785 optimal solutions to reduce financial costs, whatever  
786 the environmental cost. This is in line with the com-  
787 mitments of the signatory countries of the Paris con-  
788 ventions (COP21), whose objective is to reduce car-  
789 bon emissions from various human activities by 2030  
790 (housing being one of the key focus).

791 The research conducted in this article – *which is*  
792 *part of the RED WoLF Interreg NWE project* – directly  
793 addresses the Interreg NWE’s Low Carbon” Priority<sup>4</sup>,  
794 which is why the proposed solution is an all-carbon  
795 optimisation, while being aware that other factors can  
796 have an impact. In other terms, the carbon aspect is  
797 considered as a restrictive objective, which is aligned  
798 with a political will of the EU (COP21).

### 799 5.2. Comparison of two GHGE reduction models

800 The current state-of-affairs reviewed in this paper  
801 brings evidence that most of today’s energy manage-  
802 ment systems primarily focus on electricity bill re-  
803 duction, placing GHGE reduction on the backburner,  
804 they rarely propose hybrid-energy storage optimiza-  
805 tion strategies, neither evaluate how the proposed  
806 strategy impacts on the computational complexity nor  
807 on the battery lifespan. The two last impacts are of  
808 particular importance with both the advent of Edge  
809 Computing in the energy sector (Feng et al., 2021)  
810 and the growing awareness of the the difficulty to

<sup>4</sup>Outline of the NWE’s Low Carbon Priority available at:  
<https://www.nweurope.eu/about-the-programme-2014-2020/the-themes/>  
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811 manage and recycle renewable technologies such as 868  
812 batteries and PV modules (Nain and Kumar, 2022). 869

813 To progress this state-of-affairs, an innovative  $CO_2$  870  
814 threshold-based strategy currently being developed 871  
815 as part of a European project named RED WoLF 872  
816 (Rethink Electricity Distribution Without Load Fol- 873  
817 lowing) has been proposed in our previous research 874  
818 work (Ortiz et al., 2021), which seeks to identify 875  
819 the best periods of the day to charge and discharge 876  
820 multiple types of storage units (incl., battery, stor- 877  
821 age heaters, water cylinder). In the present arti- 878  
822 cle, RED WoLF is evaluated and compared with a 879  
823 second strategy proposed by Olivieri and McConky 880  
824 (2020), which also aims at reducing GHGE but with 881  
825 a slightly different infrastructure (only considering a 882  
826 battery as flexible energy-storage) and algorithm de- 883  
827 signed based on Linear Programming (LP). The com- 884  
828 parison study brings evidence that the two strategies 885  
829 (RED WoLF and Olivieri) contribute to significantly 886  
830 reduce GHGE compared to a solution without any op- 887  
831 timization logic, although Olivieri has a slight advan- 888  
832 tage (11% of reduction with Olivieri against 8% with 889  
833 RED WoLF). However, as analyzed in this article, the 890  
834 behavior of the two algorithms is different in terms 891  
835 of charging/discharging periods, resulting in different 892  
836 *pros* and *cons* for the two strategies. Olivieri's algo- 893  
837 rithm has a more dynamic management of the bat- 894  
838 teries with a multitude of charging/discharging cycles 895  
839 over the days, which has the advantage of maximiz- 896  
840 ing the consumption of local PV production, but, in 897  
841 comparison to RED WoLF, is less self-sufficient in the 898  
842 event of a power outage or of long periods of high 899  
843 grid carbon intensity. Such an aspect could eventually 900  
844 be of interest for distribution system operators dur- 901  
845 ing load shedding. RED WoLF also has the advan- 902  
846 tage of limiting the number of charging/discharging 903  
847 cycles compared with the Olivieri's algorithm, which 904  
848 contributes in extending the battery's lifespan (in av- 905  
849 erage, 109 months with RED WoLF against 38 with 906  
850 Olivieri's model), which has a direct impact on the 907  
851 overall system cost and carbon footprint (i.e., reduc- 908  
852 ing maintenance costs, battery replacement, *etc.*). An- 909  
853 other *pros* of RED WoLF lies in the algorithmic com- 910  
854 plexity, which is very low compared to Olivieri (RED 911  
855 WoLF requiring less than a second to find an optimal 912  
856 solution, while Olivieri requires about 20 to 30min), 913  
857 and this conclusion would be the same with any other 914  
858 strategy using LP. This has a twofold consequence: (i) 915  
859 RED WoLF can be extended with additional objec- 916  
860 tives and constraints without causing extra computa- 917  
861 tional burden; (ii) RED WoLF is lighter, resulting in 918  
862 a lower GHGE and making it more suitable to be de- 919  
863 ployed on edge devices.

864 Overall, our study does not allow to derive generic 920  
865 conclusions and findings, but still it brings interest- 921  
866 ing empirical evidence that two models designed on 922  
867 two distinct theories lead to very different behaviors

and side effects (whether from a financial and battery 868  
lifespan perspective). 869

### 5.3. Further considerations in future research

870 It should be noted that both RED WoLF and 871  
872 Olivieri strategies imply the integration of PV arrays, 873  
874 battery and ICT technologies, which have a non neg- 875  
876 ligible environmental impact considering the whole 877  
878 lifecycle of such technologies. The recent article of 879  
880 Sebestyén (2021) provides an interesting analysis in 881  
882 this regard, showing that in the case of wind, hydro- 883  
884 , geothermal, solar and biomass power plants falling 885  
886 ice, changes in the flow regime of rivers, noise, ero- 887  
888 sion caused by panels and the scale of harvesting, 889  
890 respectively, are the most critical environmental im- 891  
892 pacts.

893 From a research perspective, further studies and 894  
895 tools for Life Cycle Assessment (LCA) and Life 896  
897 Cycle Cost (LCC) should be developed to evaluate 898  
899 the overall sustainability of renewable energy sys- 900  
901 tems/architectures, i.e. not only considering the op- 902  
903 erational phase, but also on the design phase (e.g., 904  
905 considering the quantity of available raw materials) 906  
907 and the recycling/disposal one. In this respect, fore- 908  
909 casts about dynamics of raw materials (e.g., raw mate- 909  
910 rial reserves) released by *EIT RawMaterials*-like ini- 910  
911 tiatives<sup>5</sup> could be considered and integrated to such 911  
912 analyses.

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